



**STEVEN L. BESHEAR
GOVERNOR**

**COMMONWEALTH OF KENTUCKY
PERSONNEL CABINET
501 High Street, 2nd Floor
FRANKFORT, KY 40601
www.kentucky.gov**

**TIMOTHY LONGMEYER
SECRETARY**

**2ND QUARTER 2014
KEHP TRUST FUND
STATUS REPORT
(FOR THE PERIOD ENDING JUNE 30, 2014)**

In accordance with the provisions of KRS 18A.2254(3)(f), the attached document constitutes the 2nd Quarter, 2014 report on the status of the Kentucky Employee's Health Plan (KEHP) Trust Fund. As directed by statute, this report will be provided to the Governor, the Interim Joint Committee on Appropriations and Revenue, the Kentucky Group Health Insurance Board, and the Advisory Committee of State Health Insurance Subscribers.

The report includes, as of June 30, 2014:

1. The current balance of the trust fund;
2. A detailed description of all income to the trust fund;
3. A detailed description of all receipts due to the trust fund;
4. The total amount of payments made for claims from the trust fund;
5. A detailed description of all payments made to the third party administrator (TPA);
6. Current enrollment data;
7. Any other information provided by the Secretary; and
8. Any other information requested by the Interim Joint Committee on Appropriations and Revenue.

1. Current Trust Fund Balance:

As of June 30, 2014, the balance of the self-insurance trust fund was \$323,396,350.86, which includes accounting for the 2006–2014 plan years, including reserves for Healthcare Reimbursement Accounts (HRA). Along with the detailed transactions, summary reports for each plan year, as well as a combined summary, have been provided.

2-5. Detailed Description of Trust Fund Transactions:

Detailed transactions of the trust fund are contained in **Attachment A**. Monthly detailed transaction reports have been provided to the Legislative Research Commission. A month by month summary of the Trust Fund accounting by premiums, interest income, drug rebates, claims and ASO fees is included as **Attachment B**.

6. Current Enrollment Data:

Included as **Attachment C** are charts that show the number of employees and the number of lives covered under the plan. The totals shown reflect the same information as provided in the previous report, updated to include the most recent quarter's data.

7. Additional Information Provided by the Secretary of the Personnel Cabinet:

- a. **Early Retiree Reinsurance Program (ERRP).** In an effort to slow the recent erosion in employer-based retiree health coverage, the Patient Protection and Affordable Care Act established a temporary program to reimburse employment-based plans for a portion of the costs they incur providing health coverage to early retirees, ages 55-64 (i.e. non-Medicare eligible).

Under Early Retiree Reinsurance Program ("ERRP"), as interpreted by regulations issued by the Department of Health and Human Services (HHS), a plan sponsor may be reimbursed for 80% of the qualifying retiree health benefit costs paid by its health plan. Qualifying costs attributable to an early retiree for a particular plan year are limited to those that exceed \$15,000 and do not exceed \$90,000. HHS originally set aside \$5 billion to pay these early retiree reimbursements.

Plan sponsors must use reimbursements under the program to lower their health benefit premiums or costs, to lower costs for plan participants, or any combination of the two. See 45 CFR § 149.200(a). The Commonwealth of Kentucky ERRP application stated:

Any funds received through reimbursement under the Early Retiree Reinsurance Program will be used (1) to offset increases in health benefit costs under KEHP for the Commonwealth of Kentucky, (2) to reduce premium contributions, copayments, deductibles, coinsurance, or other out-of-pocket costs for some or all participants in KEHP, or (3) both to offset increases in the Commonwealth's costs and to reduce participants' costs under KEHP...The Commonwealth expects that most or all of the funds it receives in 2010 or 2011 will be used to reduce future health care inflation trend costs for KEHP in 2012...By applying the funds in this manner, the Commonwealth anticipates that it will be able to maintain KEHP benefits for 2012 at a higher level, and/or to keep participant contributions and cost-sharing amounts under KEHP for 2012 at a lower level, than these amounts would be if

the Commonwealth received no reimbursements from the Early Retiree Reinsurance Program. To the extent that the reimbursement funds are applied to offset health care inflation trend costs, the funds will benefit all participants in KEHP.

Reimbursements may not be used as general revenue for the plan sponsor. See PPACA, Pub. L. No. 111-148, § 1102(c)(4) (2010); 45 CFR § 149.200(b). In order to ensure that reimbursements are not used as general revenue, sponsors who use reimbursements to lower their own health benefit premiums or costs must maintain their level of financial effort in supporting the plan. Such sponsors can use reimbursements to cover their share of premium increases from year to year, but they cannot use reimbursements to simply lower their level of plan contributions. See Preamble to Early Retiree Reinsurance Program, 75 Fed. Reg. 24450 (May 5, 2010). The sponsor must explain in the program application how it will maintain its contribution to the plan, as required by 45 CFR 149.40(a)(5)(iii).

Whether a sponsor has satisfied the maintenance of effort requirement generally will be determined by comparing the sponsor's health benefit costs (including premiums) for all plan participants for the applicable year to the sponsor's costs for the plan year cycle ending immediately prior to submission of the plan's certification application.

Early Retiree Reinsurance Program FAQs state: “[t]he regulations explain that the purpose of the maintenance of effort requirement is to prohibit sponsors from using program funds as general revenue. If a sponsor could show maintenance of effort by showing it paid the same percentage of total costs, such an approach would incentivize the deterioration of health benefits because sponsors could pay less to sponsor a plan by sponsoring a less robust plan but receive the same amount of reimbursement under the program, which is contrary to the purpose of the statute and our regulations.”

The Commonwealth of Kentucky's ERRP Application response about uses of ERRP funds and maintenance of effort, in relevant part, states:

...For plan year 2010, the average annual employer contribution, based on enrollment, is estimated to be \$8,348.04 per participant. The average annual employer contribution for plan year 2012 is estimated to be \$8,515.08 per participant. As a result of these budgeted increases in employer contributions, the Commonwealth's level of support for KEHP is projected to be at least equal to and, in fact, greater than its support during plan year 2010.

The Commonwealth of Kentucky, as plan sponsor, had received \$95,474,715.51. Following the guidance of the Early Retiree Reinsurance Program FAQs the ERRP funds were deposited into a sub-account of the public employee health insurance trust fund, in preparation for using the funds compliant with the Commonwealth's application and 45 CFR § 149.200 (a) and (b) in plan year 2013 and/or plan year 2014.

ERRP Funds are being used in compliance with EERP program requirements for plan years 2013 and 2014. As of June 30, 2014, the Commonwealth of Kentucky, EERP fund has been completely expended in accordance with EERP program guidelines and requirements.

- b. **House Bill (HB) 265:** HB 265 – enacted during the 2012 regular session of the Kentucky General Assembly and subjected to line item veto by the Governor – addresses certain issues with respect to the finances of the Kentucky Employees' Health Plan. Following the line item veto, the legislation reads in pertinent part as follows: "State Group Health Insurance Plan - Plan Year Closure: Notwithstanding KRS 18A.2254, plan years 2006, 2007, 2008, and 2009 shall be considered closed as of December 31, 2011, and all balances from those plan years shall be transferred to Plan Year 2010. All other income and expenses attributable to the closed plan years shall be deposited in or charged to the Plan Year 2010 account after that date. Notwithstanding KRS 18A.2254, transfer of funds from Plan Year 2010 is authorized." KEHP has made the necessary adjustments to its financial statements as directed by HB265.

8. Additional Information as Requested by the Committee:

No additional information has been requested by the Committee for inclusion in this report.

9. Additional reports provided for 2nd and 4th quarter reports:

- a. **A projection of medical claims incurred but not yet reported that are considered liabilities to the trust fund:**

A statement of medical claims incurred but not yet reported (IBNR) as of June 30, 2014 is being prepared by KEHP's actuarial consultant, Aon-Hewitt. As of the date of this report, the IBNR report had not been completed. This report will be updated with an IBNR report as **Appendix D** as soon as the report is received.

- b. **A statement of any other trust fund liabilities:**

A statement of other trust fund liabilities as of June 30, 2014 is being prepared by KEHP's accounting consultant, Blue & Company. As of the date of this report, the statement of other trust fund liabilities had not been completed. This report will be updated with a statement of other trust fund liabilities as soon as the statement is received.

- c. **A detailed calculation of premium rates including base claims, trend assumptions, administrative fees and any benefit and plan changes:**

As required by Kentucky Revised Statute (KRS) 18A.2254(1)(a), premium rates for the 2014 Plan Year were set forth in the 2014 KEHP Benefits Selection Guide, which was filed as a part of and appended to 101 Kentucky Administrative Regulation (KAR) 210 on or about September 15, 2013.

- d. **A detailed description of the current in-state and out-of-state networks provided under the plan:**

Due to the addition of new providers and termination of established providers, provider networks change on a continuous basis and printed directories are often incomplete. As a result, KEHP's Third Party Administrator (TPA) — Humana — encourages the use of on-line provider directories, which are updated at least weekly. The link to Humana's *Physician Finder Plus*, which provides the capability to

search for a provider based on provider type and location, is: <http://www.humana.com/members/explore/> (the “Provider Search” feature is located at the bottom right of the page). Humana’s Customer Service Center can provide assistance in locating a participating provider. Other than normal provider contracting activities, no proposed network changes are currently pending.

e. Specific data regarding the TPA’s performance, including

i. Results or outcomes of disease management and wellness programs:

Results or outcomes of disease management and wellness programs are included in **Attachment E**, which contains information provided by Humana’s disease management subsidiary, Humana Health.

Additional results or outcomes of disease management and wellness programs are included in **Attachment F**, which contains information provided by Humana and the Department of Employee Insurance (DEI).

ii. Results of case management audits and educational and communication efforts:

Results or outcomes of case management and educational and communication efforts are included in **Attachment E**, which contains information provided by Humana’s case management and utilization management subsidiary, Humana Health.

iii. Comparison of actual measurable results to contract performance guarantees:

KEHP’s Third Party Administrator (TPA) — Humana — met all contract performance standards for the 2nd Quarter of 2014. A comparison of actual measurable results to contract performance guarantees is included in the report appended hereto as **Attachment G**.

KEHP’s Pharmacy Benefits Manager (PBM) — Express Scripts — failed to meet several contract performance standards for the 2nd Quarter of 2014 and as of this date, penalties are pending. Express Scripts did not meet Direct Claims Turnaround Time, for which 95% of claims should be processed within 10 days. In the 2nd Quarter, ESI’s Direct Claim Turnaround rate was only 84% processed within 10 days. They also did not meet the performance requirements for Claim Accuracy Rate, which should be 99.5% or higher. ESI’s actual Claim Accuracy Rate for the 2nd Quarter was 97.9%. A comparison of actual measurable results to contract performance guarantees is included in the report appended hereto as **Attachment H**.

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-1

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|-------------------------|----------|-------------------|-----------|
| | <u>Beginning Balance</u> | <u>\$255,916,402.54</u> | | | |
| 05/02/14 | INTEREST DISTRIBUTION APRIL 2014 | 3,921.48 | 110 | Interest Income | 2010 |
| 03/31/14 | MEDICAL ESCHEATS FEBRUARY 2014, PY 2010 | 678.31 | 201 | Medical Claims | 2010 |
| 04/29/14 | MEDICAL VOIDS MARCH 2014, PY 2010 | 826.37 | 201 | Medical Claims | 2010 |
| 04/29/14 | HEALTH CLAIM RECOVERY MARCH 2014, PY 2010 | 28,434.41 | 201 | Medical Claims | 2010 |
| 04/29/14 | MEDICAL ESCHEATS MARCH 2014, PY 2010 | (138.87) | 201 | Medical Claims | 2010 |
| 04/04/14 | MEDICAL CLAIMS 2010 | (125.30) | 201 | Medical Claims | 2010 |
| 04/08/14 | MEDICAL CLAIMS 2010 | 2.18 | 201 | Medical Claims | 2010 |
| 04/11/14 | MEDICAL CLAIMS 2010 | (248.00) | 201 | Medical Claims | 2010 |
| 04/15/14 | MEDICAL CLAIMS 2010 | (2,859.58) | 201 | Medical Claims | 2010 |
| 04/16/14 | MEDICAL CLAIMS 2010 | (1,588.80) | 201 | Medical Claims | 2010 |
| 04/18/14 | MEDICAL CLAIMS 2010 | (105.65) | 201 | Medical Claims | 2010 |
| 04/29/14 | MEDICAL CLAIMS 2010 | (21.00) | 201 | Medical Claims | 2010 |
| 04/30/14 | HUMANA SHARED SAVINGS 03/2014 | (25.35) | 202 | Humana Admin | 2010 |
| 04/07/14 | HRA SELECT RECOVERIES FEBRUARY 2014, PY 2010 | 1,175.24 | 203 | HRA Select Claims | 2010 |
| 04/15/14 | HRA CLAIM PAYMENTS (HRA SELECT 2010) | (43.60) | 203 | HRA Select Claims | 2010 |
| 04/18/14 | HRA CLAIM PAYMENTS (HRA SELECT 2010) HRA WAIVER RECOVERIES FEBRUARY 2014, PY 2010 | 1,400.00 | 203 | HRA Select Claims | 2010 |
| 04/07/14 | | 1,822.16 | 204 | HRA Waiver Claims | 2010 |
| 04/04/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2010) | 94.84 | 204 | HRA Waiver Claims | 2010 |
| 04/08/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2010) | 309.00 | 204 | HRA Waiver Claims | 2010 |
| 04/10/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2010) | 179.70 | 204 | HRA Waiver Claims | 2010 |
| 05/02/14 | INTEREST DISTRIBUTION APRIL 2014 | 3,428.92 | 110 | Interest Income | 2011 |
| 03/31/14 | MEDICAL ESCHEATS FEBRUARY 2014, PY 2011 | 581.22 | 201 | Medical Claims | 2011 |
| 03/31/14 | MEDICAL VOIDS FEBRUARY 2014, PY 2011 | 75.00 | 201 | Medical Claims | 2011 |
| 04/29/14 | HEALTH CLAIM RECOVERY MARCH 2014, PY 2011 | 34,433.55 | 201 | Medical Claims | 2011 |
| 04/29/14 | MEDICAL ESCHEATS MARCH 2014, PY 2011 | 237.15 | 201 | Medical Claims | 2011 |
| 03/31/14 | MEDICAL CLAIMS 2011 | (18.40) | 201 | Medical Claims | 2011 |
| 04/01/14 | MEDICAL CLAIMS 2011 | (1,764.73) | 201 | Medical Claims | 2011 |
| 04/02/14 | MEDICAL CLAIMS 2011 | (1,197.75) | 201 | Medical Claims | 2011 |
| 04/03/14 | MEDICAL CLAIMS 2011 | (66.51) | 201 | Medical Claims | 2011 |
| 04/04/14 | MEDICAL CLAIMS 2011 | (1,915.99) | 201 | Medical Claims | 2011 |
| 04/07/14 | MEDICAL CLAIMS 2011 | (19,720.53) | 201 | Medical Claims | 2011 |
| 04/08/14 | MEDICAL CLAIMS 2011 | (1,379.73) | 201 | Medical Claims | 2011 |
| 04/10/14 | MEDICAL CLAIMS 2011 | (3,082.92) | 201 | Medical Claims | 2011 |
| 04/11/14 | MEDICAL CLAIMS 2011 | (644.51) | 201 | Medical Claims | 2011 |
| 04/14/14 | MEDICAL CLAIMS 2011 | (7,073.86) | 201 | Medical Claims | 2011 |
| 04/15/14 | MEDICAL CLAIMS 2011 | (53.84) | 201 | Medical Claims | 2011 |
| 04/16/14 | MEDICAL CLAIMS 2011 | (1,605.76) | 201 | Medical Claims | 2011 |
| 04/17/14 | MEDICAL CLAIMS 2011 | (19,440.95) | 201 | Medical Claims | 2011 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-2

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|-------------|----------|------------------------------------|-----------|
| 04/18/14 | MEDICAL CLAIMS 2011 | (144.35) | 201 | Medical Claims | 2011 |
| 04/21/14 | MEDICAL CLAIMS 2011 | 174.91 | 201 | Medical Claims | 2011 |
| 04/22/14 | MEDICAL CLAIMS 2011 | (793.58) | 201 | Medical Claims | 2011 |
| 04/25/14 | MEDICAL CLAIMS 2011 | (1,427.69) | 201 | Medical Claims | 2011 |
| 04/28/14 | MEDICAL CLAIMS 2011 | (120.00) | 201 | Medical Claims | 2011 |
| 04/29/14 | MEDICAL CLAIMS 2011 | 92.00 | 201 | Medical Claims | 2011 |
| 04/03/14 | HUMANA SHARED SAVINGS 02/2014 | (63.33) | 202 | Humana Admin | 2011 |
| 04/07/14 | HRA SELECT RECOVERIES FEBRUARY 2014, PY 2011 | 221.31 | 203 | HRA Select Claims | 2011 |
| 04/07/14 | HRA SELECT ESCHEATS FEBRUARY 2014, PY 2011 | 20.50 | 203 | HRA Select Claims | 2011 |
| 04/07/14 | HRA WAIVER RECOVERIES FEBRUARY 2014, PY 2011 | 1,011.51 | 204 | HRA Waiver Claims | 2011 |
| 04/03/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2011) | (565.00) | 204 | HRA Waiver Claims | 2011 |
| 04/08/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2011) | 72.02 | 204 | HRA Waiver Claims | 2011 |
| 04/10/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2011) | 609.54 | 204 | HRA Waiver Claims | 2011 |
| 04/22/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2011) | 122.37 | 204 | HRA Waiver Claims | 2011 |
| 04/15/14 | EXPRESS SCRIPTS 04/15/2014 | (241.07) | 301 | Pharmacy Claims | 2011 |
| 04/22/14 | EXPRESS SCRIPTS 04/22/2014 | 9.60 | 301 | Pharmacy Claims Express Scripts | 2011 |
| 04/21/14 | EXPRESS SCRIPTS 04/15/2014 | (12.50) | 302 | Admin Express Scripts | 2011 |
| 04/25/14 | EXPRESS SCRIPTS 04/22/2014 | (2.50) | 302 | Admin Operating | 2011 |
| 04/16/14 | ERRP OFM INVESTMENT MANAGEMENT FEE 3/31/2014 | (12,121.12) | 501 | Transfer/Exp & Adj | 2011 |
| 05/02/14 | INTEREST DISTRIBUTION APRIL 2014 | (227.02) | 110 | Interest Income | 2012 |
| 04/29/14 | IST QRT MEDICAL INTEREST EARNED, MARCH 2014, PY 2012 | 0.31 | 110 | Interest Income | 2012 |
| 03/31/14 | MEDICAL VOIDS FEBRUARY 2014, PY 2012 | 36.22 | 201 | Medical Claims | 2012 |
| 03/31/14 | MEDICAL ESCHEATS FEBRUARY 2014, PY 2012 | 15,716.59 | 201 | Medical Claims | 2012 |
| 04/29/14 | HEALTH CLAIM RECOVERY MARCH 2014, PY 2012 | 599,392.18 | 201 | Medical Claims | 2012 |
| 04/29/14 | MEDICAL ESCHEATS MARCH 2014, PY 2012 | 4,750.70 | 201 | Medical Claims | 2012 |
| 03/31/14 | MEDICAL CLAIMS 2012 | (12,365.40) | 201 | Medical Claims | 2012 |
| 04/01/14 | MEDICAL CLAIMS 2012 | (3,243.69) | 201 | Medical Claims | 2012 |
| 04/02/14 | MEDICAL CLAIMS 2012 | (10,264.91) | 201 | Medical Claims | 2012 |
| 04/03/14 | MEDICAL CLAIMS 2012 | (18,788.86) | 201 | Medical Claims | 2012 |
| 04/04/14 | MEDICAL CLAIMS 2012 | (24,093.37) | 201 | Medical Claims | 2012 |
| 04/07/14 | MEDICAL CLAIMS 2012 | (59,782.03) | 201 | Medical Claims | 2012 |
| 04/08/14 | MEDICAL CLAIMS 2012 | (34,075.27) | 201 | Medical Claims | 2012 |
| 04/09/14 | MEDICAL CLAIMS 2012 | (15,795.87) | 201 | Medical Claims | 2012 |
| 04/10/14 | MEDICAL CLAIMS 2012 | (19,049.78) | 201 | Medical Claims | 2012 |
| 04/11/14 | MEDICAL CLAIMS 2012 | (43,483.54) | 201 | Medical Claims | 2012 |
| 04/14/14 | MEDICAL CLAIMS 2012 | 1,060.91 | 201 | Medical Claims | 2012 |
| 04/15/14 | MEDICAL CLAIMS 2012 | (2,430.23) | 201 | Medical Claims | 2012 |
| 04/16/14 | MEDICAL CLAIMS 2012 | (43,905.36) | 201 | Medical Claims | 2012 |
| 04/17/14 | MEDICAL CLAIMS 2012 | (36,015.07) | 201 | Medical Claims | 2012 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-3

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|-------------|----------|------------------------------------|-----------|
| 04/18/14 | MEDICAL CLAIMS 2012 | (14,511.24) | 201 | Medical Claims | 2012 |
| 04/21/14 | MEDICAL CLAIMS 2012 | (3,741.03) | 201 | Medical Claims | 2012 |
| 04/22/14 | MEDICAL CLAIMS 2012 | (7,149.45) | 201 | Medical Claims | 2012 |
| 04/23/14 | MEDICAL CLAIMS 2012 | (32,747.47) | 201 | Medical Claims | 2012 |
| 04/24/14 | MEDICAL CLAIMS 2012 | (46,615.11) | 201 | Medical Claims | 2012 |
| 04/25/14 | MEDICAL CLAIMS 2012 | (18,574.49) | 201 | Medical Claims | 2012 |
| 04/28/14 | MEDICAL CLAIMS 2012 | (9,797.44) | 201 | Medical Claims | 2012 |
| 04/29/14 | MEDICAL CLAIMS 2012 | (15,516.37) | 201 | Medical Claims | 2012 |
| 04/03/14 | HUMANA SHARED SAVINGS 02/2014 | (755.56) | 202 | Humana Admin | 2012 |
| 04/30/14 | HUMANA SHARED SAVINGS 03/2014 | (74.03) | 202 | Humana Admin | 2012 |
| 04/07/14 | HRA SELECT ESCHEATS FEBRUARY 2014, PY 2012 | 62.05 | 203 | HRA Select Claims | 2012 |
| 04/07/14 | HRA SELECT RECOVERIES FEBRUARY 2014, PY 2012 | 1,927.56 | 203 | HRA Select Claims | 2012 |
| 03/31/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 90.49 | 203 | HRA Select Claims | 2012 |
| 04/04/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 51.01 | 203 | HRA Select Claims | 2012 |
| 04/17/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 136.35 | 203 | HRA Select Claims | 2012 |
| 04/07/14 | HRA WAIVER ESCHEATS FEBRUARY 2014, PY 2012 | 1,709.25 | 204 | HRA Waiver Claims | 2012 |
| 04/07/14 | HRA WAIVER RECOVERIES FEBRUARY 2014, PY 2012 | 2,154.39 | 204 | HRA Waiver Claims | 2012 |
| 04/07/14 | HRA WAIVER VOIDS, FEBRUARY 2014, PY 2012 | 270.03 | 204 | HRA Waiver Claims | 2012 |
| 04/01/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 19.34 | 204 | HRA Waiver Claims | 2012 |
| 04/02/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 117.66 | 204 | HRA Waiver Claims | 2012 |
| 04/03/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | (456.00) | 204 | HRA Waiver Claims | 2012 |
| 04/07/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 507.82 | 204 | HRA Waiver Claims | 2012 |
| 04/10/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 16.71 | 204 | HRA Waiver Claims | 2012 |
| 04/11/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 336.25 | 204 | HRA Waiver Claims | 2012 |
| 04/15/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 338.51 | 204 | HRA Waiver Claims | 2012 |
| 04/16/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 233.66 | 204 | HRA Waiver Claims | 2012 |
| 04/22/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 18.58 | 204 | HRA Waiver Claims | 2012 |
| 04/23/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | (437.37) | 204 | HRA Waiver Claims | 2012 |
| 04/01/14 | EXPRESS SCRIPTS 4/01/2014 | 8.36 | 301 | Pharmacy Claims | 2012 |
| 04/15/14 | EXPRESS SCRIPTS 04/15/2014 | (3,336.06) | 301 | Pharmacy Claims Express Scripts | 2012 |
| 04/07/14 | EXPRESS SCRIPTS 04/01/2014 | (2.50) | 302 | Admin Express Scripts | 2012 |
| 04/21/14 | EXPRESS SCRIPTS 04/15/2014 | (295.00) | 302 | Admin Operating | 2012 |
| 04/16/14 | ERRP OFM INVESTMENT MANAGEMENT FEE 3/31/2014 | (42,810.41) | 501 | Transfer/Exp & Adj | 2012 |
| 04/01/14 | HEALTH PREMIUMS | 912.62 | 101 | Premiums | 2013 |
| 04/02/14 | HEALTH PREMIUMS | 8,693.08 | 101 | Premiums | 2013 |
| 04/03/14 | HEALTH PREMIUMS | 141.38 | 101 | Premiums | 2013 |
| 04/10/14 | HEALTH PREMIUMS | 7,768.13 | 101 | Premiums | 2013 |
| 04/17/14 | EPAY-HEALTH PREMIUMS 2013 | 3,847.36 | 101 | Premiums | 2013 |
| 04/21/14 | HEALTH PREMIUMS | 62.76 | 101 | Premiums | 2013 |
| 04/22/14 | HEALTH PREMIUMS | 31.55 | 101 | Premiums | 2013 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-4

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|--------------|----------|-------------------|-----------|
| 04/23/14 | EPAY-HEALTH PREMIUMS 2013 | 62.74 | 101 | Premiums | 2013 |
| 04/29/14 | HLTH INS REFUND | (69.80) | 101 | Premiums | 2013 |
| 04/29/14 | HLTH INS REFUND | (24.40) | 101 | Premiums | 2013 |
| 05/02/14 | INTEREST DISTRIBUTION APRIL 2014 1ST QRT MEDICAL INTEREST EARNED, MARCH 2014, PY 2013 | 102.86 | 110 | Interest Income | 2013 |
| 04/29/14 | | 3.73 | 110 | Interest Income | 2013 |
| 03/31/14 | MEDICAL VOIDS FEBRUARY 2014, PY 2013 | 11,457.56 | 201 | Medical Claims | 2013 |
| 04/29/14 | MEDICAL VOIDS MARCH 2014, PY 2013 | 18,612.96 | 201 | Medical Claims | 2013 |
| 04/29/14 | HEALTH CLAIM RECOVERY MARCH 2014, PY 2013 | 1,570,556.93 | 201 | Medical Claims | 2013 |
| 03/31/14 | MEDICAL CLAIMS 2013 | (314,988.48) | 201 | Medical Claims | 2013 |
| 04/01/14 | MEDICAL CLAIMS 2013 | (326,986.41) | 201 | Medical Claims | 2013 |
| 04/02/14 | MEDICAL CLAIMS 2013 | (103,685.02) | 201 | Medical Claims | 2013 |
| 04/03/14 | MEDICAL CLAIMS 2013 | (139,947.69) | 201 | Medical Claims | 2013 |
| 04/04/14 | MEDICAL CLAIMS 2013 | (915,189.89) | 201 | Medical Claims | 2013 |
| 04/07/14 | MEDICAL CLAIMS 2013 | (227,311.88) | 201 | Medical Claims | 2013 |
| 04/08/14 | MEDICAL CLAIMS 2013 | (247,791.49) | 201 | Medical Claims | 2013 |
| 04/09/14 | MEDICAL CLAIMS 2013 | (513,891.18) | 201 | Medical Claims | 2013 |
| 04/10/14 | MEDICAL CLAIMS 2013 | (339,897.65) | 201 | Medical Claims | 2013 |
| 04/11/14 | MEDICAL CLAIMS 2013 | (406,425.59) | 201 | Medical Claims | 2013 |
| 04/14/14 | MEDICAL CLAIMS 2013 | (414,084.38) | 201 | Medical Claims | 2013 |
| 04/15/14 | MEDICAL CLAIMS 2013 | (195,245.55) | 201 | Medical Claims | 2013 |
| 04/16/14 | MEDICAL CLAIMS 2013 | (252,939.88) | 201 | Medical Claims | 2013 |
| 04/17/14 | MEDICAL CLAIMS 2013 | (145,171.35) | 201 | Medical Claims | 2013 |
| 04/18/14 | MEDICAL CLAIMS 2013 | (130,489.83) | 201 | Medical Claims | 2013 |
| 04/21/14 | MEDICAL CLAIMS 2013 | (173,134.17) | 201 | Medical Claims | 2013 |
| 04/22/14 | MEDICAL CLAIMS 2013 | (124,111.12) | 201 | Medical Claims | 2013 |
| 04/23/14 | MEDICAL CLAIMS 2013 | (78,753.98) | 201 | Medical Claims | 2013 |
| 04/24/14 | MEDICAL CLAIMS 2013 | (78,682.06) | 201 | Medical Claims | 2013 |
| 04/25/14 | MEDICAL CLAIMS 2013 | (185,191.79) | 201 | Medical Claims | 2013 |
| 04/28/14 | MEDICAL CLAIMS 2013 | (195,623.66) | 201 | Medical Claims | 2013 |
| 04/29/14 | MEDICAL CLAIMS 2013 | (270,033.50) | 201 | Medical Claims | 2013 |
| 04/03/14 | HUMANA SHARED SAVINGS 02/2014 | (52,544.42) | 202 | Humana Admin | 2013 |
| 04/30/14 | HUMANA SHARED SAVINGS 03/2014 | (25,015.17) | 202 | Humana Admin | 2013 |
| 04/07/14 | HRA SELECT VOIDS FEBRUARY 2014, PY 2013 | 4,468.17 | 203 | HRA Select Claims | 2013 |
| 04/07/14 | HRA SELECT RECOVERIES FEBRUARY 2014, PY 2013 | 6,943.07 | 203 | HRA Select Claims | 2013 |
| 03/31/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (37,189.07) | 203 | HRA Select Claims | 2013 |
| 04/01/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (7,678.32) | 203 | HRA Select Claims | 2013 |
| 04/02/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (13,725.43) | 203 | HRA Select Claims | 2013 |
| 04/03/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (26,061.69) | 203 | HRA Select Claims | 2013 |
| 04/04/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (25,592.16) | 203 | HRA Select Claims | 2013 |
| 04/07/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (15,101.20) | 203 | HRA Select Claims | 2013 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-5

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|--------------|----------|-------------------|-----------|
| 04/08/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (15,861.82) | 203 | HRA Select Claims | 2013 |
| 04/09/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (2,676.46) | 203 | HRA Select Claims | 2013 |
| 04/10/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (2,035.80) | 203 | HRA Select Claims | 2013 |
| 04/11/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (11,083.21) | 203 | HRA Select Claims | 2013 |
| 04/14/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (4,882.59) | 203 | HRA Select Claims | 2013 |
| 04/15/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (6,936.48) | 203 | HRA Select Claims | 2013 |
| 04/16/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (1,185.73) | 203 | HRA Select Claims | 2013 |
| 04/17/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (6,019.69) | 203 | HRA Select Claims | 2013 |
| 04/18/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (3,753.16) | 203 | HRA Select Claims | 2013 |
| 04/21/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (461.58) | 203 | HRA Select Claims | 2013 |
| 04/22/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 543.20 | 203 | HRA Select Claims | 2013 |
| 04/23/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (1,572.18) | 203 | HRA Select Claims | 2013 |
| 04/24/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 796.43 | 203 | HRA Select Claims | 2013 |
| 04/25/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (537.54) | 203 | HRA Select Claims | 2013 |
| 04/28/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (1,148.89) | 203 | HRA Select Claims | 2013 |
| 04/29/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 208.28 | 203 | HRA Select Claims | 2013 |
| 04/07/14 | HRA WAIVER VOIDS, FEBRUARY 2014, PY 2013 HRA LIMITED D&V CLAIM RECOVERIES FEBRUARY | 7,456.10 | 204 | HRA Waiver Claims | 2013 |
| 04/07/14 | 2014, PY 2013 HRA WAIVER RECOVERIES FEBRUARY 2014, PY | 20.00 | 204 | HRA Waiver Claims | 2013 |
| 04/07/14 | 2013 | 23,686.85 | 204 | HRA Waiver Claims | 2013 |
| 03/31/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (62,384.89) | 204 | HRA Waiver Claims | 2013 |
| 04/01/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (46,117.80) | 204 | HRA Waiver Claims | 2013 |
| 04/02/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (65,234.80) | 204 | HRA Waiver Claims | 2013 |
| 04/03/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (106,244.87) | 204 | HRA Waiver Claims | 2013 |
| 04/04/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (80,606.86) | 204 | HRA Waiver Claims | 2013 |
| 04/07/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (93,280.23) | 204 | HRA Waiver Claims | 2013 |
| 04/08/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (47,296.75) | 204 | HRA Waiver Claims | 2013 |
| 04/09/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (25,131.43) | 204 | HRA Waiver Claims | 2013 |
| 04/10/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (10,726.69) | 204 | HRA Waiver Claims | 2013 |
| 04/11/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (31,244.25) | 204 | HRA Waiver Claims | 2013 |
| 04/14/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (17,467.63) | 204 | HRA Waiver Claims | 2013 |
| 04/15/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (27,763.43) | 204 | HRA Waiver Claims | 2013 |
| 04/16/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (16,416.94) | 204 | HRA Waiver Claims | 2013 |
| 04/17/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (35,385.83) | 204 | HRA Waiver Claims | 2013 |
| 04/18/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (13,855.26) | 204 | HRA Waiver Claims | 2013 |
| 04/21/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (1,732.99) | 204 | HRA Waiver Claims | 2013 |
| 04/22/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (7,446.36) | 204 | HRA Waiver Claims | 2013 |
| 04/23/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (1.98) | 204 | HRA Waiver Claims | 2013 |
| 04/24/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 406.87 | 204 | HRA Waiver Claims | 2013 |
| 04/25/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (535.10) | 204 | HRA Waiver Claims | 2013 |
| 04/28/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (2,761.48) | 204 | HRA Waiver Claims | 2013 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-6

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|---------------|----------|------------------------------------|-----------|
| 04/29/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 535.89 | 204 | HRA Waiver Claims | 2013 |
| 04/01/14 | EXPRESS SCRIPTS 4/01/2014 | (1,262.12) | 301 | Pharmacy Claims | 2013 |
| 04/08/14 | EXPRESS SCRIPTS 04/08/2014 | 2,517.83 | 301 | Pharmacy Claims | 2013 |
| 04/15/14 | EXPRESS SCRIPTS 04/15/2014 | (3,899.47) | 301 | Pharmacy Claims | 2013 |
| 04/22/14 | EXPRESS SCRIPTS 04/22/2014 | (526.57) | 301 | Pharmacy Claims | 2013 |
| 04/29/14 | EXPRESS SCRIPTS 04/29/2014 | 512.26 | 301 | Pharmacy Claims | 2013 |
| 04/29/14 | EXPRESS SCRIPTS 04/29/2014 | (455.35) | 301 | Pharmacy Claims Express Scripts | 2013 |
| 04/11/14 | ESCRIT 2013 PERFORMANCE GUARANTEE | 60,521.00 | 302 | Admin Express Scripts | 2013 |
| 04/02/14 | EXPRESS SCRIPTS 03/25/2014 | (51.28) | 302 | Admin Express Scripts | 2013 |
| 04/07/14 | EXPRESS SCRIPTS 04/01/2014 | (52.90) | 302 | Admin Express Scripts | 2013 |
| 04/11/14 | EXPRESS SCRIPTS 04/08/2014 | (5.08) | 302 | Admin Express Scripts | 2013 |
| 04/21/14 | EXPRESS SCRIPTS 04/15/2014 | (170.00) | 302 | Admin Express Scripts | 2013 |
| 04/25/14 | EXPRESS SCRIPTS 04/22/2014 | (85.00) | 302 | Admin Operating | 2013 |
| 04/16/14 | ERRP OFM INVESTMENT MANAGEMENT FEE 3/31/2014 | (44,704.22) | 501 | Transfer/Exp & Adj | 2013 |
| 04/09/14 | UPPS PAYROLL | 13,258,453.18 | 101 | Premiums | 2014 |
| 04/24/14 | UPPS PAYROLL | 13,332,970.95 | 101 | Premiums | 2014 |
| 04/01/14 | UPPS PAYROLL | 700.10 | 101 | Premiums | 2014 |
| 04/15/14 | UPPS PAYROLL | 1,165.02 | 101 | Premiums | 2014 |
| 04/17/14 | UPPS PAYROLL | (195.67) | 101 | Premiums | 2014 |
| 04/22/14 | UPPS PAYROLL | (497.97) | 101 | Premiums | 2014 |
| 04/30/14 | UPPS PAYROLL | 1,726.90 | 101 | Premiums | 2014 |
| 03/24/14 | KRS #31100 03/14 HEALTH PREMIUM | 177,508.49 | 101 | Premiums | 2014 |
| 03/25/14 | EPAY-HEALTH PREMIUMS 2014 | 73,258.68 | 101 | Premiums | 2014 |
| 03/25/14 | EPAY-HEALTH PREMIUMS 2014 | 6,913.52 | 101 | Premiums | 2014 |
| 03/31/14 | EPAY-HEALTH PREMIUMS 2014 | 188,186.87 | 101 | Premiums | 2014 |
| 03/31/14 | EPAY-HEALTH PREMIUMS 2014 | 16,518.38 | 101 | Premiums | 2014 |
| 03/31/14 | EPAY-HEALTH PREMIUMS 2014 | 438,847.58 | 101 | Premiums | 2014 |
| 04/01/14 | HEALTH PREMIUMS | 1,781,378.80 | 101 | Premiums | 2014 |
| 04/02/14 | HEALTH PREMIUMS | 852,361.99 | 101 | Premiums | 2014 |
| 04/02/14 | EPAY-HEALTH PREMIUMS 2014 | 7,610.10 | 101 | Premiums | 2014 |
| 04/02/14 | EPAY-HEALTH PREMIUMS 2014 | 653,807.49 | 101 | Premiums | 2014 |
| 04/03/14 | HEALTH PREMIUMS | 534,291.50 | 101 | Premiums | 2014 |
| 04/04/14 | HEALTH PREMIUMS | 707,759.76 | 101 | Premiums | 2014 |
| 04/04/14 | EPAY-HEALTH PREMIUMS 2014 | 101,117.11 | 101 | Premiums | 2014 |
| 04/04/14 | EPAY-HEALTH PREMIUMS 2014 | 85,266.48 | 101 | Premiums | 2014 |
| 04/07/14 | HEALTH PREMIUMS | 691,036.99 | 101 | Premiums | 2014 |
| 04/08/14 | EPAY-HEALTH PREMIUMS 2014 | 208,937.50 | 101 | Premiums | 2014 |
| 04/08/14 | EPAY-HEALTH PREMIUMS 2014 | 339,847.59 | 101 | Premiums | 2014 |
| 04/08/14 | HEALTH PREMIUMS | 141,913.31 | 101 | Premiums | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-7

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|----------------------------------|--------------|----------|-----------------|-----------|
| 04/09/14 | KRS #31100 04/14 HEALTH PREMIUM | 14,845.29 | 101 | Premiums | 2014 |
| 04/10/14 | EPAY-HEALTH PREMIUMS 2014 | 1,597.12 | 101 | Premiums | 2014 |
| 04/10/14 | EPAY-HEALTH PREMIUMS 2014 | 21,023.11 | 101 | Premiums | 2014 |
| 04/10/14 | HEALTH PREMIUMS | 371,577.24 | 101 | Premiums | 2014 |
| 04/11/14 | EPAY-HEALTH PREMIUMS 2014 | 244,638.53 | 101 | Premiums | 2014 |
| 04/11/14 | HEALTH PREMIUMS | 458,622.36 | 101 | Premiums | 2014 |
| 04/14/14 | HEALTH PREMIUMS | 476,608.26 | 101 | Premiums | 2014 |
| 04/15/14 | JEFFERSON CO BOE MAR 2014 HEALTH | 1,593,025.74 | 101 | Premiums | 2014 |
| 04/15/14 | EPAY-HEALTH PREMIUMS 2014 | 4,325.26 | 101 | Premiums | 2014 |
| 04/15/14 | HEALTH PREMIUMS | 82,834.10 | 101 | Premiums | 2014 |
| 04/15/14 | EPAY-HEALTH PREMIUMS 2014 | 9,487.44 | 101 | Premiums | 2014 |
| 04/16/14 | HEALTH PREMIUMS | 49,505.96 | 101 | Premiums | 2014 |
| 04/16/14 | EPAY-HEALTH PREMIUMS 2014 | 97,742.38 | 101 | Premiums | 2014 |
| 04/17/14 | EPAY-HEALTH PREMIUMS 2014 | 149,773.70 | 101 | Premiums | 2014 |
| 04/17/14 | HEALTH PREMIUMS | 36,860.97 | 101 | Premiums | 2014 |
| 04/18/14 | EPAY-HEALTH PREMIUMS 2014 | 9,212.96 | 101 | Premiums | 2014 |
| 04/18/14 | HEALTH PREMIUMS | 109,973.24 | 101 | Premiums | 2014 |
| 04/21/14 | EPAY-HEALTH PREMIUMS 2014 | 50,532.74 | 101 | Premiums | 2014 |
| 04/21/14 | JUDICIAL RETIREMENT 04/14 | 4,352.86 | 101 | Premiums | 2014 |
| 04/21/14 | JUDICIAL RETIREMENT 04/14 | 105,041.02 | 101 | Premiums | 2014 |
| 04/21/14 | HEALTH PREMIUMS | 770,536.78 | 101 | Premiums | 2014 |
| 04/21/14 | LEGISLATIVE RETIREMENT 04/14 | 4,366.67 | 101 | Premiums | 2014 |
| 04/21/14 | LEGISLATIVE RETIREMENT 04/14 | 22,601.89 | 101 | Premiums | 2014 |
| 04/22/14 | HEALTH PREMIUMS | 408,382.90 | 101 | Premiums | 2014 |
| 04/22/14 | EPAY-HEALTH PREMIUMS 2014 | 43,029.16 | 101 | Premiums | 2014 |
| 04/23/14 | HEALTH PREMIUMS | 392,559.33 | 101 | Premiums | 2014 |
| 04/23/14 | EPAY-HEALTH PREMIUMS 2014 | 29,925.68 | 101 | Premiums | 2014 |
| 04/24/14 | COBRA PREMIUMS MAR 2014 | 119,063.38 | 101 | Premiums | 2014 |
| 04/24/14 | HEALTH PREMIUMS | 293,708.53 | 101 | Premiums | 2014 |
| 04/25/14 | HEALTH PREMIUMS | 760,445.29 | 101 | Premiums | 2014 |
| 04/28/14 | EPAY-HEALTH PREMIUMS 2014 | 224,437.40 | 101 | Premiums | 2014 |
| 04/28/14 | EPAY-HEALTH PREMIUMS 2014 | 8,698.64 | 101 | Premiums | 2014 |
| 04/28/14 | EPAY-HEALTH PREMIUMS 2014 | 32,607.10 | 101 | Premiums | 2014 |
| 04/28/14 | HEALTH PREMIUMS | 1,021,055.83 | 101 | Premiums | 2014 |
| 04/29/14 | HEALTH PREMIUMS | 1,076,902.60 | 101 | Premiums | 2014 |
| 04/30/14 | HEALTH PREMIUMS | 496,591.86 | 101 | Premiums | 2014 |
| 04/21/14 | HLTH INS REFUND | (239.96) | 101 | Premiums | 2014 |
| 04/07/14 | HLTH INS REFUND | (31.38) | 101 | Premiums | 2014 |
| 04/09/14 | HLTH INS REFUND | (157.36) | 101 | Premiums | 2014 |
| 04/15/14 | HLTH INS REFUND | (39.99) | 101 | Premiums | 2014 |
| 04/23/14 | HLTH INS REFUND | (428.03) | 101 | Premiums | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-8

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|----------------------------------|---------------|----------|----------------------------|-----------|
| 04/29/14 | HLTH INS REFUND | (418.94) | 101 | Premiums | 2014 |
| 04/21/14 | HLTH INS REFUND | (39.99) | 101 | Premiums | 2014 |
| 04/09/14 | HLTH INS REFUND | (120.00) | 101 | Premiums | 2014 |
| 04/15/14 | HLTH INS REFUND | (31.38) | 101 | Premiums | 2014 |
| 04/23/14 | HLTH INS REFUND | (31.38) | 101 | Premiums | 2014 |
| 04/29/14 | HLTH INS REFUND | (227.98) | 101 | Premiums | 2014 |
| 04/21/14 | HLTH INS REFUND | (23.99) | 101 | Premiums | 2014 |
| 04/07/14 | HLTH INS REFUND | (40.38) | 101 | Premiums | 2014 |
| 04/15/14 | HLTH INS REFUND | (137.00) | 101 | Premiums | 2014 |
| 04/23/14 | HLTH INS REFUND | (71.97) | 101 | Premiums | 2014 |
| 04/07/14 | HLTH INS REFUND | (59.17) | 101 | Premiums | 2014 |
| 04/23/14 | HLTH INS REFUND | (184.56) | 101 | Premiums | 2014 |
| 04/29/14 | HLTH INS REFUND | (458.94) | 101 | Premiums | 2014 |
| 04/07/14 | HLTH INS REFUND | (152.98) | 101 | Premiums | 2014 |
| 04/23/14 | HLTH INS REFUND | (706.04) | 101 | Premiums | 2014 |
| 04/23/14 | HLTH INS REFUND | (153.07) | 101 | Premiums | 2014 |
| 04/01/14 | KDE PREMIUMS MAR 2014 | 56,330,014.22 | 101 | Premiums | 2014 |
| 04/16/14 | KRS 04/14 HEALTH PREMIUMS | 21,784,932.41 | 101 | Premiums | 2014 |
| 04/28/14 | KTRS 04/14 PREMIUMS | 10,959,522.90 | 101 | Premiums | 2014 |
| 04/24/14 | CORRECT DEPOSIT FUND ALLOCATIONS | (44,600.02) | 101 | Premiums | 2014 |
| 04/30/14 | CORRECT DEPOSIT FUND ALLOCATIONS | (3.78) | 101 | Premiums HRA Waiver | 2014 |
| 04/09/14 | UPPS PAYROLL | 377,650.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/24/14 | UPPS PAYROLL | 373,537.50 | 102 | HRA Waiver Contribution | 2014 |
| 04/17/14 | UPPS PAYROLL | (87.50) | 102 | HRA Waiver Contribution | 2014 |
| 03/25/14 | EPAY-HRA QUASI 2014 | 350.00 | 102 | HRA Waiver Contribution | 2014 |
| 03/31/14 | EPAY-HRA QUASI 2014 | 2,450.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/01/14 | HRA QUASI DEPOSIT | 3,150.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/02/14 | HRA QUASI DEPOSIT | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/02/14 | EPAY-HRA QUASI 2014 | 700.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/03/14 | HRA QUASI DEPOSIT | 3,500.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/14/14 | HRA QUASI DEPOSIT | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/16/14 | EPAY-HRA QUASI 2014 | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/21/14 | EPAY-HRA QUASI 2014 | 350.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/21/14 | HRA QUASI DEPOSIT | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/22/14 | EPAY-HRA QUASI 2014 | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/23/14 | EPAY-HRA QUASI 2014 | 525.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/25/14 | HRA QUASI DEPOSIT | 175.00 | 102 | HRA Waiver Contribution | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-9

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|----------------|----------|-------------------------|-----------|
| 04/28/14 | HRA QUASI DEPOSIT | 875.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/29/14 | HRA QUASI DEPOSIT | 1,225.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/30/14 | HRA QUASI DEPOSIT | 350.00 | 102 | HRA Waiver Contribution | 2014 |
| 04/30/14 | KDE HRA WAIVER APR 2014 | 3,115,049.46 | 102 | HRA Waiver Contribution | 2014 |
| 04/30/14 | KDE HRA D&V APR 2014 | 55,562.50 | 102 | HRA Waiver Contribution | 2014 |
| 05/02/14 | INTEREST DISTRIBUTION APRIL 2014 1ST QRT MEDICAL INTEREST EARNED, MARCH 2014, PY 2014 | 9,822.32 | 110 | Interest Income | 2014 |
| 04/29/14 | MEDICAL VOIDS FEBRUARY 2014, PY 2014 | 153.62 | 110 | Interest Income | 2014 |
| 03/31/14 | MEDICAL VOIDS MARCH 2014, PY 2014 | 1,785.23 | 201 | Medical Claims | 2014 |
| 04/29/14 | HEALTH CLAIM RECOVERY MARCH 2014, PY 2014 | 1,294.06 | 201 | Medical Claims | 2014 |
| 04/29/14 | MEDICAL CLAIMS 2014 | 8,176.72 | 201 | Medical Claims | 2014 |
| 03/31/14 | MEDICAL CLAIMS 2014 | (5,972,609.43) | 201 | Medical Claims | 2014 |
| 04/01/14 | MEDICAL CLAIMS 2014 | (4,803,441.24) | 201 | Medical Claims | 2014 |
| 04/02/14 | MEDICAL CLAIMS 2014 | (1,331,800.61) | 201 | Medical Claims | 2014 |
| 04/03/14 | MEDICAL CLAIMS 2014 | (2,330,629.88) | 201 | Medical Claims | 2014 |
| 04/04/14 | MEDICAL CLAIMS 2014 | (5,842,662.76) | 201 | Medical Claims | 2014 |
| 04/07/14 | MEDICAL CLAIMS 2014 | (5,736,224.89) | 201 | Medical Claims | 2014 |
| 04/08/14 | MEDICAL CLAIMS 2014 | (3,378,851.40) | 201 | Medical Claims | 2014 |
| 04/09/14 | MEDICAL CLAIMS 2014 | (2,315,384.19) | 201 | Medical Claims | 2014 |
| 04/10/14 | MEDICAL CLAIMS 2014 | (2,562,056.53) | 201 | Medical Claims | 2014 |
| 04/11/14 | MEDICAL CLAIMS 2014 | (5,247,096.01) | 201 | Medical Claims | 2014 |
| 04/14/14 | MEDICAL CLAIMS 2014 | (6,578,072.26) | 201 | Medical Claims | 2014 |
| 04/15/14 | MEDICAL CLAIMS 2014 | (4,136,742.21) | 201 | Medical Claims | 2014 |
| 04/16/14 | MEDICAL CLAIMS 2014 | (1,677,800.93) | 201 | Medical Claims | 2014 |
| 04/17/14 | MEDICAL CLAIMS 2014 | (2,884,794.92) | 201 | Medical Claims | 2014 |
| 04/18/14 | MEDICAL CLAIMS 2014 | (5,779,065.45) | 201 | Medical Claims | 2014 |
| 04/21/14 | MEDICAL CLAIMS 2014 | (7,178,838.68) | 201 | Medical Claims | 2014 |
| 04/22/14 | MEDICAL CLAIMS 2014 | (2,407,443.50) | 201 | Medical Claims | 2014 |
| 04/23/14 | MEDICAL CLAIMS 2014 | (1,857,941.64) | 201 | Medical Claims | 2014 |
| 04/24/14 | MEDICAL CLAIMS 2014 | (2,490,884.86) | 201 | Medical Claims | 2014 |
| 04/25/14 | MEDICAL CLAIMS 2014 | (4,810,431.59) | 201 | Medical Claims | 2014 |
| 04/28/14 | MEDICAL CLAIMS 2014 | (5,563,368.57) | 201 | Medical Claims | 2014 |
| 04/29/14 | MEDICAL CLAIMS 2014 | (3,281,220.25) | 201 | Medical Claims | 2014 |
| 04/03/14 | HUMANA COMPASS HEALTHCARE | (52,175.21) | 201 | Medical Claims | 2014 |
| 04/30/14 | HUMANA HCA CLAIMS SETTLEMENTS | (6,065.37) | 201 | Medical Claims | 2014 |
| 04/30/14 | KDE APR 2014 ASO FEE PMT | 32,704.19 | 202 | Humana Admin | 2014 |
| 04/03/14 | HUMANA SHARED SAVINGS 02/2014 | (142,205.19) | 202 | Humana Admin | 2014 |
| 04/03/14 | HUMANA YMCA | (1,287.00) | 202 | Humana Admin | 2014 |
| 04/03/14 | HUMANA MI SURCHARGE | (624.78) | 202 | Humana Admin | 2014 |
| 04/03/14 | HUMANA BIO METRIC SCREENING | (50,866.00) | 202 | Humana Admin | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-10

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|----------------|----------|-------------------|-----------|
| 04/03/14 | HUMANA ONCOLOGY 02/2014 | (74,880.00) | 202 | Humana Admin | 2014 |
| 04/23/14 | HUMANA ASO FEES 04/2014 | (6,220,598.31) | 202 | Humana Admin | 2014 |
| 04/30/14 | HUMANA DIABETES PREVENTION 03/2014 | (3,816.00) | 202 | Humana Admin | 2014 |
| 04/30/14 | HUMANA ONCOLOGY QM 03/2014 | (75,024.00) | 202 | Humana Admin | 2014 |
| 04/30/14 | HUMANA BIO METRICS 03/2014 | (148,074.00) | 202 | Humana Admin | 2014 |
| 04/30/14 | HUMANA SHARED SAVINGS 03/2014 | (175,894.26) | 202 | Humana Admin | 2014 |
| 04/07/14 | HRA SELECT RECOVERIES FEBRUARY 2014, PY 2014 | 2,689.39 | 203 | HRA Select Claims | 2014 |
| 04/07/14 | HRA SELECT VOIDS FEBRUARY 2014, PY 2014 | 170.92 | 203 | HRA Select Claims | 2014 |
| 03/31/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (372,714.73) | 203 | HRA Select Claims | 2014 |
| 04/01/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (62,874.72) | 203 | HRA Select Claims | 2014 |
| 04/02/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (146,766.05) | 203 | HRA Select Claims | 2014 |
| 04/03/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (178,065.06) | 203 | HRA Select Claims | 2014 |
| 04/04/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (151,603.42) | 203 | HRA Select Claims | 2014 |
| 04/07/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (340,955.45) | 203 | HRA Select Claims | 2014 |
| 04/08/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (58,360.06) | 203 | HRA Select Claims | 2014 |
| 04/09/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (125,462.94) | 203 | HRA Select Claims | 2014 |
| 04/10/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (159,499.91) | 203 | HRA Select Claims | 2014 |
| 04/11/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (154,481.67) | 203 | HRA Select Claims | 2014 |
| 04/14/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (331,149.45) | 203 | HRA Select Claims | 2014 |
| 04/15/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (58,311.25) | 203 | HRA Select Claims | 2014 |
| 04/16/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (115,644.62) | 203 | HRA Select Claims | 2014 |
| 04/17/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (175,934.58) | 203 | HRA Select Claims | 2014 |
| 04/18/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (161,646.31) | 203 | HRA Select Claims | 2014 |
| 04/21/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (334,692.09) | 203 | HRA Select Claims | 2014 |
| 04/22/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (46,292.31) | 203 | HRA Select Claims | 2014 |
| 04/23/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (109,782.53) | 203 | HRA Select Claims | 2014 |
| 04/24/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (160,148.73) | 203 | HRA Select Claims | 2014 |
| 04/25/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (161,151.05) | 203 | HRA Select Claims | 2014 |
| 04/28/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (333,541.50) | 203 | HRA Select Claims | 2014 |
| 04/29/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) HRA WAIVER RECOVERIES FEBRUARY 2014, PY 2014 | (52,913.69) | 203 | HRA Select Claims | 2014 |
| 04/07/14 | HRA WAIVER RECOVERIES FEBRUARY 2014, PY 2014 | 4,249.50 | 204 | HRA Waiver Claims | 2014 |
| 04/07/14 | HRA WAIVER VOIDS, FEBRUARY 2014, PY 2014 | 1,590.23 | 204 | HRA Waiver Claims | 2014 |
| 03/31/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (321,744.61) | 204 | HRA Waiver Claims | 2014 |
| 04/01/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (53,740.84) | 204 | HRA Waiver Claims | 2014 |
| 04/02/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (140,196.57) | 204 | HRA Waiver Claims | 2014 |
| 04/03/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (166,200.55) | 204 | HRA Waiver Claims | 2014 |
| 04/04/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (140,426.17) | 204 | HRA Waiver Claims | 2014 |
| 04/07/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (317,173.22) | 204 | HRA Waiver Claims | 2014 |
| 04/08/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (55,960.86) | 204 | HRA Waiver Claims | 2014 |
| 04/09/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (145,667.44) | 204 | HRA Waiver Claims | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-11

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|----------------|----------|---------------------------------------|-----------|
| 04/10/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (181,985.96) | 204 | HRA Waiver Claims | 2014 |
| 04/11/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (173,338.69) | 204 | HRA Waiver Claims | 2014 |
| 04/14/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (286,875.52) | 204 | HRA Waiver Claims | 2014 |
| 04/15/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (53,394.44) | 204 | HRA Waiver Claims | 2014 |
| 04/16/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (111,177.37) | 204 | HRA Waiver Claims | 2014 |
| 04/17/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (176,339.30) | 204 | HRA Waiver Claims | 2014 |
| 04/18/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (146,162.62) | 204 | HRA Waiver Claims | 2014 |
| 04/21/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (275,406.29) | 204 | HRA Waiver Claims | 2014 |
| 04/22/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (56,438.56) | 204 | HRA Waiver Claims | 2014 |
| 04/23/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (90,605.97) | 204 | HRA Waiver Claims | 2014 |
| 04/24/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (148,956.02) | 204 | HRA Waiver Claims | 2014 |
| 04/25/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (147,035.83) | 204 | HRA Waiver Claims | 2014 |
| 04/28/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (279,534.05) | 204 | HRA Waiver Claims | 2014 |
| 04/29/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (69,519.51) | 204 | HRA Waiver Claims | 2014 |
| 04/01/14 | EXPRESS SCRIPTS 4/01/2014 | (5,451,633.18) | 301 | Pharmacy Claims | 2014 |
| 04/08/14 | EXPRESS SCRIPTS 04/08/2014 | (6,053,086.81) | 301 | Pharmacy Claims | 2014 |
| 04/15/14 | EXPRESS SCRIPTS 04/15/2014 | (5,758,525.83) | 301 | Pharmacy Claims | 2014 |
| 04/22/14 | EXPRESS SCRIPTS 04/22/2014 | (5,944,228.94) | 301 | Pharmacy Claims | 2014 |
| 04/29/14 | EXPRESS SCRIPTS 04/29/2014 | (5,777,549.23) | 301 | Pharmacy Claims Express Scripts | 2014 |
| 04/02/14 | EXPRESS SCRIPTS 03/25/2014 | (114,228.78) | 302 | Admin Express Scripts | 2014 |
| 04/02/14 | EXPRESS SCRIPTS 03/25/2014 VACCINES | (192.50) | 302 | Admin Express Scripts | 2014 |
| 04/07/14 | EXPRESS SCRIPTS 04/01/2014 VACCINES | (260.00) | 302 | Admin Express Scripts | 2014 |
| 04/07/14 | EXPRESS SCRIPTS 04/01/2014 COUNTS | (413,530.70) | 302 | Admin Express Scripts | 2014 |
| 04/07/14 | EXPRESS SCRIPTS 04/01/2014 | (116,630.12) | 302 | Admin Express Scripts | 2014 |
| 04/07/14 | EXPRESS SCRIPTS 04/01/2014 MI HICA TAX | (33.08) | 302 | Admin Express Scripts | 2014 |
| 04/11/14 | EXPRESS SCRIPTS 04/08/2014 | (112,095.04) | 302 | Admin Express Scripts | 2014 |
| 04/11/14 | EXPRESS SCRIPTS 04/08/2014 VACCINES | (175.00) | 302 | Admin Express Scripts | 2014 |
| 04/21/14 | EXPRESS SCRIPTS 04/15/2014 VACCINES EXPRESS SCRIPTS 04/15/2014 CONSUMER CHOICE PLAN CHG | (197.50) | 302 | Admin Express Scripts | 2014 |
| 04/21/14 | EXPRESS SCRIPTS 04/15/2014 | (82,176.25) | 302 | Admin Express Scripts | 2014 |
| 04/21/14 | EXPRESS SCRIPTS 04/15/2014 | (112,307.17) | 302 | Admin Express Scripts | 2014 |
| 04/25/14 | EXPRESS SCRIPTS 04/22/2014 VACCINES | (232.50) | 302 | Admin Express Scripts | 2014 |
| 04/25/14 | EXPRESS SCRIPTS 04/22/2014 | (111,530.99) | 302 | Admin Operating Express Scripts | 2014 |
| 04/01/14 | TOPROL XL TPP SETTLEMENT | 20,139.71 | 501 | Operating Transfer/Exp & Adj | 2014 |
| 04/01/14 | 4TH QTR ADMINISTRATION FEE | (1,244,600.00) | 501 | Operating Transfer/Exp & Adj | 2014 |
| 03/31/14 | 2014 FSA CASH FLOW LOAN ERRP OFM INVESTMENT MANAGEMENT FEE | (600,000.00) | 501 | Operating Transfer/Exp & Adj | 2014 |
| 04/16/14 | 3/31/2014 | (23,062.06) | 501 | Operating Transfer/Exp & Adj | 2014 |
| 04/21/14 | 2014 FSA CASH FLOW LOAN | (350,000.00) | 501 | Operating | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-12

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|-----------------------------|-------------------------|-------------|---------------------------------|--------------|
| | | | | Transfer/Exp & Adj | |
| 04/28/14 | ERRP FUNDS TRANSFER 04/2014 | 14,354,429.72 | 501 | Operating Transfer/Exp & Adj | 2014 |
| | April Activity | \$10,939,135.90 | | | |
| | Ending Balance | \$266,855,538.44 | | | |

| April Account Summary | | |
|--------------------------------------|-----------------------------------|-----------------|
| 101 | Premiums | 132,244,914.63 |
| 102 | HRA Waiver Contribution | 3,936,236.96 |
| 110 | Interest Income | 17,206.22 |
| 120 | Drug Rebates | 0.00 |
| 130 | Transfers From other plan year(s) | 0.00 |
| 201 | Medical Claims | (92,244,288.05) |
| 202 | Humana Admin | (6,939,043.21) |
| 203 | HRA Select Claims | (3,954,634.75) |
| 204 | HRA Waiver Claims | (4,183,085.55) |
| 301 | Pharmacy Claims | (28,991,696.58) |
| 302 | Express Scripts Admin | (1,003,745.39) |
| 500 | Transfers To other plan year(s) | 0.00 |
| 501 | Other Payments & Adj | 12,057,271.62 |
| Account Activity – April 2014 | | 10,939,135.90 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-13

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|------------------|-------------------|-----------------------|-----------|
| | <u>Beginning Balance</u> | \$266,855,538.44 | | | |
| 06/03/14 | INTEREST DISTRIBUTION MAY 2014 | 3,883.83 | 110 | Interest Income | 2010 |
| 05/01/14 | MEDICAL CLAIMS 2010 | (22,606.03) | 201 | Medical Claims | 2010 |
| 05/05/14 | MEDICAL CLAIMS 2010 | 83.15 | 201 | Medical Claims | 2010 |
| 05/06/14 | MEDICAL CLAIMS 2010 | (38,262.04) | 201 | Medical Claims | 2010 |
| 05/13/14 | MEDICAL CLAIMS 2010 | (907.80) | 201 | Medical Claims | 2010 |
| 05/15/14 | MEDICAL CLAIMS 2010 | (111.11) | 201 | Medical Claims | 2010 |
| 05/16/14 | MEDICAL CLAIMS 2010 | 40.10 | 201 | Medical Claims | 2010 |
| 05/20/14 | MEDICAL CLAIMS 2010 | (173.77) | 201 | Medical Claims | 2010 |
| 05/22/14 | MEDICAL CLAIMS 2010 | (1,457.12) | 201 | Medical Claims | 2010 |
| 05/23/14 | MEDICAL CLAIMS 2010 | 40.10 | 201 | Medical Claims | 2010 |
| 05/28/14 | MEDICAL CLAIMS 2010 HRA SELECT RECOVERIES MARCH 2014, PY 2010 | (179.78) | 201 | Medical Claims | 2010 |
| 04/30/14 | HRA WAIVER RECOVERIES MARCH 2014, PY 2010 | 569.98 | 203 | HRA Select Claims | 2010 |
| 04/30/14 | MEDICAL CLAIMS 2010 | 1,408.87 | 204 | HRA Waiver Claims | 2010 |
| 06/03/14 | INTEREST DISTRIBUTION MAY 2014 | 3,391.92 | 110 | Interest Income | 2011 |
| 04/30/14 | MEDICAL CLAIMS 2011 | (220.00) | 201 | Medical Claims | 2011 |
| 05/01/14 | MEDICAL CLAIMS 2011 | (12,064.29) | 201 | Medical Claims | 2011 |
| 05/05/14 | MEDICAL CLAIMS 2011 | (142,587.73) | 201 | Medical Claims | 2011 |
| 05/06/14 | MEDICAL CLAIMS 2011 | (121,820.13) | 201 | Medical Claims | 2011 |
| 05/08/14 | MEDICAL CLAIMS 2011 | (208.64) | 201 | Medical Claims | 2011 |
| 05/09/14 | MEDICAL CLAIMS 2011 | (124.49) | 201 | Medical Claims | 2011 |
| 05/15/14 | MEDICAL CLAIMS 2011 | (956.16) | 201 | Medical Claims | 2011 |
| 05/16/14 | MEDICAL CLAIMS 2011 | 186.39 | 201 | Medical Claims | 2011 |
| 05/19/14 | MEDICAL CLAIMS 2011 | 55.23 | 201 | Medical Claims | 2011 |
| 05/23/14 | MEDICAL CLAIMS 2011 | (214.56) | 201 | Medical Claims | 2011 |
| 05/27/14 | MEDICAL CLAIMS 2011 | (744.05) | 201 | Medical Claims | 2011 |
| 05/29/14 | MEDICAL CLAIMS 2011 | (9,359.25) | 201 | Medical Claims | 2011 |
| 05/27/14 | HUMANA SHARED SAVINGS 04/2014 HRA SELECT RECOVERIES MARCH 2014, PY 2011 | (33.94) | 202 | Humana Admin | 2011 |
| 04/30/14 | HRA WAIVER RECOVERIES MARCH 2014, PY 2011 | 215.00 | 203 | HRA Select Claims | 2011 |
| 04/30/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2011) | 550.58 | 204 | HRA Waiver Claims | 2011 |
| 05/07/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2011) | 30.00 | 204 | HRA Waiver Claims | 2011 |
| 05/15/14 | (122.37) | 204 | HRA Waiver Claims | 2011 | |
| 05/09/14 | EXPRESS SCRIPTS 05/06/2014 | (10.00) | 302 | Express Scripts Admin | 2011 |
| 06/03/14 | INTEREST DISTRIBUTION MAY 2014 | (217.56) | 110 | Interest Income | 2012 |
| | INTEREST EARNED @ BOA 1ST QTR 2014 | | | | |
| 04/30/14 | HRA WAIVER PLAN YEAR 12 | 0.05 | 110 | Interest Income | 2012 |
| 04/30/14 | MEDICAL CLAIMS 2012 | (38,465.60) | 201 | Medical Claims | 2012 |
| 05/01/14 | MEDICAL CLAIMS 2012 | (3,214.58) | 201 | Medical Claims | 2012 |
| 05/05/14 | MEDICAL CLAIMS 2012 | (21,047.91) | 201 | Medical Claims | 2012 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-14

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|-------------|----------|-----------------------|-----------|
| 05/05/14 | MEDICAL CLAIMS 2012 | (9,088.37) | 201 | Medical Claims | 2012 |
| 05/06/14 | MEDICAL CLAIMS 2012 | (25,471.76) | 201 | Medical Claims | 2012 |
| 05/07/14 | MEDICAL CLAIMS 2012 | (3,767.31) | 201 | Medical Claims | 2012 |
| 05/08/14 | MEDICAL CLAIMS 2012 | (45,663.58) | 201 | Medical Claims | 2012 |
| 05/09/14 | MEDICAL CLAIMS 2012 | (60,780.29) | 201 | Medical Claims | 2012 |
| 05/12/14 | MEDICAL CLAIMS 2012 | (15,445.73) | 201 | Medical Claims | 2012 |
| 05/13/14 | MEDICAL CLAIMS 2012 | (29,943.33) | 201 | Medical Claims | 2012 |
| 05/14/14 | MEDICAL CLAIMS 2012 | (13,233.84) | 201 | Medical Claims | 2012 |
| 05/15/14 | MEDICAL CLAIMS 2012 | (1,552.31) | 201 | Medical Claims | 2012 |
| 05/16/14 | MEDICAL CLAIMS 2012 | (8,025.08) | 201 | Medical Claims | 2012 |
| 05/19/14 | MEDICAL CLAIMS 2012 | (53.62) | 201 | Medical Claims | 2012 |
| 05/20/14 | MEDICAL CLAIMS 2012 | (3,492.33) | 201 | Medical Claims | 2012 |
| 05/21/14 | MEDICAL CLAIMS 2012 | (3,259.69) | 201 | Medical Claims | 2012 |
| 05/22/14 | MEDICAL CLAIMS 2012 | (3,688.61) | 201 | Medical Claims | 2012 |
| 05/23/14 | MEDICAL CLAIMS 2012 | (3,250.78) | 201 | Medical Claims | 2012 |
| 05/27/14 | MEDICAL CLAIMS 2012 | (6,659.50) | 201 | Medical Claims | 2012 |
| 05/28/14 | MEDICAL CLAIMS 2012 | (2,025.46) | 201 | Medical Claims | 2012 |
| 05/29/14 | MEDICAL CLAIMS 2012 | (947.75) | 201 | Medical Claims | 2012 |
| 05/27/14 | HUMANA SHARED SAVINGS 04/2014 HRA SELECT ESCHEATS MARCH 2014, PY | (2,832.66) | 202 | Humana Admin | 2012 |
| 04/30/14 | 2012 | 231.66 | 203 | HRA Select Claims | 2012 |
| 04/30/14 | HRA SELECT RECOVERIES MARCH 2014, PY 2012 | 395.56 | 203 | HRA Select Claims | 2012 |
| 04/30/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 325.00 | 203 | HRA Select Claims | 2012 |
| 05/05/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 179.22 | 203 | HRA Select Claims | 2012 |
| 04/30/14 | HRA WAIVER ESCHEATS MARCH 2014, PY | 6,342.55 | 204 | HRA Waiver Claims | 2012 |
| 04/30/14 | 2012 | 840.94 | 204 | HRA Waiver Claims | 2012 |
| 04/30/14 | HRA WAIVER RECOVERIES MARCH 2014, PY 2012 | 130.60 | 204 | HRA Waiver Claims | 2012 |
| 05/08/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 113.00 | 204 | HRA Waiver Claims | 2012 |
| 05/09/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 641.77 | 204 | HRA Waiver Claims | 2012 |
| 05/13/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 58.19 | 204 | HRA Waiver Claims | 2012 |
| 05/15/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | (325.00) | 204 | HRA Waiver Claims | 2012 |
| 05/16/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 414.23 | 204 | HRA Waiver Claims | 2012 |
| 05/19/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 214.50 | 204 | HRA Waiver Claims | 2012 |
| 05/06/14 | EXPRESS SCRIPTS 05/06/2014 | (142.16) | 301 | Pharmacy Claims | 2012 |
| 05/13/14 | EXPRESS SCRIPTS 05/13/2014 | (21.55) | 301 | Pharmacy Claims | 2012 |
| 05/20/14 | EXPRESS SCRIPTS 05/20/2014 | (404.21) | 301 | Pharmacy Claims | 2012 |
| 05/27/14 | EXPRESS SCRIPTS 05/27/2014 | 530.58 | 301 | Pharmacy Claims | 2012 |
| 05/09/14 | EXPRESS SCRIPTS 05/06/2014 | (5.00) | 302 | Express Scripts Admin | 2012 |
| 05/06/14 | HEALTH PREMIUMS | 857.56 | 101 | Premiums | 2013 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-15

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|--------------|----------|-------------------|-----------|
| 05/08/14 | HEALTH PREMIUMS | 1,818.74 | 101 | Premiums | 2013 |
| 05/15/14 | HEALTH PREMIUMS | 310.00 | 101 | Premiums | 2013 |
| 05/22/14 | HEALTH PREMIUMS | 675.34 | 101 | Premiums | 2013 |
| 05/27/14 | EPAY-HEALTH PREMIUMS 2013 | 18.64 | 101 | Premiums | 2013 |
| 05/28/14 | HEALTH PREMIUMS | 367.88 | 101 | Premiums | 2013 |
| 05/01/14 | COBRA PREMIUM REFUND | (331.62) | 101 | Premiums | 2013 |
| 05/30/14 | HLTH INS REFUND | (31.38) | 101 | Premiums | 2013 |
| 05/30/14 | HLTH INS REFUND | (34.90) | 101 | Premiums | 2013 |
| 05/30/14 | HLTH INS REFUND | (31.38) | 101 | Premiums | 2013 |
| 06/03/14 | INTEREST DISTRIBUTION MAY 2014 | (231.36) | 110 | Interest Income | 2013 |
| 04/30/14 | INTEREST EARNED @ BOA 1ST QTR 2014 HRA WAIVER PLAN YEAR 13 | 0.25 | 110 | Interest Income | 2013 |
| 04/30/14 | INTEREST EARNED @ BOA 1ST QTR 2014 HRA SELECT PLAN YEAR 2013 | 0.10 | 110 | Interest Income | 2013 |
| 04/30/14 | MEDICAL CLAIMS 2013 | (178,907.75) | 201 | Medical Claims | 2013 |
| 05/01/14 | MEDICAL CLAIMS 2013 | (464,085.08) | 201 | Medical Claims | 2013 |
| 05/05/14 | MEDICAL CLAIMS 2013 | (605,079.94) | 201 | Medical Claims | 2013 |
| 05/05/14 | MEDICAL CLAIMS 2013 | (207,389.49) | 201 | Medical Claims | 2013 |
| 05/06/14 | MEDICAL CLAIMS 2013 | (558,579.21) | 201 | Medical Claims | 2013 |
| 05/07/14 | MEDICAL CLAIMS 2013 | (167,461.24) | 201 | Medical Claims | 2013 |
| 05/08/14 | MEDICAL CLAIMS 2013 | (37,319.14) | 201 | Medical Claims | 2013 |
| 05/09/14 | MEDICAL CLAIMS 2013 | (251,347.34) | 201 | Medical Claims | 2013 |
| 05/12/14 | MEDICAL CLAIMS 2013 | (339,549.61) | 201 | Medical Claims | 2013 |
| 05/13/14 | MEDICAL CLAIMS 2013 | (630,505.58) | 201 | Medical Claims | 2013 |
| 05/14/14 | MEDICAL CLAIMS 2013 | (120,097.85) | 201 | Medical Claims | 2013 |
| 05/15/14 | MEDICAL CLAIMS 2013 | (53,216.98) | 201 | Medical Claims | 2013 |
| 05/16/14 | MEDICAL CLAIMS 2013 | (274,341.81) | 201 | Medical Claims | 2013 |
| 05/19/14 | MEDICAL CLAIMS 2013 | (65,493.08) | 201 | Medical Claims | 2013 |
| 05/20/14 | MEDICAL CLAIMS 2013 | (86,354.04) | 201 | Medical Claims | 2013 |
| 05/21/14 | MEDICAL CLAIMS 2013 | (48,318.32) | 201 | Medical Claims | 2013 |
| 05/22/14 | MEDICAL CLAIMS 2013 | (84,990.03) | 201 | Medical Claims | 2013 |
| 05/23/14 | MEDICAL CLAIMS 2013 | (113,136.47) | 201 | Medical Claims | 2013 |
| 05/27/14 | MEDICAL CLAIMS 2013 | (188,225.13) | 201 | Medical Claims | 2013 |
| 05/28/14 | MEDICAL CLAIMS 2013 | (84,222.36) | 201 | Medical Claims | 2013 |
| 05/29/14 | MEDICAL CLAIMS 2013 | (239,653.93) | 201 | Medical Claims | 2013 |
| 05/27/14 | HUMANA SHARED SAVINGS 04/2014 HRA SELECT VOIDS MARCH 2014, PY | (14,758.41) | 202 | Humana Admin | 2013 |
| 04/30/14 | 2013 HRA SELECT RECOVERIES MARCH 2014, | 1,669.34 | 203 | HRA Select Claims | 2013 |
| 04/30/14 | PY 2013 HRA CLAIM PAYMENTS (HRA SELECT | 5,962.10 | 203 | HRA Select Claims | 2013 |
| 05/01/14 | 2013) HRA CLAIM PAYMENTS (HRA SELECT | 102.94 | 203 | HRA Select Claims | 2013 |
| 05/05/14 | 2013) | 24.80 | 203 | HRA Select Claims | 2013 |
| 05/06/14 | HRA CLAIM PAYMENTS (HRA SELECT | (631.50) | 203 | HRA Select Claims | 2013 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-16

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|------------|----------|-------------------|-----------|
| | 2013) | | | | |
| 05/07/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (519.58) | 203 | HRA Select Claims | 2013 |
| 05/08/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (641.30) | 203 | HRA Select Claims | 2013 |
| 05/09/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 432.62 | 203 | HRA Select Claims | 2013 |
| 05/13/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 171.91 | 203 | HRA Select Claims | 2013 |
| 05/14/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (85.80) | 203 | HRA Select Claims | 2013 |
| 05/15/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 199.75 | 203 | HRA Select Claims | 2013 |
| 05/16/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (1,712.91) | 203 | HRA Select Claims | 2013 |
| 05/19/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (164.09) | 203 | HRA Select Claims | 2013 |
| 05/21/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (110.17) | 203 | HRA Select Claims | 2013 |
| 05/22/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (1,166.64) | 203 | HRA Select Claims | 2013 |
| 05/27/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 545.33 | 203 | HRA Select Claims | 2013 |
| 05/28/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 196.64 | 203 | HRA Select Claims | 2013 |
| 05/29/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (203.70) | 203 | HRA Select Claims | 2013 |
| 04/30/14 | HRA WAIVER VOIDS, MARCH 2014, PY 2013 | 5,808.61 | 204 | HRA Waiver Claims | 2013 |
| 04/30/14 | HRA LIMITED D&V CLAIM RECOVERIES MARCH 2014, PY 2013 | 315.44 | 204 | HRA Waiver Claims | 2013 |
| 04/30/14 | HRA WAIVER RECOVERIES MARCH 2014, PY 2013 | 26,904.18 | 204 | HRA Waiver Claims | 2013 |
| 04/30/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (1,682.27) | 204 | HRA Waiver Claims | 2013 |
| 05/01/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (1,373.69) | 204 | HRA Waiver Claims | 2013 |
| 05/05/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (931.64) | 204 | HRA Waiver Claims | 2013 |
| 05/05/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (488.20) | 204 | HRA Waiver Claims | 2013 |
| 05/06/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 188.68 | 204 | HRA Waiver Claims | 2013 |
| 05/07/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (130.53) | 204 | HRA Waiver Claims | 2013 |
| 05/08/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 1,801.12 | 204 | HRA Waiver Claims | 2013 |
| 05/09/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (2,649.91) | 204 | HRA Waiver Claims | 2013 |
| 05/12/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 437.08 | 204 | HRA Waiver Claims | 2013 |
| 05/13/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (2,019.59) | 204 | HRA Waiver Claims | 2013 |
| 05/14/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (3,922.21) | 204 | HRA Waiver Claims | 2013 |
| 05/15/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 279.17 | 204 | HRA Waiver Claims | 2013 |
| 05/16/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 6.37 | 204 | HRA Waiver Claims | 2013 |
| 05/19/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (43.93) | 204 | HRA Waiver Claims | 2013 |
| 05/20/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 2,187.25 | 204 | HRA Waiver Claims | 2013 |
| 05/21/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (523.70) | 204 | HRA Waiver Claims | 2013 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-17

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|---------------|----------|-----------------------|-----------|
| 05/22/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (609.50) | 204 | HRA Waiver Claims | 2013 |
| 05/23/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (262.36) | 204 | HRA Waiver Claims | 2013 |
| 05/27/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (651.10) | 204 | HRA Waiver Claims | 2013 |
| 05/28/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 323.67 | 204 | HRA Waiver Claims | 2013 |
| 05/29/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (287.19) | 204 | HRA Waiver Claims | 2013 |
| 05/06/14 | EXPRESS SCRIPTS 05/06/2014 | (759.25) | 301 | Pharmacy Claims | 2013 |
| 05/13/14 | EXPRESS SCRIPTS 05/13/2014 | (15,434.40) | 301 | Pharmacy Claims | 2013 |
| 05/20/14 | EXPRESS SCRIPTS 05/20/2014 | (14,364.08) | 301 | Pharmacy Claims | 2013 |
| 05/20/14 | EXPRESS SCRIPTS 05/20/2014 | 2,642.94 | 301 | Pharmacy Claims | 2013 |
| 05/27/14 | EXPRESS SCRIPTS 05/27/2014 | (1,791.08) | 301 | Pharmacy Claims | 2013 |
| 05/01/14 | EXPRESS SCRIPTS 04/29/2014 | (10.08) | 302 | Express Scripts Admin | 2013 |
| 05/09/14 | EXPRESS SCRIPTS 05/06/2014 | (66.45) | 302 | Express Scripts Admin | 2013 |
| 05/12/14 | UPPS PAYROLL | 13,235,878.59 | 101 | Premiums | 2014 |
| 05/27/14 | UPPS PAYROLL | 13,304,343.43 | 101 | Premiums | 2014 |
| 05/12/14 | UPPS PAYROLL | (2,299.15) | 101 | Premiums | 2014 |
| 05/07/14 | UPPS PAYROLL | (356.51) | 101 | Premiums | 2014 |
| 05/15/14 | UPPS PAYROLL | 8,029.55 | 101 | Premiums | 2014 |
| 05/19/14 | UPPS PAYROLL | (400.19) | 101 | Premiums | 2014 |
| 05/22/14 | UPPS PAYROLL | (497.97) | 101 | Premiums | 2014 |
| 05/30/14 | UPPS PAYROLL | 1,194.48 | 101 | Premiums | 2014 |
| 05/16/14 | RETURNED CHECK | (47.98) | 101 | Premiums | 2014 |
| 04/23/14 | KRS #31100 04/14 HEALTH PREMIUM | 176,967.21 | 101 | Premiums | 2014 |
| 04/30/14 | KCTCS PREMIUM PAYMENT APR 2014 KCTCS 81000 PREMIUM PAYMENT APR 2014 | 3,413,556.84 | 101 | Premiums | 2014 |
| 04/30/14 | | 48,683.16 | 101 | Premiums | 2014 |
| 04/30/14 | EPAY-HEALTH PREMIUMS 2014 | 49,425.66 | 101 | Premiums | 2014 |
| 05/01/14 | HEALTH PREMIUMS | 733,978.22 | 101 | Premiums | 2014 |
| 05/02/14 | HEALTH PREMIUMS | 1,026,704.00 | 101 | Premiums | 2014 |
| 05/05/14 | HEALTH PREMIUMS | 803,331.26 | 101 | Premiums | 2014 |
| 05/06/14 | HEALTH PREMIUMS | 851,728.21 | 101 | Premiums | 2014 |
| 05/06/14 | EPAY-HEALTH PREMIUMS 2014 | 205,216.03 | 101 | Premiums | 2014 |
| 05/06/14 | EPAY-HEALTH PREMIUMS 2014 | 265,641.24 | 101 | Premiums | 2014 |
| 05/06/14 | EPAY-HEALTH PREMIUMS 2014 | 689,192.07 | 101 | Premiums | 2014 |
| 05/06/14 | EPAY-HEALTH PREMIUMS 2014 | 76,896.93 | 101 | Premiums | 2014 |
| 05/06/14 | EPAY-HEALTH PREMIUMS 2014 | 588,519.51 | 101 | Premiums | 2014 |
| 05/06/14 | EPAY-HEALTH PREMIUMS 2014 | 12,366.25 | 101 | Premiums | 2014 |
| 05/07/14 | HEALTH PREMIUMS | 174,189.13 | 101 | Premiums | 2014 |
| 05/07/14 | JEFFERSON CO BOE APR 2014 HEALTH | 1,594,730.05 | 101 | Premiums | 2014 |
| 05/08/14 | HEALTH PREMIUMS | 429,064.85 | 101 | Premiums | 2014 |
| 05/08/14 | EPAY-HEALTH PREMIUMS 2014 | 152,129.19 | 101 | Premiums | 2014 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-18

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---------------------------------|--------------|----------|-----------------|-----------|
| 05/08/14 | KRS #31100 05/14 HEALTH PREMIUM | 14,952.79 | 101 | Premiums | 2014 |
| 05/09/14 | HEALTH PREMIUMS | 388,132.64 | 101 | Premiums | 2014 |
| 05/12/14 | EPAY-HEALTH PREMIUMS 2014 | 189,323.13 | 101 | Premiums | 2014 |
| 05/12/14 | EPAY-HEALTH PREMIUMS 2014 | 26,498.02 | 101 | Premiums | 2014 |
| 05/12/14 | HEALTH PREMIUMS | 448,281.08 | 101 | Premiums | 2014 |
| 05/13/14 | HEALTH PREMIUMS | 287,461.92 | 101 | Premiums | 2014 |
| 05/13/14 | EPAY-HEALTH PREMIUMS 2014 | 123,449.39 | 101 | Premiums | 2014 |
| 05/14/14 | HEALTH PREMIUMS | 330,310.92 | 101 | Premiums | 2014 |
| 05/15/14 | EPAY-HEALTH PREMIUMS 2014 | 26,726.68 | 101 | Premiums | 2014 |
| 05/15/14 | EPAY-HEALTH PREMIUMS 2014 | 159,049.40 | 101 | Premiums | 2014 |
| 05/15/14 | HEALTH PREMIUMS | 402,613.94 | 101 | Premiums | 2014 |
| 05/16/14 | EPAY-HEALTH PREMIUMS 2014 | 31,453.52 | 101 | Premiums | 2014 |
| 05/16/14 | HEALTH PREMIUMS | 85,950.34 | 101 | Premiums | 2014 |
| 05/16/14 | COBRA PREMIUMS APR 2014 | 107,897.61 | 101 | Premiums | 2014 |
| 05/19/14 | HEALTH PREMIUMS | 1,093,835.78 | 101 | Premiums | 2014 |
| 05/19/14 | EPAY-HEALTH PREMIUMS 2014 | 136,069.61 | 101 | Premiums | 2014 |
| 05/20/14 | JUDICIAL RETIREMENT 05/14 | 4,352.86 | 101 | Premiums | 2014 |
| 05/20/14 | JUDICIAL RETIREMENT 05/14 | 104,335.92 | 101 | Premiums | 2014 |
| 05/20/14 | LEGISLATIVE RETIREMENT 05/14 | 3,842.21 | 101 | Premiums | 2014 |
| 05/20/14 | HEALTH PREMIUMS | 207,385.08 | 101 | Premiums | 2014 |
| 05/20/14 | LEGISLATIVE RETIREMENT 05/14 | 22,427.07 | 101 | Premiums | 2014 |
| 05/21/14 | EPAY-HEALTH PREMIUMS 2014 | 41,592.80 | 101 | Premiums | 2014 |
| 05/21/14 | HEALTH PREMIUMS | 94,893.05 | 101 | Premiums | 2014 |
| 05/22/14 | HEALTH PREMIUMS | 532,299.85 | 101 | Premiums | 2014 |
| 05/22/14 | EPAY-HEALTH PREMIUMS 2014 | 235,200.23 | 101 | Premiums | 2014 |
| 05/23/14 | EPAY-HEALTH PREMIUMS 2014 | 69,976.30 | 101 | Premiums | 2014 |
| 05/23/14 | HEALTH PREMIUMS | 73,531.58 | 101 | Premiums | 2014 |
| 05/27/14 | HEALTH PREMIUMS | 766,204.98 | 101 | Premiums | 2014 |
| 05/27/14 | EPAY-HEALTH PREMIUMS 2014 | 13,222.94 | 101 | Premiums | 2014 |
| 05/28/14 | HEALTH PREMIUMS | 510,293.78 | 101 | Premiums | 2014 |
| 05/29/14 | HEALTH PREMIUMS | 534,570.27 | 101 | Premiums | 2014 |
| 05/30/14 | EPAY-HEALTH PREMIUMS 2014 | 193,492.91 | 101 | Premiums | 2014 |
| 05/30/14 | HEALTH PREMIUMS | 1,114,493.74 | 101 | Premiums | 2014 |
| 05/07/14 | COBRA PREMIUM REFUND | (1,665.15) | 101 | Premiums | 2014 |
| 05/12/14 | HLTH INS REFUND | (222.66) | 101 | Premiums | 2014 |
| 05/12/14 | HLTH INS REFUND | (353.02) | 101 | Premiums | 2014 |
| 05/30/14 | HLTH INS REFUND | (31.38) | 101 | Premiums | 2014 |
| 05/12/14 | HLTH INS REFUND | (47.98) | 101 | Premiums | 2014 |
| 05/30/14 | HLTH INS REFUND | (94.14) | 101 | Premiums | 2014 |
| 05/12/14 | HLTH INS REFUND | (23.99) | 101 | Premiums | 2014 |
| 05/12/14 | HLTH INS REFUND | (683.94) | 101 | Premiums | 2014 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-19

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|----------------------------------|---------------|----------|-------------------------|-----------|
| 05/30/14 | HLTH INS REFUND | (160.00) | 101 | Premiums | 2014 |
| 05/12/14 | HLTH INS REFUND | (31.38) | 101 | Premiums | 2014 |
| 05/12/14 | HLTH INS REFUND | (408.89) | 101 | Premiums | 2014 |
| 05/30/14 | HLTH INS REFUND | (10.65) | 101 | Premiums | 2014 |
| 05/01/14 | KDE PREMIUMS APR 2014 | 56,233,434.24 | 101 | Premiums | 2014 |
| 05/16/14 | KRS 05/14 HEALTH PREMIUMS | 21,689,294.47 | 101 | Premiums | 2014 |
| 05/28/14 | KTRS 05/14 PREMIUMS | 10,816,887.60 | 101 | Premiums | 2014 |
| 05/22/14 | CORRECT DEPOSIT FUND ALLOCATIONS | (609.03) | 101 | Premiums | 2014 |
| 05/12/14 | UPPS PAYROLL | 370,912.50 | 102 | HRA Waiver Contribution | 2014 |
| 05/27/14 | UPPS PAYROLL | 372,312.50 | 102 | HRA Waiver Contribution | 2014 |
| 05/02/14 | UPPS PAYROLL | (87.50) | 102 | HRA Waiver Contribution | 2014 |
| 05/15/14 | UPPS PAYROLL | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/22/14 | UPPS PAYROLL | (87.50) | 102 | HRA Waiver Contribution | 2014 |
| 05/01/14 | HRA QUASI DEPOSIT | 1,575.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/02/14 | HRA QUASI DEPOSIT | 2,800.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/05/14 | HRA QUASI DEPOSIT | 2,975.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/06/14 | EPAY-HRA QUASI 2014 | 1,400.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/06/14 | EPAY-HRA QUASI 2014 | 700.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/08/14 | HRA QUASI DEPOSIT | 525.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/08/14 | EPAY-HRA QUASI 2014 | 350.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/13/14 | EPAY-HRA QUASI 2014 | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/15/14 | EPAY-HRA QUASI 2014 | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/15/14 | EPAY-HRA QUASI 2014 | 525.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/16/14 | HRA QUASI DEPOSIT | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/20/14 | EPAY-HRA QUASI 2014 | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/27/14 | HRA QUASI DEPOSIT | 1,225.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/27/14 | EPAY-HRA QUASI 2014 | 350.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/28/14 | HRA QUASI DEPOSIT | 1,400.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/29/14 | HRA QUASI DEPOSIT | 1,400.00 | 102 | HRA Waiver Contribution | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-20

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|----------------|----------|-------------------------|-----------|
| 05/30/14 | HRA QUASI DEPOSIT | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 05/30/14 | KDE HRA D&V MAY 2014 | 55,731.14 | 102 | HRA Waiver Contribution | 2014 |
| 05/30/14 | KDE HRA WAIVER MAY 2014 | 3,097,474.40 | 102 | HRA Waiver Contribution | 2014 |
| 06/03/14 | INTEREST DISTRIBUTION MAY 2014 | 10,769.71 | 110 | Interest Income | 2014 |
| 04/30/14 | INTEREST EARNED @ BOA 1ST QTR 2014 HRA SELECT PLAN YEAR 2014 | 1.16 | 110 | Interest Income | 2014 |
| 04/30/14 | INTEREST EARNED @ BOA 1ST QTR 2014 HRA WAIVER PLAN YEAR 14 | 3.47 | 110 | Interest Income | 2014 |
| 04/30/14 | MEDICAL CLAIMS 2014 | (1,386,305.40) | 201 | Medical Claims | 2014 |
| 05/01/14 | MEDICAL CLAIMS 2014 | (6,327,096.99) | 201 | Medical Claims | 2014 |
| 05/05/14 | MEDICAL CLAIMS 2014 | (1,578,739.98) | 201 | Medical Claims | 2014 |
| 05/05/14 | MEDICAL CLAIMS 2014 | (5,338,586.53) | 201 | Medical Claims | 2014 |
| 05/06/14 | MEDICAL CLAIMS 2014 | (3,473,053.99) | 201 | Medical Claims | 2014 |
| 05/07/14 | MEDICAL CLAIMS 2014 | (2,311,059.94) | 201 | Medical Claims | 2014 |
| 05/08/14 | MEDICAL CLAIMS 2014 | (2,615,722.08) | 201 | Medical Claims | 2014 |
| 05/09/14 | MEDICAL CLAIMS 2014 | (4,955,659.75) | 201 | Medical Claims | 2014 |
| 05/12/14 | MEDICAL CLAIMS 2014 | (5,082,543.01) | 201 | Medical Claims | 2014 |
| 05/13/14 | MEDICAL CLAIMS 2014 | (4,082,579.73) | 201 | Medical Claims | 2014 |
| 05/14/14 | MEDICAL CLAIMS 2014 | (2,152,762.54) | 201 | Medical Claims | 2014 |
| 05/15/14 | MEDICAL CLAIMS 2014 | (2,558,689.86) | 201 | Medical Claims | 2014 |
| 05/16/14 | MEDICAL CLAIMS 2014 | (5,562,200.17) | 201 | Medical Claims | 2014 |
| 05/19/14 | MEDICAL CLAIMS 2014 | (5,470,993.03) | 201 | Medical Claims | 2014 |
| 05/20/14 | MEDICAL CLAIMS 2014 | (2,009,472.06) | 201 | Medical Claims | 2014 |
| 05/21/14 | MEDICAL CLAIMS 2014 | (2,317,821.98) | 201 | Medical Claims | 2014 |
| 05/22/14 | MEDICAL CLAIMS 2014 | (2,440,297.91) | 201 | Medical Claims | 2014 |
| 05/23/14 | MEDICAL CLAIMS 2014 | (4,693,212.69) | 201 | Medical Claims | 2014 |
| 05/27/14 | MEDICAL CLAIMS 2014 | (8,164,972.60) | 201 | Medical Claims | 2014 |
| 05/28/14 | MEDICAL CLAIMS 2014 | (891,997.48) | 201 | Medical Claims | 2014 |
| 05/29/14 | MEDICAL CLAIMS 2014 | (2,097,643.29) | 201 | Medical Claims | 2014 |
| 05/01/14 | FIRST ONSITE LLC | (38,879.13) | 201 | Medical Claims | 2014 |
| 05/12/14 | FIRST ONSITE LLC HUMANA COMPASS CHOICE REWARDS | (41,436.57) | 201 | Medical Claims | 2014 |
| 05/27/14 | 04/2014 | (98,406.02) | 201 | Medical Claims | 2014 |
| 05/28/14 | KDE MAY 2014 ASO FEE PMT | 32,855.51 | 202 | Humana Admin | 2014 |
| 05/20/14 | HUMANA ASO FEES 05/2014 | (6,210,533.56) | 202 | Humana Admin | 2014 |
| 05/27/14 | HUMANA ONCOLOGY QM 04/2014 | (74,736.00) | 202 | Humana Admin | 2014 |
| 05/27/14 | HUMANA BIO METRICS 04/2014 | (142,796.00) | 202 | Humana Admin | 2014 |
| 05/27/14 | HUMANA SHARED SAVINGS 04/2014 | (183,447.45) | 202 | Humana Admin | 2014 |
| 05/27/14 | HUMANA DIABETES PREVENTION 04/2014 HRA SELECT RECOVERIES MARCH 2014, | (9,009.00) | 202 | Humana Admin | 2014 |
| 04/30/14 | PY 2014 | 13,267.94 | 203 | HRA Select Claims | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-21

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|--------------|----------|-------------------|-----------|
| 04/30/14 | HRA SELECT VOIDS MARCH 2014, PY 2014 | 1,135.11 | 203 | HRA Select Claims | 2014 |
| 04/30/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (121,647.64) | 203 | HRA Select Claims | 2014 |
| 05/01/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (148,658.85) | 203 | HRA Select Claims | 2014 |
| 05/05/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (146,824.73) | 203 | HRA Select Claims | 2014 |
| 05/05/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (344,667.62) | 203 | HRA Select Claims | 2014 |
| 05/06/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (55,968.30) | 203 | HRA Select Claims | 2014 |
| 05/07/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (108,509.07) | 203 | HRA Select Claims | 2014 |
| 05/08/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (152,949.25) | 203 | HRA Select Claims | 2014 |
| 05/09/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (141,508.99) | 203 | HRA Select Claims | 2014 |
| 05/12/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (308,752.58) | 203 | HRA Select Claims | 2014 |
| 05/13/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (49,301.53) | 203 | HRA Select Claims | 2014 |
| 05/14/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (105,938.49) | 203 | HRA Select Claims | 2014 |
| 05/15/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (172,863.24) | 203 | HRA Select Claims | 2014 |
| 05/16/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (137,583.03) | 203 | HRA Select Claims | 2014 |
| 05/19/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (326,611.11) | 203 | HRA Select Claims | 2014 |
| 05/20/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (57,076.02) | 203 | HRA Select Claims | 2014 |
| 05/21/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (117,249.94) | 203 | HRA Select Claims | 2014 |
| 05/22/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (184,783.97) | 203 | HRA Select Claims | 2014 |
| 05/23/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (157,587.25) | 203 | HRA Select Claims | 2014 |
| 05/27/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (367,738.93) | 203 | HRA Select Claims | 2014 |
| 05/28/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (13,473.13) | 203 | HRA Select Claims | 2014 |
| 05/29/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (105,828.78) | 203 | HRA Select Claims | 2014 |
| 04/30/14 | HRA WAIVER RECOVERIES MARCH 2014, PY 2014 | 15,368.47 | 204 | HRA Waiver Claims | 2014 |
| 04/30/14 | HRA WAIVER VOIDS, MARCH 2014, PY 2014 | 1,710.64 | 204 | HRA Waiver Claims | 2014 |
| 04/30/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (127,868.10) | 204 | HRA Waiver Claims | 2014 |
| 05/01/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (160,751.83) | 204 | HRA Waiver Claims | 2014 |
| 05/05/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (135,944.68) | 204 | HRA Waiver Claims | 2014 |
| 05/05/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (315,488.92) | 204 | HRA Waiver Claims | 2014 |
| 05/06/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (68,380.82) | 204 | HRA Waiver Claims | 2014 |
| 05/07/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (122,632.45) | 204 | HRA Waiver Claims | 2014 |
| 05/08/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (178,943.56) | 204 | HRA Waiver Claims | 2014 |
| 05/09/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (142,630.20) | 204 | HRA Waiver Claims | 2014 |
| 05/12/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (319,578.52) | 204 | HRA Waiver Claims | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-22

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|-------------------------|----------|------------------------------|-----------|
| 05/13/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (78,759.21) | 204 | HRA Waiver Claims | 2014 |
| 05/14/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (120,794.56) | 204 | HRA Waiver Claims | 2014 |
| 05/15/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (150,129.44) | 204 | HRA Waiver Claims | 2014 |
| 05/16/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (126,348.31) | 204 | HRA Waiver Claims | 2014 |
| 05/19/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (278,428.46) | 204 | HRA Waiver Claims | 2014 |
| 05/20/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (63,125.96) | 204 | HRA Waiver Claims | 2014 |
| 05/21/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (111,655.71) | 204 | HRA Waiver Claims | 2014 |
| 05/22/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (176,137.31) | 204 | HRA Waiver Claims | 2014 |
| 05/23/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (152,060.00) | 204 | HRA Waiver Claims | 2014 |
| 05/27/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (393,980.74) | 204 | HRA Waiver Claims | 2014 |
| 05/28/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (22,433.65) | 204 | HRA Waiver Claims | 2014 |
| 05/29/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (146,755.31) | 204 | HRA Waiver Claims | 2014 |
| 05/06/14 | EXPRESS SCRIPTS 05/06/2014 | (6,086,596.89) | 301 | Pharmacy Claims | 2014 |
| 05/13/14 | EXPRESS SCRIPTS 05/13/2014 | (6,073,721.60) | 301 | Pharmacy Claims | 2014 |
| 05/20/14 | EXPRESS SCRIPTS 05/20/2014 | (6,143,381.52) | 301 | Pharmacy Claims | 2014 |
| 05/27/14 | EXPRESS SCRIPTS 05/27/2014 | (5,485,529.55) | 301 | Pharmacy Claims | 2014 |
| 05/01/14 | EXPRESS SCRIPTS 04/29/2014 | (115,044.38) | 302 | Express Scripts Admin | 2014 |
| 05/01/14 | EXPRESS SCRIPTS 04/29/2014 VACCINES | (147.50) | 302 | Express Scripts Admin | 2014 |
| 05/09/14 | EXPRESS SCRIPTS 05/06/2014 | (116,010.03) | 302 | Express Scripts Admin | 2014 |
| 05/09/14 | EXPRESS SCRIPTS 05/06/2014 COUNTS 3/2014 | (413,795.75) | 302 | Express Scripts Admin | 2014 |
| 05/09/14 | EXPRESS SCRIPTS 05/06/2014 VACCINES | (205.00) | 302 | Express Scripts Admin | 2014 |
| 05/27/14 | EXPRESS SCRIPTS 05/20/2014 | (7.50) | 302 | Express Scripts Admin | 2014 |
| 05/27/14 | EXPRESS SCRIPTS 05/20/2014 | (62.74) | 302 | Express Scripts Admin | 2014 |
| 05/27/14 | EXPRESS SCRIPTS 05/20/2014 VACCINES | (185.00) | 302 | Express Scripts Admin | 2014 |
| 05/27/14 | EXPRESS SCRIPTS 05/20/2014 | (115,254.11) | 302 | Express Scripts Admin | 2014 |
| 05/07/14 | RFP EXPENSES | (196,210.00) | 501 | Operating Transfer/Exp & Adj | 2014 |
| 05/28/14 | DEI ADMIN FEE FY 14 ALLOTMENT INCREASE | (800,000.00) | 501 | Operating Transfer/Exp & Adj | 2014 |
| 05/27/14 | ERRP FUNDS TRANSFER 05/2014 | 14,305,497.96 | 501 | Operating Transfer/Exp & Adj | 2014 |
| | May Activity | <u>\$33,218,546.85</u> | | | |
| | Ending Balance | <u>\$300,074,085.29</u> | | | |

Kentucky Employees' Health Plan – Status Report
Attachment A

2nd Quarter, 2014
Page A-23

| May Account Summary | | |
|------------------------------------|-----------------------------------|-----------------|
| 101 | Premiums | 134,951,179.38 |
| 102 | HRA Waiver Contribution | 3,912,530.54 |
| 110 | Interest Income | 17,601.57 |
| 120 | Drug Rebates | 0.00 |
| 130 | Transfers From other plan year(s) | 0.00 |
| 201 | Medical Claims | (81,139,076.52) |
| 202 | Humana Admin | (6,605,291.51) |
| 203 | HRA Select Claims | (3,305,133.24) |
| 204 | HRA Waiver Claims | (3,342,775.02) |
| 301 | Pharmacy Claims | (23,818,972.77) |
| 302 | Express Scripts Admin | (760,803.54) |
| 500 | Transfers To other plan year(s) | 0.00 |
| 501 | Other Payments & Adj | 13,309,287.96 |
| Account Activity – May 2014 | | 33,218,546.85 |

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|-----------------------------------|------------------|----------|-------------------|-----------|
| | <u>Beginning Balance</u> | \$300,074,085.29 | | | |
| 07/02/14 | INTEREST DISTRIBUTION JUNE 2014 | 2,425.90 | 110 | Interest Income | 2010 |
| 06/03/14 | HEALTH CLAIM RECOVERY APRIL 2014, | | | | |
| 06/03/14 | PY 2010 | 41,771.43 | 201 | Medical Claims | 2010 |
| 06/24/14 | MEDICAL CLAIM RECOVERY MAY 2014, | | | | |
| 06/24/14 | PY 2010 | 37,326.32 | 201 | Medical Claims | 2010 |
| 06/02/14 | MEDICAL CLAIMS 2010 | (68.40) | 201 | Medical Claims | 2010 |
| 06/05/14 | MEDICAL CLAIMS 2010 | (2.88) | 201 | Medical Claims | 2010 |
| 06/09/14 | MEDICAL CLAIMS 2010 | (598.80) | 201 | Medical Claims | 2010 |
| 06/10/14 | MEDICAL CLAIMS 2010 | (6,647.19) | 201 | Medical Claims | 2010 |
| 06/13/14 | MEDICAL CLAIMS 2010 | (159.38) | 201 | Medical Claims | 2010 |
| 06/19/14 | MEDICAL CLAIMS 2010 | 43.35 | 201 | Medical Claims | 2010 |
| 06/20/14 | MEDICAL CLAIMS 2010 | 61.20 | 201 | Medical Claims | 2010 |
| 06/24/14 | MEDICAL CLAIMS 2010 | 138.00 | 201 | Medical Claims | 2010 |
| 06/27/14 | MEDICAL CLAIMS 2010 | 82.04 | 201 | Medical Claims | 2010 |
| 05/30/14 | HRA SELECT RECOVERIES APRIL 2014, | | | | |
| 05/30/14 | PY 2010 | 430.38 | 203 | HRA Select Claims | 2010 |
| 05/30/14 | HRA WAIVER RECOVERIES APRIL 2014, | | | | |
| 05/30/14 | PY 2010 | 279.28 | 204 | HRA Waiver Claims | 2010 |
| 07/02/14 | INTEREST DISTRIBUTION JUNE 2014 | 2,109.01 | 110 | Interest Income | 2011 |
| 06/03/14 | MEDICAL ESCHEATS APRIL 2014, PY | | | | |
| 06/03/14 | 2011 | 610.60 | 201 | Medical Claims | 2011 |
| 06/03/14 | HEALTH CLAIM RECOVERY APRIL 2014, | | | | |
| 06/03/14 | PY 2011 | 294,488.00 | 201 | Medical Claims | 2011 |
| 06/24/14 | MEDICAL CLAIM RECOVERY MAY 2014, | | | | |
| 06/24/14 | PY 2011 | 12,367.97 | 201 | Medical Claims | 2011 |
| 05/30/14 | MEDICAL CLAIMS 2011 | (337.49) | 201 | Medical Claims | 2011 |
| 06/02/14 | MEDICAL CLAIMS 2011 | (143.46) | 201 | Medical Claims | 2011 |
| 06/05/14 | MEDICAL CLAIMS 2011 | (20,928.00) | 201 | Medical Claims | 2011 |
| 06/06/14 | MEDICAL CLAIMS 2011 | 39.46 | 201 | Medical Claims | 2011 |
| 06/09/14 | MEDICAL CLAIMS 2011 | (682.05) | 201 | Medical Claims | 2011 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-24

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|-------------|-------------------|-------------------|-----------|
| 06/11/14 | MEDICAL CLAIMS 2011 | (1,237.00) | 201 | Medical Claims | 2011 |
| 06/13/14 | MEDICAL CLAIMS 2011 | (389.01) | 201 | Medical Claims | 2011 |
| 06/17/14 | MEDICAL CLAIMS 2011 | (434.85) | 201 | Medical Claims | 2011 |
| 06/18/14 | MEDICAL CLAIMS 2011 | (2,141.57) | 201 | Medical Claims | 2011 |
| 06/19/14 | MEDICAL CLAIMS 2011 | (2,326.61) | 201 | Medical Claims | 2011 |
| 06/20/14 | MEDICAL CLAIMS 2011 | (330.38) | 201 | Medical Claims | 2011 |
| 06/23/14 | MEDICAL CLAIMS 2011 | 1,980.00 | 201 | Medical Claims | 2011 |
| 06/24/14 | MEDICAL CLAIMS 2011 | (887.50) | 201 | Medical Claims | 2011 |
| 06/26/14 | MEDICAL CLAIMS 2011 | (302.59) | 201 | Medical Claims | 2011 |
| 06/27/14 | MEDICAL CLAIMS 2011 | (147.40) | 201 | Medical Claims | 2011 |
| 06/27/14 | HUMANA SHARED SAVINGS 05/2014 HRA SELECT RECOVERIES APRIL 2014, | (19.31) | 202 | Humana Admin | 2011 |
| 05/30/14 | PY 2011 HRA SELECT RECOVERIES MAY 2014, | 40.00 | 203 | HRA Select Claims | 2011 |
| 06/27/14 | PY 2011 HRA CLAIM PAYMENTS (HRA SELECT 2011) | 2,040.43 | 203 | HRA Select Claims | 2011 |
| 06/04/14 | HRA WAIVER RECOVERIES APRIL 2014, PY 2011 | 304.40 | 203 | HRA Select Claims | 2011 |
| 05/30/14 | HRA WAIVER RECOVERIES MAY 2014, PY 2011 | 265.88 | 204 | HRA Waiver Claims | 2011 |
| 06/27/14 | PY 2011 HRA CLAIM PAYMENTS (HRA WAIVER 2011) | 1,723.53 | 204 | HRA Waiver Claims | 2011 |
| 06/02/14 | 141.48 | 204 | HRA Waiver Claims | 2011 | |
| 07/02/14 | INTEREST DISTRIBUTION JUNE 2014 4TH QUARTER 2013 EXPRESS SCRIPTS | (148.08) | 110 | Interest Income | 2012 |
| 06/06/14 | REBATES HEALTH CLAIM RECOVERY APRIL 2014, | (664.07) | 120 | Drug Rebates | 2012 |
| 06/03/14 | PY 2012 MEDICAL ESCHEATS APRIL 2014, PY 2012 | 360,289.65 | 201 | Medical Claims | 2012 |
| 06/03/14 | MEDICAL CLAIM RECOVERY MAY 2014, PY 2012 | 9,478.39 | 201 | Medical Claims | 2012 |
| 06/24/14 | PY 2012 | 338,150.03 | 201 | Medical Claims | 2012 |
| 05/30/14 | MEDICAL CLAIMS 2012 | (2,221.06) | 201 | Medical Claims | 2012 |
| 06/02/14 | MEDICAL CLAIMS 2012 | (40,805.34) | 201 | Medical Claims | 2012 |
| 06/03/14 | MEDICAL CLAIMS 2012 | (10,700.53) | 201 | Medical Claims | 2012 |
| 06/04/14 | MEDICAL CLAIMS 2012 | (11,970.31) | 201 | Medical Claims | 2012 |
| 06/05/14 | MEDICAL CLAIMS 2012 | (24,609.33) | 201 | Medical Claims | 2012 |
| 06/06/14 | MEDICAL CLAIMS 2012 | (10,995.65) | 201 | Medical Claims | 2012 |
| 06/09/14 | MEDICAL CLAIMS 2012 | (16,223.53) | 201 | Medical Claims | 2012 |
| 06/10/14 | MEDICAL CLAIMS 2012 | (4,671.20) | 201 | Medical Claims | 2012 |
| 06/11/14 | MEDICAL CLAIMS 2012 | (6,318.74) | 201 | Medical Claims | 2012 |
| 06/12/14 | MEDICAL CLAIMS 2012 | (1,388.09) | 201 | Medical Claims | 2012 |
| 06/13/14 | MEDICAL CLAIMS 2012 | (7,764.48) | 201 | Medical Claims | 2012 |
| 06/16/14 | MEDICAL CLAIMS 2012 | (2,410.32) | 201 | Medical Claims | 2012 |
| 06/17/14 | MEDICAL CLAIMS 2012 | (25,088.82) | 201 | Medical Claims | 2012 |
| 06/18/14 | MEDICAL CLAIMS 2012 | (21.28) | 201 | Medical Claims | 2012 |
| 06/19/14 | MEDICAL CLAIMS 2012 | (3,198.95) | 201 | Medical Claims | 2012 |
| 06/20/14 | MEDICAL CLAIMS 2012 | (7,951.94) | 201 | Medical Claims | 2012 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-25

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|---------------|----------|-----------------------|-----------|
| 06/23/14 | MEDICAL CLAIMS 2012 | (23,844.80) | 201 | Medical Claims | 2012 |
| 06/24/14 | MEDICAL CLAIMS 2012 | (4,074.35) | 201 | Medical Claims | 2012 |
| 06/25/14 | MEDICAL CLAIMS 2012 | (4,004.63) | 201 | Medical Claims | 2012 |
| 06/26/14 | MEDICAL CLAIMS 2012 | (3,303.97) | 201 | Medical Claims | 2012 |
| 06/27/14 | MEDICAL CLAIMS 2012 | (4,695.21) | 201 | Medical Claims | 2012 |
| 05/30/14 | HRA SELECT RECOVERIES APRIL 2014, PY 2012 | 679.47 | 203 | HRA Select Claims | 2012 |
| 05/30/14 | HRA SELECT ESCHEATS APRIL 2014, PY 2012 | 118.86 | 203 | HRA Select Claims | 2012 |
| 06/27/14 | HRA SELECT RECOVERIES MAY 2014, PY 2012 | 1,108.87 | 203 | HRA Select Claims | 2012 |
| 06/04/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 33.54 | 203 | HRA Select Claims | 2012 |
| 06/16/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 105.57 | 203 | HRA Select Claims | 2012 |
| 06/18/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 7.12 | 203 | HRA Select Claims | 2012 |
| 06/25/14 | HRA CLAIM PAYMENTS (HRA SELECT 2012) | 10.32 | 203 | HRA Select Claims | 2012 |
| 05/30/14 | HRA WAIVER ESCHEATS APRIL 2014, PY 2012 | 354.11 | 204 | HRA Waiver Claims | 2012 |
| 05/30/14 | HRA WAIVER RECOVERIES APRIL 2014, PY 2012 | 1,313.71 | 204 | HRA Waiver Claims | 2012 |
| 05/30/14 | HRA WAIVER VOIDS, APRIL 2014, PY 2012 | 222.34 | 204 | HRA Waiver Claims | 2012 |
| 06/27/14 | HRA LIMITED CLAIM RECOVERIES MAY 2014, PY 2012 | 146.85 | 204 | HRA Waiver Claims | 2012 |
| 06/27/14 | HRA WAIVER RECOVERIES MAY 2014, PY 2012 | 2,724.41 | 204 | HRA Waiver Claims | 2012 |
| 06/12/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2012) | 28.29 | 204 | HRA Waiver Claims | 2012 |
| | EXPRESS SCRIPTS REFUND FOR ESRX TRANSITION AND ALEGRA PRODUCT PRICING | 138,136.12 | 301 | Pharmacy Claims | 2012 |
| 06/03/14 | EXPRESS SCRIPTS 06/03/2014 | 752.77 | 301 | Pharmacy Claims | 2012 |
| 06/11/14 | EXPRESS SCRIPTS 06/11/2014 | 440.10 | 301 | Pharmacy Claims | 2012 |
| 06/17/14 | EXPRESS SCRIPTS 05/13/2014 | (5.00) | 302 | Express Scripts Admin | 2012 |
| 06/04/14 | HEALTH PREMIUMS | 675.34 | 101 | Premiums | 2013 |
| 06/05/14 | HEALTH PREMIUMS | 2,584.70 | 101 | Premiums | 2013 |
| 06/20/14 | HEALTH PREMIUMS | 907.64 | 101 | Premiums | 2013 |
| 07/02/14 | INTEREST DISTRIBUTION JUNE 2014 4TH QUARTER 2013 EXPRESS SCRIPTS REBATES | (343.07) | 110 | Interest Income | 2013 |
| 06/06/14 | | 10,439,237.36 | 120 | Drug Rebates | 2013 |
| 06/03/14 | MEDICAL VOIDS APRIL 2014, PY 2013 | 9,408.92 | 201 | Medical Claims | 2013 |
| 06/03/14 | HEALTH CLAIM RECOVERY APRIL 2014, PY 2013 | 1,992,982.16 | 201 | Medical Claims | 2013 |
| 06/24/14 | MEDICAL CLAIM RECOVERY MAY 2014, PY 2013 | 2,196,625.56 | 201 | Medical Claims | 2013 |
| 05/30/14 | MEDICAL CLAIMS 2013 | (381,935.05) | 201 | Medical Claims | 2013 |
| 06/02/14 | MEDICAL CLAIMS 2013 | (153,505.71) | 201 | Medical Claims | 2013 |
| 06/03/14 | MEDICAL CLAIMS 2013 | (106,838.82) | 201 | Medical Claims | 2013 |
| 06/04/14 | MEDICAL CLAIMS 2013 | (80,576.92) | 201 | Medical Claims | 2013 |
| 06/05/14 | MEDICAL CLAIMS 2013 | (183,640.86) | 201 | Medical Claims | 2013 |
| 06/06/14 | MEDICAL CLAIMS 2013 | (188,006.28) | 201 | Medical Claims | 2013 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-26

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|--------------|----------|-------------------|-----------|
| 06/09/14 | MEDICAL CLAIMS 2013 | (182,601.94) | 201 | Medical Claims | 2013 |
| 06/10/14 | MEDICAL CLAIMS 2013 | (195,289.27) | 201 | Medical Claims | 2013 |
| 06/11/14 | MEDICAL CLAIMS 2013 | (96,947.40) | 201 | Medical Claims | 2013 |
| 06/12/14 | MEDICAL CLAIMS 2013 | (76,731.30) | 201 | Medical Claims | 2013 |
| 06/13/14 | MEDICAL CLAIMS 2013 | (576,360.65) | 201 | Medical Claims | 2013 |
| 06/16/14 | MEDICAL CLAIMS 2013 | (65,385.79) | 201 | Medical Claims | 2013 |
| 06/17/14 | MEDICAL CLAIMS 2013 | (310,337.92) | 201 | Medical Claims | 2013 |
| 06/18/14 | MEDICAL CLAIMS 2013 | (69,724.53) | 201 | Medical Claims | 2013 |
| 06/19/14 | MEDICAL CLAIMS 2013 | (90,221.68) | 201 | Medical Claims | 2013 |
| 06/20/14 | MEDICAL CLAIMS 2013 | (84,806.26) | 201 | Medical Claims | 2013 |
| 06/23/14 | MEDICAL CLAIMS 2013 | (25,726.44) | 201 | Medical Claims | 2013 |
| 06/24/14 | MEDICAL CLAIMS 2013 | (86,669.92) | 201 | Medical Claims | 2013 |
| 06/25/14 | MEDICAL CLAIMS 2013 | (31,220.96) | 201 | Medical Claims | 2013 |
| 06/26/14 | MEDICAL CLAIMS 2013 | (36,958.73) | 201 | Medical Claims | 2013 |
| 06/27/14 | MEDICAL CLAIMS 2013 | (87,903.56) | 201 | Medical Claims | 2013 |
| 06/27/14 | HUMANA SHARED SAVINGS 05/2014 HRA SELECT VOIDS APRIL 2014, PY 2013 | (9,003.16) | 202 | Humana Admin | 2013 |
| 05/30/14 | HRA SELECT RECOVERIES APRIL 2014, PY 2013 | 2,165.11 | 203 | HRA Select Claims | 2013 |
| 05/30/14 | HRA SELECT RECOVERIES MAY 2014, PY 2013 | 4,386.21 | 203 | HRA Select Claims | 2013 |
| 06/27/14 | HUMANA REFUND OF HRA SELECT CLAIMS PAID IN ERROR | 9,029.48 | 203 | HRA Select Claims | 2013 |
| 06/27/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 13,948.46 | 203 | HRA Select Claims | 2013 |
| 05/30/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (120.75) | 203 | HRA Select Claims | 2013 |
| 06/02/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 85.20 | 203 | HRA Select Claims | 2013 |
| 06/03/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (1,487.16) | 203 | HRA Select Claims | 2013 |
| 06/04/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (990.02) | 203 | HRA Select Claims | 2013 |
| 06/05/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (229.61) | 203 | HRA Select Claims | 2013 |
| 06/06/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 4.84 | 203 | HRA Select Claims | 2013 |
| 06/10/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 2.22 | 203 | HRA Select Claims | 2013 |
| 06/11/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | (65.00) | 203 | HRA Select Claims | 2013 |
| 06/16/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 402.66 | 203 | HRA Select Claims | 2013 |
| 06/17/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 13.02 | 203 | HRA Select Claims | 2013 |
| 06/18/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 385.88 | 203 | HRA Select Claims | 2013 |
| 06/20/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 466.76 | 203 | HRA Select Claims | 2013 |
| 06/24/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 1,375.59 | 203 | HRA Select Claims | 2013 |
| 06/25/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 50.84 | 203 | HRA Select Claims | 2013 |
| 06/26/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 17.88 | 203 | HRA Select Claims | 2013 |
| 06/27/14 | HRA CLAIM PAYMENTS (HRA SELECT 2013) | 67.35 | 203 | HRA Select Claims | 2013 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-27

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|-------------|----------|-----------------------|-----------|
| 05/30/14 | HRA WAIVER VOIDS, APRIL 2014, PY 2013 | 8,841.63 | 204 | HRA Waiver Claims | 2013 |
| 05/30/14 | HRA WAIVER RECOVERIES APRIL 2014, PY 2013 | 11,775.24 | 204 | HRA Waiver Claims | 2013 |
| 05/30/14 | HRA LIMITED CLAIM RECOVERIES APRIL 2014, PY 2013 | 1,000.00 | 204 | HRA Waiver Claims | 2013 |
| 05/30/14 | HRA LIMITED CLAIM VOIDS APRIL 2014, PY 2013 | 1,587.24 | 204 | HRA Waiver Claims | 2013 |
| 06/27/14 | HRA LIMITED CLAIM RECOVERIES MAY 2014, PY 2013 | 329.60 | 204 | HRA Waiver Claims | 2013 |
| 06/27/14 | HRA WAIVER RECOVERIES MAY 2014, PY 2013 | 27,952.48 | 204 | HRA Waiver Claims | 2013 |
| 05/30/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 583.37 | 204 | HRA Waiver Claims | 2013 |
| 06/02/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 119.83 | 204 | HRA Waiver Claims | 2013 |
| 06/03/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 895.84 | 204 | HRA Waiver Claims | 2013 |
| 06/04/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (1,238.83) | 204 | HRA Waiver Claims | 2013 |
| 06/05/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (2,486.74) | 204 | HRA Waiver Claims | 2013 |
| 06/06/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (661.55) | 204 | HRA Waiver Claims | 2013 |
| 06/09/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 573.67 | 204 | HRA Waiver Claims | 2013 |
| 06/10/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 1,880.51 | 204 | HRA Waiver Claims | 2013 |
| 06/11/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (978.25) | 204 | HRA Waiver Claims | 2013 |
| 06/12/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (90.01) | 204 | HRA Waiver Claims | 2013 |
| 06/16/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 2,007.93 | 204 | HRA Waiver Claims | 2013 |
| 06/17/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (1,160.22) | 204 | HRA Waiver Claims | 2013 |
| 06/18/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 768.70 | 204 | HRA Waiver Claims | 2013 |
| 06/19/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 93.89 | 204 | HRA Waiver Claims | 2013 |
| 06/20/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 232.49 | 204 | HRA Waiver Claims | 2013 |
| 06/23/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 171.14 | 204 | HRA Waiver Claims | 2013 |
| 06/24/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (297.68) | 204 | HRA Waiver Claims | 2013 |
| 06/25/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | 15.05 | 204 | HRA Waiver Claims | 2013 |
| 06/26/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (550.11) | 204 | HRA Waiver Claims | 2013 |
| 06/27/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2013) | (198.78) | 204 | HRA Waiver Claims | 2013 |
| 06/03/14 | EXPRESS SCRIPTS 06/03/2014 | (13,358.85) | 301 | Pharmacy Claims | 2013 |
| 06/11/14 | EXPRESS SCRIPTS 06/11/2014 | (17.00) | 301 | Pharmacy Claims | 2013 |
| 06/17/14 | EXPRESS SCRIPTS 06/17/2014 | 4,479.59 | 301 | Pharmacy Claims | 2013 |
| 06/24/14 | EXPRESS SCRIPTS 06/24/2014 | 3,772.63 | 301 | Pharmacy Claims | 2013 |
| 06/06/14 | EXPRESS SCRIPTS 05/27/2014 | (31.37) | 302 | Express Scripts Admin | 2013 |
| 06/06/14 | EXPRESS SCRIPTS 06/02/2014 | (5.16) | 302 | Express Scripts Admin | 2013 |
| 06/17/14 | EXPRESS SCRIPTS 05/13/2014 | (601.37) | 302 | Express Scripts Admin | 2013 |
| 06/17/14 | EXPRESS SCRIPTS 06/10/2014 | (2.50) | 302 | Express Scripts Admin | 2013 |
| 06/23/14 | EXPRESS SCRIPTS 06/17/2014 | (19.19) | 302 | Express Scripts Admin | 2013 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-28

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|--------------|----------|-----------------------|-----------|
| 06/27/14 | EXPRESS SCRIPTS 06/24/14 | (41.77) | 302 | Express Scripts Admin | 2013 |
| 06/09/14 | UPPS PAYROLL | 1,615,206.93 | 101 | Premiums | 2014 |
| 06/03/14 | UPPS PAYROLL | 481.25 | 101 | Premiums | 2014 |
| 06/04/14 | UPPS PAYROLL | (662.37) | 101 | Premiums | 2014 |
| 06/16/14 | UPPS PAYROLL | 438.48 | 101 | Premiums | 2014 |
| 06/17/14 | UPPS PAYROLL | 207.49 | 101 | Premiums | 2014 |
| 06/19/14 | UPPS PAYROLL | (2.81) | 101 | Premiums | 2014 |
| 06/24/14 | UPPS PAYROLL | 54,188.66 | 101 | Premiums | 2014 |
| 05/20/14 | EPAY-HEALTH PREMIUMS 2014 | 11,361.74 | 101 | Premiums | 2014 |
| 05/23/14 | KRS #31100 05/14 HEALTH PREMIUM | 176,633.31 | 101 | Premiums | 2014 |
| 05/27/14 | EPAY-HEALTH PREMIUMS 2014 | 16,443.14 | 101 | Premiums | 2014 |
| 05/27/14 | EPAY-HEALTH PREMIUMS 2014 | 3,342.52 | 101 | Premiums | 2014 |
| 05/29/14 | EPAY-HEALTH PREMIUMS 2014 KCTCS 81000 PREMIUM PAYMENT MAY 2014 | 190,551.14 | 101 | Premiums | 2014 |
| 06/10/14 | KCTCS PREMIUM PAYMENT MAY 2014 | 45,552.16 | 101 | Premiums | 2014 |
| 06/02/14 | HEALTH PREMIUMS | 3,417,205.78 | 101 | Premiums | 2014 |
| 06/02/14 | EPAY-HEALTH PREMIUMS 2014 | 1,576,150.32 | 101 | Premiums | 2014 |
| 06/02/14 | EPAY-HEALTH PREMIUMS 2014 | 368,173.68 | 101 | Premiums | 2014 |
| 06/03/14 | EPAY-HEALTH PREMIUMS 2014 | 559,104.71 | 101 | Premiums | 2014 |
| 06/03/14 | HEALTH PREMIUMS | 935,566.09 | 101 | Premiums | 2014 |
| 06/04/14 | HEALTH PREMIUMS | 1,055,211.13 | 101 | Premiums | 2014 |
| 06/05/14 | KRS #31100 06/14 HEALTH PREMIUM | 14,858.53 | 101 | Premiums | 2014 |
| 06/05/14 | HEALTH PREMIUMS | 682,538.88 | 101 | Premiums | 2014 |
| 06/05/14 | EPAY-HEALTH PREMIUMS 2014 | 587,415.13 | 101 | Premiums | 2014 |
| 06/05/14 | EPAY-HEALTH PREMIUMS 2014 | 20,467.56 | 101 | Premiums | 2014 |
| 06/06/14 | HEALTH PREMIUMS | 871,754.79 | 101 | Premiums | 2014 |
| 06/09/14 | EPAY-HEALTH PREMIUMS 2014 | 50,745.39 | 101 | Premiums | 2014 |
| 06/09/14 | EPAY-HEALTH PREMIUMS 2014 | 9,543.84 | 101 | Premiums | 2014 |
| 06/09/14 | HEALTH PREMIUMS | 453,115.80 | 101 | Premiums | 2014 |
| 06/10/14 | HEALTH PREMIUMS | 350,568.22 | 101 | Premiums | 2014 |
| 06/11/14 | HEALTH PREMIUMS | 32,222.78 | 101 | Premiums | 2014 |
| 06/11/14 | EPAY-HEALTH PREMIUMS 2014 | 24,850.30 | 101 | Premiums | 2014 |
| 06/11/14 | EPAY-HEALTH PREMIUMS 2014 | 7,425.28 | 101 | Premiums | 2014 |
| 06/12/14 | HEALTH PREMIUMS | 148,946.28 | 101 | Premiums | 2014 |
| 06/13/14 | HEALTH PREMIUMS | 191,719.70 | 101 | Premiums | 2014 |
| 06/16/14 | EPAY-HEALTH PREMIUMS 2014 | 13,142.92 | 101 | Premiums | 2014 |
| 06/16/14 | HEALTH PREMIUMS | 330,758.59 | 101 | Premiums | 2014 |
| 06/17/14 | COBRA PREMIUMS MAY 2014 | 93,097.61 | 101 | Premiums | 2014 |
| 06/17/14 | EPAY-HEALTH PREMIUMS 2014 | 121,381.82 | 101 | Premiums | 2014 |
| 06/17/14 | EPAY-HEALTH PREMIUMS 2014 | 43,056.48 | 101 | Premiums | 2014 |
| 06/17/14 | EPAY-HEALTH PREMIUMS 2014 | 9,770.24 | 101 | Premiums | 2014 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-29

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|---------------|----------|-----------------|-----------|
| 06/17/14 | HEALTH PREMIUMS | 109,474.16 | 101 | Premiums | 2014 |
| 06/18/14 | EPAY-HEALTH PREMIUMS 2014 | 43,031.48 | 101 | Premiums | 2014 |
| 06/18/14 | HEALTH PREMIUMS | 17,672.77 | 101 | Premiums | 2014 |
| 06/19/14 | HEALTH PREMIUMS | 513,471.65 | 101 | Premiums | 2014 |
| 06/20/14 | JUDICIAL RETIREMENT 06/14 | 4,352.86 | 101 | Premiums | 2014 |
| 06/20/14 | JUDICIAL RETIREMENT 06/14 | 104,163.22 | 101 | Premiums | 2014 |
| 06/20/14 | HEALTH PREMIUMS | 265,600.04 | 101 | Premiums | 2014 |
| 06/20/14 | LEGISLATIVE RETIREMENT 06/14 | 3,527.53 | 101 | Premiums | 2014 |
| 06/20/14 | LEGISLATIVE RETIREMENT 06/14 | 22,741.75 | 101 | Premiums | 2014 |
| 06/23/14 | HEALTH PREMIUMS | 222,146.41 | 101 | Premiums | 2014 |
| 06/23/14 | EPAY-HEALTH PREMIUMS 2014 | 17,762.27 | 101 | Premiums | 2014 |
| 06/23/14 | EPAY-HEALTH PREMIUMS 2014 | 5,890.90 | 101 | Premiums | 2014 |
| 06/23/14 | EPAY-HEALTH PREMIUMS 2014 | 41,429.28 | 101 | Premiums | 2014 |
| 06/24/14 | HEALTH PREMIUMS | 217,630.08 | 101 | Premiums | 2014 |
| 06/25/14 | HEALTH PREMIUMS | 30,248.66 | 101 | Premiums | 2014 |
| 06/25/14 | EPAY-HEALTH PREMIUMS 2014 | 83,681.58 | 101 | Premiums | 2014 |
| 06/26/14 | EPAY-HEALTH PREMIUMS 2014 | 79,060.56 | 101 | Premiums | 2014 |
| 06/26/14 | EPAY-HEALTH PREMIUMS 2014 | 389,196.60 | 101 | Premiums | 2014 |
| 06/26/14 | JEFFERSON CO BOE MAY 2014 HEALTH | 1,595,781.80 | 101 | Premiums | 2014 |
| 06/26/14 | HEALTH PREMIUMS | 475,991.19 | 101 | Premiums | 2014 |
| 06/27/14 | EPAY-HEALTH PREMIUMS 2014 | 122,099.77 | 101 | Premiums | 2014 |
| 06/27/14 | HEALTH PREMIUMS | 1,251,307.55 | 101 | Premiums | 2014 |
| 06/30/14 | EPAY-HEALTH PREMIUMS 2014 | 380,036.06 | 101 | Premiums | 2014 |
| 06/30/14 | HEALTH PREMIUMS | 1,251,488.62 | 101 | Premiums | 2014 |
| 06/30/14 | EPAY-HEALTH PREMIUMS 2014 | 393,797.73 | 101 | Premiums | 2014 |
| 06/30/14 | KCTCS PREMIUM PAYMENT JUN 2014 KCTCS 81000 PREMIUM PAYMENT JUN 2014 | 3,411,020.93 | 101 | Premiums | 2014 |
| 06/30/14 | | 53,148.60 | 101 | Premiums | 2014 |
| 06/05/14 | HLTH INS REFUND | (311.76) | 101 | Premiums | 2014 |
| 06/11/14 | HLTH INS REFUND | (23.99) | 101 | Premiums | 2014 |
| 06/17/14 | HLTH INS REFUND | (6.49) | 101 | Premiums | 2014 |
| 06/24/14 | HLTH INS REFUND | (39.99) | 101 | Premiums | 2014 |
| 06/05/14 | HLTH INS REFUND | (6.49) | 101 | Premiums | 2014 |
| 06/17/14 | HLTH INS REFUND | (143.15) | 101 | Premiums | 2014 |
| 06/24/14 | HLTH INS REFUND | (256.49) | 101 | Premiums | 2014 |
| 06/05/14 | HLTH INS REFUND | (58.99) | 101 | Premiums | 2014 |
| 06/24/14 | HLTH INS REFUND | (70.86) | 101 | Premiums | 2014 |
| 06/02/14 | KDE PREMIUMS MAY 2014 | 56,183,797.87 | 101 | Premiums | 2014 |
| 07/01/14 | KDE PREMIUMS JUNE 2014 | 5,717,817.97 | 101 | Premiums | 2014 |
| 06/16/14 | KRS 06/14 HEALTH PREMIUMS | 21,606,369.29 | 101 | Premiums | 2014 |
| 06/23/14 | KTRS 06/14 PREMIUMS | 10,780,068.68 | 101 | Premiums | 2014 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-30

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|--------------|----------|-------------------------|-----------|
| 06/16/14 | CORRECT DEPOSIT FUND ALLOCATIONS | 7,948.37 | 101 | Premiums | 2014 |
| 06/09/14 | UPPS PAYROLL | 367,850.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/16/14 | UPPS PAYROLL | (175.00) | 102 | HRA Waiver Contribution | 2014 |
| 06/24/14 | UPPS PAYROLL | 437.50 | 102 | HRA Waiver Contribution | 2014 |
| 06/02/14 | HRA QUASI DEPOSIT | 1,837.50 | 102 | HRA Waiver Contribution | 2014 |
| 06/02/14 | EPAY-HRA QUASI 2014 | 1,400.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/02/14 | EPAY-HRA QUASI 2014 | 700.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/04/14 | HRA QUASI DEPOSIT | 1,575.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/05/14 | HRA QUASI DEPOSIT | 1,575.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/05/14 | EPAY-HRA QUASI 2014 | 350.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/06/14 | HRA QUASI DEPOSIT | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/16/14 | HRA QUASI DEPOSIT | 525.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/18/14 | EPAY-HRA QUASI 2014 | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/19/14 | HRA QUASI DEPOSIT | 1,243.76 | 102 | HRA Waiver Contribution | 2014 |
| 06/23/14 | HRA QUASI DEPOSIT | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/23/14 | EPAY-HRA QUASI 2014 | 350.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/23/14 | EPAY-HRA QUASI 2014 | 350.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/24/14 | HRA QUASI DEPOSIT | 1,225.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/26/14 | HRA QUASI DEPOSIT | 1,575.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/27/14 | HRA QUASI DEPOSIT | 875.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/30/14 | HRA PREMIUMS | 175.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/30/14 | EPAY-HRA QUASI 2014 | 1,400.00 | 102 | HRA Waiver Contribution | 2014 |
| 06/30/14 | KDE HRA WAIVER JUNE 2014 | 3,087,318.34 | 102 | HRA Waiver Contribution | 2014 |
| 06/30/14 | KDE HRA D&V JUNE 2014 | 57,050.00 | 102 | HRA Waiver Contribution | 2014 |
| 07/02/14 | INTEREST DISTRIBUTION JUNE 2014 | 8,327.61 | 110 | Interest Income | 2014 |
| 06/03/14 | MEDICAL VOIDS APRIL 2014, PY 2014 HEALTH CLAIM RECOVERY APRIL 2014, PY 2014 | 420.59 | 201 | Medical Claims | 2014 |
| 06/03/14 | | 70,212.14 | 201 | Medical Claims | 2014 |

Kentucky Employees' Health Plan – Status Report

Attachment A

2nd Quarter, 2014

Page A-31

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|----------------|----------|-------------------|-----------|
| 06/24/14 | MEDICAL CLAIM RECOVERY MAY 2014, PY 2014 | 221,674.70 | 201 | Medical Claims | 2014 |
| 05/30/14 | MEDICAL CLAIMS 2014 | (5,875,473.61) | 201 | Medical Claims | 2014 |
| 06/02/14 | MEDICAL CLAIMS 2014 | (7,395,033.66) | 201 | Medical Claims | 2014 |
| 06/03/14 | MEDICAL CLAIMS 2014 | (881,347.09) | 201 | Medical Claims | 2014 |
| 06/04/14 | MEDICAL CLAIMS 2014 | (1,987,565.42) | 201 | Medical Claims | 2014 |
| 06/05/14 | MEDICAL CLAIMS 2014 | (1,838,726.08) | 201 | Medical Claims | 2014 |
| 06/06/14 | MEDICAL CLAIMS 2014 | (5,253,140.08) | 201 | Medical Claims | 2014 |
| 06/09/14 | MEDICAL CLAIMS 2014 | (5,831,753.25) | 201 | Medical Claims | 2014 |
| 06/10/14 | MEDICAL CLAIMS 2014 | (4,297,633.32) | 201 | Medical Claims | 2014 |
| 06/11/14 | MEDICAL CLAIMS 2014 | (2,493,938.79) | 201 | Medical Claims | 2014 |
| 06/12/14 | MEDICAL CLAIMS 2014 | (3,405,236.44) | 201 | Medical Claims | 2014 |
| 06/13/14 | MEDICAL CLAIMS 2014 | (6,982,721.84) | 201 | Medical Claims | 2014 |
| 06/16/14 | MEDICAL CLAIMS 2014 | (4,727,551.04) | 201 | Medical Claims | 2014 |
| 06/17/14 | MEDICAL CLAIMS 2014 | (5,922,752.70) | 201 | Medical Claims | 2014 |
| 06/18/14 | MEDICAL CLAIMS 2014 | (1,930,676.59) | 201 | Medical Claims | 2014 |
| 06/19/14 | MEDICAL CLAIMS 2014 | (3,842,444.25) | 201 | Medical Claims | 2014 |
| 06/20/14 | MEDICAL CLAIMS 2014 | (3,543,195.66) | 201 | Medical Claims | 2014 |
| 06/23/14 | MEDICAL CLAIMS 2014 | (3,553,033.67) | 201 | Medical Claims | 2014 |
| 06/24/14 | MEDICAL CLAIMS 2014 | (2,766,373.92) | 201 | Medical Claims | 2014 |
| 06/25/14 | MEDICAL CLAIMS 2014 | (3,010,189.06) | 201 | Medical Claims | 2014 |
| 06/26/14 | MEDICAL CLAIMS 2014 | (3,112,829.80) | 201 | Medical Claims | 2014 |
| 06/27/14 | MEDICAL CLAIMS 2014 | (6,314,290.87) | 201 | Medical Claims | 2014 |
| 06/17/14 | FIRST ONSITE LLC | (48,528.70) | 201 | Medical Claims | 2014 |
| 06/20/14 | FIRST ONSITE LLC | (47,194.63) | 201 | Medical Claims | 2014 |
| 06/30/14 | KDE JUNE 2014 ASO FEE PMT | 30,509.43 | 202 | Humana Admin | 2014 |
| 06/23/14 | HUMANA ASO FEES 06/2014 | (6,185,823.57) | 202 | Humana Admin | 2014 |
| 06/27/14 | HUMANA ONCOLOGY QM 05/2014 | (76,608.00) | 202 | Humana Admin | 2014 |
| 06/27/14 | HUMANA SHARED SAVINGS 05/2014 | (211,452.82) | 202 | Humana Admin | 2014 |
| 06/27/14 | HUMANA BIO METRICS 05/2014 HRA SELECT RECOVERIES APRIL 2014, PY 2014 | (191,574.00) | 202 | Humana Admin | 2014 |
| 05/30/14 | HRA SELECT VOIDS APRIL 2014, PY 2014 | 15,548.73 | 203 | HRA Select Claims | 2014 |
| 05/30/14 | HRA SELECT RECOVERIES MAY 2014, PY 2014 | 165.06 | 203 | HRA Select Claims | 2014 |
| 06/27/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | 20,289.90 | 203 | HRA Select Claims | 2014 |
| 05/30/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (162,299.48) | 203 | HRA Select Claims | 2014 |
| 06/02/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (324,324.64) | 203 | HRA Select Claims | 2014 |
| 06/03/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (51,739.83) | 203 | HRA Select Claims | 2014 |
| 06/04/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (92,315.43) | 203 | HRA Select Claims | 2014 |
| 06/05/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (148,210.77) | 203 | HRA Select Claims | 2014 |
| 06/06/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (138,379.28) | 203 | HRA Select Claims | 2014 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-32

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|---|--------------|----------|-------------------|-----------|
| 06/09/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (254,850.52) | 203 | HRA Select Claims | 2014 |
| 06/10/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (47,225.34) | 203 | HRA Select Claims | 2014 |
| 06/11/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (500.96) | 203 | HRA Select Claims | 2014 |
| 06/12/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (6,582.55) | 203 | HRA Select Claims | 2014 |
| 06/13/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (36,291.13) | 203 | HRA Select Claims | 2014 |
| 06/16/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (504,443.85) | 203 | HRA Select Claims | 2014 |
| 06/17/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (50,475.70) | 203 | HRA Select Claims | 2014 |
| 06/18/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (114,724.87) | 203 | HRA Select Claims | 2014 |
| 06/19/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (155,396.80) | 203 | HRA Select Claims | 2014 |
| 06/20/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (132,582.42) | 203 | HRA Select Claims | 2014 |
| 06/23/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (480,967.29) | 203 | HRA Select Claims | 2014 |
| 06/24/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (50,380.11) | 203 | HRA Select Claims | 2014 |
| 06/25/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (102,121.48) | 203 | HRA Select Claims | 2014 |
| 06/26/14 | HRA CLAIM PAYMENTS (HRA SELECT 2014) | (146,280.52) | 203 | HRA Select Claims | 2014 |
| 06/27/14 | HRA WAIVER VOIDS, APRIL 2014, PY 2014 | (126,855.21) | 203 | HRA Select Claims | 2014 |
| 05/30/14 | HRA LIMITED CLAIM VOIDS APRIL 2014, | 3,336.06 | 204 | HRA Waiver Claims | 2014 |
| 05/30/14 | PY 2014 | 221.50 | 204 | HRA Waiver Claims | 2014 |
| 05/30/14 | HRA WAIVER RECOVERIES APRIL 2014, PY 2014 | 21,575.50 | 204 | HRA Waiver Claims | 2014 |
| 06/27/14 | HRA WAIVER RECOVERIES MAY 2014, PY 2014 | 40,126.63 | 204 | HRA Waiver Claims | 2014 |
| 05/30/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (174,926.56) | 204 | HRA Waiver Claims | 2014 |
| 06/02/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (311,358.50) | 204 | HRA Waiver Claims | 2014 |
| 06/03/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (72,698.58) | 204 | HRA Waiver Claims | 2014 |
| 06/04/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (144,888.90) | 204 | HRA Waiver Claims | 2014 |
| 06/05/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (189,910.31) | 204 | HRA Waiver Claims | 2014 |
| 06/06/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (143,816.33) | 204 | HRA Waiver Claims | 2014 |
| 06/09/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (253,271.90) | 204 | HRA Waiver Claims | 2014 |
| 06/10/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (55,002.52) | 204 | HRA Waiver Claims | 2014 |
| 06/11/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (2,599.21) | 204 | HRA Waiver Claims | 2014 |
| 06/12/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (42,156.87) | 204 | HRA Waiver Claims | 2014 |
| 06/13/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (111,570.68) | 204 | HRA Waiver Claims | 2014 |
| 06/16/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (454,716.95) | 204 | HRA Waiver Claims | 2014 |
| 06/17/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (55,587.29) | 204 | HRA Waiver Claims | 2014 |
| 06/18/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (135,534.46) | 204 | HRA Waiver Claims | 2014 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-33

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|----------|--|----------------|----------|------------------------------|-----------|
| 06/19/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (168,227.12) | 204 | HRA Waiver Claims | 2014 |
| 06/20/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (150,905.42) | 204 | HRA Waiver Claims | 2014 |
| 06/23/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (478,933.00) | 204 | HRA Waiver Claims | 2014 |
| 06/24/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (70,230.99) | 204 | HRA Waiver Claims | 2014 |
| 06/25/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (126,500.79) | 204 | HRA Waiver Claims | 2014 |
| 06/26/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (182,769.25) | 204 | HRA Waiver Claims | 2014 |
| 06/27/14 | HRA CLAIM PAYMENTS (HRA WAIVER 2014) | (175,056.38) | 204 | HRA Waiver Claims | 2014 |
| 06/03/14 | EXPRESS SCRIPTS 06/03/2014 | (6,868,982.12) | 301 | Pharmacy Claims | 2014 |
| 06/11/14 | EXPRESS SCRIPTS 06/11/2014 | (6,653,079.86) | 301 | Pharmacy Claims | 2014 |
| 06/17/14 | EXPRESS SCRIPTS 06/17/2014 | (6,507,053.80) | 301 | Pharmacy Claims | 2014 |
| 06/24/14 | EXPRESS SCRIPTS 06/24/2014 | 94.55 | 301 | Pharmacy Claims | 2014 |
| 06/24/14 | EXPRESS SCRIPTS 06/24/2014 | (6,448,775.96) | 301 | Pharmacy Claims | 2014 |
| 06/06/14 | EXPRESS SCRIPTS 05/27/2014 | (98,157.88) | 302 | Express Scripts Admin | 2014 |
| 06/06/14 | EXPRESS SCRIPTS 05/27/2014 VACCINES | (145.00) | 302 | Express Scripts Admin | 2014 |
| 06/06/14 | EXPRESS SCRIPTS 06/02/2014 COUNTS EXPRESS SCRIPTS 06/02/2014 | (412,920.00) | 302 | Express Scripts Admin | 2014 |
| 06/06/14 | VACCINES EXPRESS SCRIPTS 06/02/2014 MI HICA TAX | (165.00) | 302 | Express Scripts Admin | 2014 |
| 06/06/14 | EXPRESS SCRIPTS 06/02/2014 EXPRESSIONS 05/13/2014 CLIENT LIABILITY | (39.28) | 302 | Express Scripts Admin | 2014 |
| 06/17/14 | EXPRESS SCRIPTS 05/13/2014 VACCINES | (2,823.17) | 302 | Express Scripts Admin | 2014 |
| 06/17/14 | EXPRESS SCRIPTS 05/13/2014 VACCINES | (220.00) | 302 | Express Scripts Admin | 2014 |
| 06/17/14 | EXPRESS SCRIPTS 05/13/2014 EXPRESSIONS 05/13/2014 MI HICA TAX | (111,663.09) | 302 | Express Scripts Admin | 2014 |
| 06/17/14 | EXPRESS SCRIPTS 05/13/2014 EXPRESSIONS 05/13/2014 MI HICA TAX | (65.05) | 302 | Express Scripts Admin | 2014 |
| 06/17/14 | CONSUMER CHOICE EXPRESS SCRIPTS 06/10/2014 VACCINES | (82,009.20) | 302 | Express Scripts Admin | 2014 |
| 06/17/14 | EXPRESS SCRIPTS 06/10/2014 VACCINES | (260.00) | 302 | Express Scripts Admin | 2014 |
| 06/17/14 | EXPRESS SCRIPTS 06/10/2014 EXPRESSIONS 06/10/2014 CONSUMER CHOICE PLAN | (111,992.24) | 302 | Express Scripts Admin | 2014 |
| 06/17/14 | EXPRESS SCRIPTS 06/10/2014 EXPRESSIONS 06/10/2014 CONSUMER CHOICE PLAN | (81,963.70) | 302 | Express Scripts Admin | 2014 |
| 06/23/14 | EXPRESS SCRIPTS 06/17/14 VACCINES | (307.50) | 302 | Express Scripts Admin | 2014 |
| 06/23/14 | EXPRESS SCRIPTS 06/17/14 | (115,135.41) | 302 | Express Scripts Admin | 2014 |
| 06/27/14 | EXPRESS SCRIPTS 06/24/14 VACCINES | (185.00) | 302 | Express Scripts Admin | 2014 |
| 06/27/14 | EXPRESS SCRIPTS 06/24/14 DEI ADMIN FEE FY 14 ALLOTMENT INCREASE | (110,310.81) | 302 | Express Scripts Admin | 2014 |
| 06/23/14 | DEI ADMIN FEE FY 14 ALLOTMENT INCREASE | (129,000.00) | 501 | Operating Transfer/Exp & Adj | 2014 |
| 06/23/14 | DEI ADMIN FEE FY 14 ALLOTMENT INCREASE | (166,400.00) | 501 | Operating Transfer/Exp & Adj | 2014 |
| 06/26/14 | ERRP FUNDS TRANSFER 06/2014 | 13,797,364.05 | 501 | Operating Transfer/Exp & Adj | 2014 |
| 06/20/14 | RFP EXPENSES | (133,952.00) | 501 | Operating Transfer/Exp & Adj | 2014 |

Kentucky Employees' Health Plan – Status Report
 Attachment A

2nd Quarter, 2014
 Page A-34

| DATE | DESCRIPTION | AMOUNT | LRC Code | LRC Description | Plan Year |
|------|----------------|-------------------------|-------------|-----------------|--------------|
| | June Activity | <u>\$23,322,265.57</u> | | | |
| | Ending Balance | <u>\$323,396,350.86</u> | | | |

| June Account Summary | | |
|-------------------------------------|-----------------------------------|-----------------|
| 101 | Premiums | 119,487,539.19 |
| 102 | HRA Waiver Contribution | 3,528,162.10 |
| 110 | Interest Income | 12,371.37 |
| 120 | Drug Rebates | 10,438,573.29 |
| 130 | Transfers From other plan year(s) | 0.00 |
| 201 | Medical Claims | (82,838,897.04) |
| 202 | Humana Admin | (6,643,971.43) |
| 203 | HRA Select Claims | (3,056,556.57) |
| 204 | HRA Waiver Claims | (3,377,036.00) |
| 301 | Pharmacy Claims | (26,343,591.83) |
| 302 | Express Scripts Admin | (1,252,339.56) |
| 500 | Transfers To other plan year(s) | 0.00 |
| 501 | Operating Transfer/ Exp & Adj | 13,368,012.05 |
| Account Activity – June 2014 | | 23,322,265.57 |

Summary of Transfers between Plan Years

| | 2006 | 2007 | 2008 |
|---|--------------------------|--------------------------|------------------------|
| KRS SUBSIDY | | | |
| KRS SUBSIDY REPAYMENT | 5,750,469.94 | | (5,750,469.94) |
| KRS FAMILY SUBSIDY | (1,148,095.15) | | 1,148,095.15 |
| KRS INITIALS FAMILY SUBSIDY | (5,551.49) | | 5,551.49 |
| KRS FAMILY SUBSIDY | (1,148,140.45) | | 1,148,140.45 |
| KRS INITIALS FAMILY SUBSIDY | (3,194.56) | | 3,194.56 |
| KRS FAMILY SUBSIDY | (1,145,845.38) | | 1,145,845.38 |
| KRS PREMIUM SUBSIDY | (2,822.95) | | 2,822.95 |
| KRS PREMIUM SUBSIDY | (1,146,453.32) | | 1,146,453.32 |
| KRS PREMIUM SUBSIDY | (4,336.94) | | 4,336.94 |
| KRS PREMIUM SUBSIDY | (1,146,029.69) | | 1,146,029.69 |
| KRS FINAL TOTAL AFTER REPAYMENT | 0.01 | 0.00 | (0.01) |
| TRANSFERS FROM PLAN YEARS | | | |
| BUDGETERY TRANSFER PY206 | (52,000,000.00) | | 52,000,000.00 |
| PLAN YEAR TRANSFER 2006 | (26,171,597.12) | | 26,171,597.12 |
| PLAN YEAR TRANSFER 2007 | (15,698,731.74) | | 15,698,731.74 |
| TOTAL NON SUBSIDY PY TRANSFERS | (78,171,597.12) | (15,698,731.74) | 93,870,328.86 |
| KTRS SUBSIDY | | | |
| KTRS JAN 08 FAMILY SUBSIDY | (658,356.10) | | 658,356.10 |
| KTRS FEB 08 FAMILY SUBSIDY | (657,763.84) | | 657,763.84 |
| KTRS MAR 08 FAMILY SUBSIDY | (651,640.10) | | 651,640.10 |
| KTRS MAY 08 FAMILY SUBSIDY | (644,890.64) | | 644,890.64 |
| KTRS APR 08 FAMILY SUBSIDY | (704,209.24) | | 704,209.24 |
| KTRS JUNE 08 FAMILY SUBSIDY | (632,039.18) | | 632,039.18 |
| TOTAL KTRS SUBSIDY | (3,948,899.10) | 0.00 | 3,948,899.10 |
| TOTAL TRANSFERS BETWEEN PLAN YEARS | (\$82,120,496.21) | (\$15,698,731.74) | \$97,819,227.95 |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-1

| PLAN YEAR 2006 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|-------------------------------|-------------------|--|----------|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | | |
| 2013 Balance Forward | 1,102,199,258.24 | 0.00 | 13,255,658.11 | 22,564,128.65 | 0.00 | (762,460,936.69) | (47,449,907.92) | 0.00 | 0.00 | (231,474,267.61) | (7,783,597.84) | (84,850,334.94) | (4,000,000.00) | | | (\$0.00) |
| January-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| February-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| March-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| April-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| May-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| June-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| July-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| August-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| September-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| October-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| November-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| December-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$0.00 |
| Plan Year Transactions to Date | 1,102,199,258.24 | 0.00 | 13,255,658.11 | 22,564,128.65 | 0.00 | (762,460,936.69) | (47,449,907.92) | 0.00 | 0.00 | (231,474,267.61) | (7,783,597.84) | (84,850,334.94) | (4,000,000.00) | | | (\$0.00) |

Kentucky Employees' Health Plan – Status Report

Attachment B

2nd Quarter, 2014
Page B-2

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-3

| PLAN YEAR 2006 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-------------------|--|
| 2012 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2011 Balance Forward | 1,102,199,258.24 | 0.00 | 13,252,316.59 | 22,564,128.65 | 0.00 | (762,623,374.56) | (47,449,907.92) | 0.00 | 0.00 | (231,474,267.61) | (7,783,597.84) | (82,120,496.21) | (4,000,000.00) | \$2,564,059.34 | |
| January-12 | 0.00 | 0.00 | 59.70 | 0.00 | 0.00 | 48,977.55 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$49,037.25 | |
| February-12 | 0.00 | 0.00 | 49.64 | 0.00 | 0.00 | (88.62) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$38.98) | |
| March-12 | 0.00 | 0.00 | 53.54 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$53.54 | |
| April-12 | 0.00 | 0.00 | 241.06 | 0.00 | 0.00 | 47,367.73 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$47,608.79 | |
| May-12 | 0.00 | 0.00 | 2,370.95 | 0.00 | 0.00 | 34,573.36 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$36,944.31 | |
| June-12 | 0.00 | 0.00 | 566.63 | 0.00 | 0.00 | (665.17) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (2,697,565.71) | 0.00 | (\$2,697,664.25) | |
| July-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1,342.05 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (1,342.05) | 0.00 | \$0.00 | |
| August-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 30,930.97 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (30,930.97) | 0.00 | \$0.00 | |
| September-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 30,930.97 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (30,930.97) | 0.00 | \$0.00 | |
| October-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| November-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| December-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| Plan Year Transactions to Date | 1,102,199,258.24 | 0.00 | 13,255,658.11 | 22,564,128.65 | 0.00 | (762,430,005.72) | (47,449,907.92) | 0.00 | 0.00 | (231,474,267.61) | (7,783,597.84) | (84,881,265.91) | (4,000,000.00) | (\$0.00) | |

500 | Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-4

| PLAN YEAR 2006 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|-------------------------------|-------------------|----------------|--|
| 2011 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | | |
| 2010 Balance Forward | 1,102,199,258.24 | 0.00 | 13,246,003.03 | 22,564,128.65 | 0.00 | (762,907,721.82) | (47,449,886.32) | 0.00 | 0.00 | (231,474,267.61) | (7,783,597.84) | (82,120,496.21) | (4,000,000.00) | | \$2,273,420.12 | |
| January-11 | 0.00 | 0.00 | 703.06 | 0.00 | 0.00 | 92,832.37 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$93,535.43 | |
| February-11 | 0.00 | 0.00 | 879.01 | 0.00 | 0.00 | 70,242.39 | (21.60) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$71,099.80 | |
| March-11 | 0.00 | 0.00 | 389.19 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$389.19 | |
| April-11 | 0.00 | 0.00 | 396.42 | 0.00 | 0.00 | 75,913.75 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$76,310.17 | |
| May-11 | 0.00 | 0.00 | 648.91 | 0.00 | 0.00 | (102.03) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$546.88 | |
| June-11 | 0.00 | 0.00 | 2,087.88 | 0.00 | 0.00 | 42,337.98 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$44,425.86 | |
| July-11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4,716.92 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$4,716.92 | |
| August-11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (3,111.30) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$3,111.30) | |
| September-11 | 0.00 | 0.00 | 149.67 | 0.00 | 0.00 | 605.58 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$755.25 | |
| October-11 | 0.00 | 0.00 | 356.65 | 0.00 | 0.00 | 695.46 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$1,052.11 | |
| November-11 | 0.00 | 0.00 | 312.19 | 0.00 | 0.00 | 216.14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$528.33 | |
| December-11 | 0.00 | 0.00 | 390.58 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$390.58 | |
| Plan Year Transactions to Date | 1,102,199,258.24 | 0.00 | 13,252,316.59 | 22,564,128.65 | 0.00 | (762,623,374.56) | (47,449,907.92) | 0.00 | 0.00 | (231,474,267.61) | (7,783,597.84) | (82,120,496.21) | (4,000,000.00) | | \$2,564,059.34 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-5

| PLAN YEAR 2006 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|-------------------------------|-------------------|----------------|--|
| 2010 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | | |
| 2009 Balance Forward | 1,102,199,258.24 | 0.00 | 13,240,658.47 | 22,564,128.65 | 0.00 | (763,459,613.93) | (47,449,798.19) | 0.00 | 0.00 | (231,462,058.76) | (7,782,832.84) | (82,120,496.21) | (4,000,000.00) | | \$1,729,245.43 | |
| January-10 | 0.00 | 0.00 | 1,336.36 | 0.00 | 0.00 | (34,298.48) | (88.13) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$33,050.25) | |
| February-10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 66,267.60 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$66,267.60 | |
| March-10 | 0.00 | 0.00 | 31.77 | 0.00 | 0.00 | 160,773.72 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$160,805.49 | |
| April-10 | 0.00 | 0.00 | 19.79 | 0.00 | 0.00 | (4,924.48) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$4,904.69) | |
| May-10 | 0.00 | 0.00 | 10.12 | 0.00 | 0.00 | 29,581.39 | 0.00 | 0.00 | 0.00 | (12,208.85) | (765.00) | 0.00 | 0.00 | | \$16,617.66 | |
| June-10 | 0.00 | 0.00 | 55.85 | 0.00 | 0.00 | (5,386.80) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$5,330.95) | |
| July-10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (494.20) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$494.20) | |
| August-10 | 0.00 | 0.00 | 409.71 | 0.00 | 0.00 | 53,247.53 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$53,657.24 | |
| September-10 | 0.00 | 0.00 | 845.08 | 0.00 | 0.00 | (1,571.87) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$726.79) | |
| October-10 | 0.00 | 0.00 | 1,117.34 | 0.00 | 0.00 | 298,242.39 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$299,359.73 | |
| November-10 | 0.00 | 0.00 | 522.42 | 0.00 | 0.00 | (10,873.22) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$10,350.80) | |
| December-10 | 0.00 | 0.00 | 996.12 | 0.00 | 0.00 | 1,328.53 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$2,324.65 | |
| Plan Year Transactions to Date | 1,102,199,258.24 | 0.00 | 13,246,003.03 | 22,564,128.65 | 0.00 | (762,907,721.82) | (47,449,886.32) | 0.00 | 0.00 | (231,474,267.61) | (7,783,597.84) | (82,120,496.21) | (4,000,000.00) | | \$2,273,420.12 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-6

| PLAN YEAR 2006 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|----------------------------|-------------------|----------------|--|
| 2009 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OTHER PAYMENTS/ADJUSTMENTS | CASH TRANSACTIONS | | |
| 2008 Balance Forward | 1,102,199,258.24 | 0.00 | 13,213,543.20 | 22,564,128.65 | 0.00 | (763,250,044.64) | (47,449,461.43) | 0.00 | 0.00 | (231,460,977.27) | (7,782,861.05) | (82,120,496.21) | (4,000,000.00) | | \$1,913,089.49 | |
| January-09 | 0.00 | 0.00 | 1,229.50 | 0.00 | 0.00 | (33,318.06) | (193.80) | 0.00 | 0.00 | 0.00 | 53.21 | 0.00 | 0.00 | | (\$32,229.15) | |
| February-09 | 0.00 | 0.00 | 2,031.35 | 0.00 | 0.00 | 282,701.73 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$284,733.08 | |
| March-09 | 0.00 | 0.00 | 1,095.53 | 0.00 | 0.00 | 60,104.48 | 1.84 | 0.00 | 0.00 | 374.69 | 0.00 | 0.00 | 0.00 | | \$61,576.54 | |
| April-09 | 0.00 | 0.00 | 868.59 | 0.00 | 0.00 | (497,077.80) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$496,209.21) | |
| May-09 | 0.00 | 0.00 | 621.62 | 0.00 | 0.00 | 39,736.78 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$40,358.40 | |
| June-09 | 0.00 | 0.00 | 7,147.71 | 0.00 | 0.00 | 6,552.55 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$13,700.26 | |
| July-09 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (45,112.68) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$45,112.68) | |
| August-09 | 0.00 | 0.00 | 3,168.63 | 0.00 | 0.00 | (13,364.36) | 0.00 | 0.00 | 0.00 | (184.94) | (12.50) | 0.00 | 0.00 | | (\$10,393.17) | |
| September-09 | 0.00 | 0.00 | 3,930.02 | 0.00 | 0.00 | 47,604.47 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$51,534.49 | |
| October-09 | 0.00 | 0.00 | 404.84 | 0.00 | 0.00 | (163,960.72) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$163,555.88) | |
| November-09 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (5,190.99) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$5,190.99) | |
| December-09 | 0.00 | 0.00 | 6,617.48 | 0.00 | 0.00 | 111,755.31 | (144.80) | 0.00 | 0.00 | (1,271.24) | (12.50) | 0.00 | 0.00 | | \$116,944.25 | |
| Plan Year Transactions to Date | 1,102,199,258.24 | 0.00 | 13,240,658.47 | 22,564,128.65 | 0.00 | (763,459,613.93) | (47,449,798.19) | 0.00 | 0.00 | (231,462,058.76) | (7,782,832.84) | (82,120,496.21) | (4,000,000.00) | | \$1,729,245.43 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-7

| PLAN YEAR 2006 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|----------------------------|-------------------|--|
| 2008 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OTHER PAYMENTS/ADJUSTMENTS | CASH TRANSACTIONS | |
| 2007 Balance Forward | 1,102,198,560.68 | 0.00 | 11,988,284.19 | 22,564,128.65 | 0.00 | (781,109,649.73) | (47,320,908.95) | 0.00 | 0.00 | (224,014,151.59) | (7,782,779.02) | 0.00 | (4,000,000.00) | \$72,523,484.23 | |
| January-08 | 0.00 | 0.00 | 332,620.33 | 0.00 | 0.00 | (430,361.51) | 0.00 | 0.00 | 0.00 | 144.64 | 0.00 | (658,356.10) | 0.00 | (\$755,952.64) | |
| February-08 | 0.00 | 0.00 | 396,862.96 | 0.00 | 0.00 | (332,077.22) | 0.00 | 0.00 | 0.00 | 509.07 | 0.00 | (657,763.84) | 0.00 | (\$592,469.03) | |
| March-08 | 250.00 | 0.00 | 248,839.16 | 0.00 | 0.00 | 17,387,941.45 | 0.00 | 0.00 | 0.00 | (7,454,423.55) | 0.00 | (56,102,467.13) | 0.00 | (\$45,919,860.07) | |
| April-08 | (142.12) | 0.00 | 93,838.04 | 0.00 | 0.00 | 638,090.74 | (460.00) | 0.00 | 0.00 | 44.25 | (1.39) | (1,850,662.56) | 0.00 | (\$1,119,293.04) | |
| May-08 | 0.00 | 0.00 | 59,770.67 | 0.00 | 0.00 | 59,299.89 | 18,124.96 | 0.00 | 0.00 | 4,615.51 | (55.62) | 3,952,389.72 | 0.00 | \$4,094,145.13 | |
| June-08 | 0.00 | 0.00 | 70,788.90 | 0.00 | 0.00 | 573,581.72 | 6,781.93 | 0.00 | 0.00 | 589.71 | (1.39) | (26,803,636.30) | 0.00 | (\$26,151,895.43) | |
| July-08 | 609.20 | 0.00 | 493.17 | 0.00 | 0.00 | (136,874.42) | 0.00 | 0.00 | 0.00 | 23.02 | 0.00 | 0.00 | 0.00 | (\$135,749.03) | |
| August-08 | (19.52) | 0.00 | 4,698.23 | 0.00 | 0.00 | 144,188.51 | 0.00 | 0.00 | 0.00 | 122.66 | 0.00 | 0.00 | 0.00 | \$148,989.88 | |
| September-08 | 0.00 | 0.00 | 5,207.99 | 0.00 | 0.00 | 23,017.40 | (111.67) | 0.00 | 0.00 | 41.72 | (1.39) | 0.00 | 0.00 | \$28,154.05 | |
| October-08 | 0.00 | 0.00 | 3,010.40 | 0.00 | 0.00 | 49,296.56 | (14.88) | 0.00 | 0.00 | 782.00 | (19.46) | 0.00 | 0.00 | \$53,054.62 | |
| November-08 | 0.00 | 0.00 | 5,721.95 | 0.00 | 0.00 | (68,199.33) | (152,872.82) | 0.00 | 0.00 | 725.29 | (2.78) | 0.00 | 0.00 | (\$214,627.69) | |
| December-08 | 0.00 | 0.00 | 3,407.21 | 0.00 | 0.00 | (48,298.70) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$44,891.49) | |
| Plan Year Transactions to Date | 1,102,199,258.24 | 0.00 | 13,213,543.20 | 22,564,128.65 | 0.00 | (763,250,044.64) | (47,449,461.43) | 0.00 | 0.00 | (231,460,977.27) | (7,782,861.05) | (82,120,496.21) | (4,000,000.00) | \$1,913,089.49 | |

201 March 08 Plan year corrections of \$9,933,517.90 were made after Humana supplied plan year information on the claim recoveries.

301 March 08 Weekly pharmacy claims were improperly recorded as medical claims. The correction appears on the March 2008 summary and totals \$7,454,423.55.

500 March 08 transfers between plan years consist of \$52,000,000.00 used to reduce premium rates and \$4,102,467.13 in family subsidies.

500 May 08 included the KRS subsidy repayment of \$5,750,469.94.

500 June 08 transfers between plan years consist of \$26,171,597.12 and \$632,039.18 in family subsidies.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-8

| PLAN YEAR 2006 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|----------------------------|-------------------|--|
| 2007 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OTHER PAYMENTS/ADJUSTMENTS | CASH TRANSACTIONS | |
| 2006 Balance Forward | 1,101,364,426.55 | 0.00 | 6,705,247.06 | 11,813,426.09 | 0.00 | (696,060,326.39) | (51,029,179.63) | 0.00 | 0.00 | (218,657,413.99) | (7,555,888.27) | 0.00 | 0.00 | \$146,580,291.42 | |
| January-07 | 832,027.80 | 0.00 | 613,850.54 | 0.00 | 0.00 | (31,844,847.77) | (262,090.41) | 0.00 | 0.00 | (4,582,841.35) | (238,921.38) | 0.00 | (4,000,000.00) | (\$39,482,822.57) | |
| February-07 | (2,966.85) | 0.00 | 444,335.78 | 0.00 | 0.00 | (22,159,667.31) | (71,857.17) | 0.00 | 0.00 | (38,556.48) | (412.11) | 0.00 | 0.00 | (\$21,829,124.14) | |
| March-07 | (11,583.76) | 0.00 | 720,231.24 | 5,614,413.50 | 0.00 | (15,627,638.69) | (53,058.39) | 0.00 | 0.00 | (3,699.56) | (305.61) | 0.00 | 0.00 | (\$9,361,641.27) | |
| April-07 | (1,353.82) | 0.00 | 370,886.65 | 0.00 | 0.00 | (4,699,274.79) | (39,438.15) | 0.00 | 0.00 | (691,896.38) | 14,295.86 | 0.00 | 0.00 | (\$5,046,780.63) | |
| May-07 | 8,726.90 | 0.00 | 328,961.65 | 0.00 | 0.00 | (2,847,412.23) | 4,150,089.64 | 0.00 | 0.00 | (33,784.24) | (796.09) | 0.00 | 0.00 | \$1,605,785.63 | |
| June-07 | 4,584.74 | 0.00 | 750,625.98 | 5,136,289.06 | 0.00 | (2,250,182.11) | (9,060.50) | 0.00 | 0.00 | (1,695.23) | (205.53) | 0.00 | 0.00 | \$3,630,356.41 | |
| July-07 | 36.40 | 0.00 | 0.00 | 0.00 | 0.00 | (1,284,653.93) | (6,314.34) | 0.00 | 0.00 | (1,452.45) | (135.84) | 0.00 | 0.00 | (\$1,292,520.16) | |
| August-07 | 3,143.22 | 0.00 | 422,572.11 | 0.00 | 0.00 | (1,126,858.57) | 0.00 | 0.00 | 0.00 | (1,082.55) | (267.55) | 0.00 | 0.00 | (\$702,493.34) | |
| September-07 | 0.00 | 0.00 | 357,876.42 | 0.00 | 0.00 | (1,453,366.04) | 0.00 | 0.00 | 0.00 | (898.44) | (62.50) | 0.00 | 0.00 | (\$1,096,450.56) | |
| October-07 | 0.00 | 0.00 | 398,634.57 | 0.00 | 0.00 | (779,179.98) | 0.00 | 0.00 | 0.00 | (549.97) | (45.00) | 0.00 | 0.00 | (\$381,140.38) | |
| November-07 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (691,012.71) | 0.00 | 0.00 | 0.00 | (270.95) | (32.50) | 0.00 | 0.00 | (\$691,316.16) | |
| December-07 | 1,519.50 | 0.00 | 875,062.18 | 0.00 | 0.00 | (285,229.21) | 0.00 | 0.00 | 0.00 | (10.00) | (2.50) | 0.00 | 0.00 | \$591,339.97 | |
| Plan Year Transactions to Date | 1,102,198,560.68 | 0.00 | 11,988,284.19 | 22,564,128.65 | 0.00 | (781,109,649.73) | (47,320,908.95) | 0.00 | 0.00 | (224,014,151.59) | (7,782,779.02) | 0.00 | (4,000,000.00) | \$72,523,484.23 | |

101 Premiums received in 2007 include December lockbox, November / December Cobra payments. Negative amounts reflect refunds.

110 Interest is reported as the information is received from Finance Accounts and as it is received from Humana per the contract

120 Pharmacy rebates are not due under the terms of the contract until 150 days after the end of each quarter.

201 These are claims incurred in 2006, but paid in 2007.

202 Admin fees are on claims incurred in 2006.

301 These are claims incurred on 2006, but paid in 2007.

302 Admin fees are on claims incurred in 2006.

501 For fiscal year 2006, HB380 provided \$4 million from the Trust Fund to help offset the self-insurance administrative cost.

** Premiums forwarded include the escrow account to fund the outstanding claim checks through December 31, 2006. The Claims have been adjusted for the outstanding checks as of December 31, 2006.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-9

| PLAN YEAR 2006 | | | | | | | | | | | | | | | |
|-------------------------------------|--------------------|-------------------------|-----------------|-----------------|--|--------------------|-------------------|-------------------|-------------------|--------------------|----------------------------|--|----------------------------|-------------------|--|
| 2006 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OTHER PAYMENTS/ADJUSTMENTS | CASH TRANSACTIONS | |
| December-05 | 12,109,520.87 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$12,109,520.87 | |
| January-06 | 58,717,052.66 | 0.00 | 0.00 | 0.00 | 0.00 | (5,858,910.97) | 0.00 | 0.00 | 0.00 | (4,355,323.50) | 0.00 | 0.00 | 0.00 | \$48,502,818.19 | |
| February-06 | 95,279,715.21 | 0.00 | 0.00 | 0.00 | 0.00 | (33,608,116.12) | 0.00 | 0.00 | 0.00 | (27,222,136.63) | 0.00 | 0.00 | 0.00 | \$34,449,462.46 | |
| March-06 | 96,203,652.90 | 0.00 | 479,416.24 | 0.00 | 0.00 | (55,143,313.46) | (8,450,597.05) | 0.00 | 0.00 | (22,689,138.39) | 0.00 | 0.00 | 0.00 | \$10,400,020.24 | |
| April-06 | 95,713,661.72 | 0.00 | 363,022.39 | 0.00 | 0.00 | (49,234,826.19) | (4,245,852.36) | 0.00 | 0.00 | (15,725,632.15) | (2,123,741.31) | 0.00 | 0.00 | \$24,746,632.10 | |
| May-06 | 96,477,472.44 | 0.00 | 433,688.68 | 0.00 | 0.00 | (59,192,900.58) | (4,237,553.40) | 0.00 | 0.00 | (21,614,764.42) | (505,455.83) | 0.00 | 0.00 | \$11,360,486.89 | |
| June-06 | 75,715,144.70 | 0.00 | 1,309,327.87 | 0.00 | 0.00 | (66,179,114.23) | (8,434,079.16) | 0.00 | 0.00 | (17,722,866.45) | (859,244.32) | 0.00 | 0.00 | (\$16,170,831.59) | |
| July-06 | 106,607,010.35 | 0.00 | 0.00 | 0.00 | 0.00 | (62,801,570.87) | (4,262,691.48) | 0.00 | 0.00 | (16,921,364.87) | (641,012.65) | 0.00 | 0.00 | \$21,980,370.48 | |
| August-06 | 87,156,882.23 | 0.00 | 377,971.98 | 0.00 | 0.00 | (73,872,918.18) | (4,112,992.68) | 0.00 | 0.00 | (17,456,752.65) | (746,088.15) | 0.00 | 0.00 | (\$8,653,897.45) | |
| September-06 | 84,428,262.67 | 0.00 | 1,577,522.63 | 5,799,613.36 | 0.00 | (68,916,143.27) | (4,229,309.40) | 0.00 | 0.00 | (14,830,311.45) | (653,915.94) | 0.00 | 0.00 | \$3,175,718.60 | |
| October-06 | 90,247,993.08 | 0.00 | 646,707.29 | 0.00 | 0.00 | (65,259,252.33) | (4,243,928.76) | 0.00 | 0.00 | (22,693,132.66) | (657,804.47) | 0.00 | 0.00 | (\$1,959,417.85) | |
| November-06 | 88,348,883.51 | 0.00 | 720,536.99 | 0.00 | 0.00 | (62,337,283.05) | (4,504,712.82) | 0.00 | 0.00 | (18,218,171.50) | (799,688.56) | 0.00 | 0.00 | \$3,209,564.57 | |
| December-06 | 85,532,174.21 | 0.00 | 797,052.99 | 6,013,812.73 | 0.00 | (64,828,977.14) | (4,307,462.52) | 0.00 | 0.00 | (19,207,819.32) | (568,937.04) | 0.00 | 0.00 | \$3,429,843.91 | |
| Plan Year Transactions to Date | 1,072,537,426.55 | 0.00 | 6,705,247.06 | 11,813,426.09 | 0.00 | (667,233,326.39) | (51,029,179.63) | 0.00 | 0.00 | (218,657,413.99) | (7,555,888.27) | 0.00 | 0.00 | \$146,580,291.42 | |
| Plus: Claims Escrow | 28,827,000.00 | | | | | (28,827,000.00) | | | | | | | | \$0.00 | |
| Total Adjusted Transactions to Date | \$1,101,364,426.55 | \$0.00 | \$6,705,247.06 | \$11,813,426.09 | \$0.00 | (\$696,060,326.39) | (\$51,029,179.63) | \$0.00 | \$0.00 | (\$218,657,413.99) | (\$7,555,888.27) | \$0.00 | \$0.00 | \$146,580,291.42 | |

** In 2006, the Commonwealth funded the payment of medical claims on an "as cleared" basis. Due to the "as cleared" banking arrangement Humana required the funding of an escrow account of \$28,827,000 to cover an estimated 8 days of medical claims. In 2007, the banking arrangements changed from an "as cleared" to an "as issued" basis for claim payments. This change is due to a recommendation made by our External Auditor. Because of the banking change, Humana will no longer require an escrow account in 2007. The escrow account funds were added into the 2006 premium balance. These funds were then used for 2006 outstanding claim checks.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-10

| PLAN YEAR 2007 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|--------------------|--|------------------------------|-------------------|--------|--|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2013 Balance Forward | 1,140,907,524.60 | 59,281,406.95 | 7,947,800.34 | 24,262,373.59 | 0.00 | (836,800,979.65) | (56,911,781.09) | (2,931,705.94) | (47,117,430.12) | (256,402,961.76) | (8,874,050.30) | (30,082,833.67) | 6,722,637.05 | | \$0.00 | |
| January-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| February-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| March-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| April-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| May-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| June-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| July-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| August-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| September-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| October-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| November-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| December-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| Plan Year Transactions to Date | 1,140,907,524.60 | 59,281,406.95 | 7,947,800.34 | 24,262,373.59 | 0.00 | (836,800,979.65) | (56,911,781.09) | (2,931,705.94) | (47,117,430.12) | (256,402,961.76) | (8,874,050.30) | (30,082,833.67) | 6,722,637.05 | | \$0.00 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-11

| PLAN YEAR 2007 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|--------------------|--|-------------------------------|-------------------|--------|--|
| 2013 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | | |
| 2012 Balance Forward | 1,140,907,524.60 | 59,281,406.95 | 7,947,800.34 | 24,262,373.59 | 0.00 | (836,800,979.65) | (56,911,781.09) | (2,931,705.94) | (47,117,430.12) | (256,402,961.76) | (8,874,050.30) | (30,082,833.67) | 6,722,637.05 | | \$0.00 | |
| January-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| February-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| March-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| April-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| May-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| June-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| July-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| August-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| September-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| October-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| November-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| December-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| Plan Year Transactions to Date | 1,140,907,524.60 | 59,281,406.95 | 7,947,800.34 | 24,262,373.59 | 0.00 | (836,800,979.65) | (56,911,781.09) | (2,931,705.94) | (47,117,430.12) | (256,402,961.76) | (8,874,050.30) | (30,082,833.67) | 6,722,637.05 | | \$0.00 | |

500 | Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-12

| PLAN YEAR 2007 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|--------------------|--|------------------------------|-------------------|--|--|
| 2012 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2011 Balance Forward | 1,140,703,154.38 | 59,281,406.95 | 7,930,288.94 | 24,262,373.59 | 0.00 | (837,098,994.80) | (56,911,781.09) | (2,931,705.94) | (47,117,431.12) | (256,402,961.76) | (8,874,050.30) | (15,698,731.74) | 6,722,637.05 | \$13,864,204.16 | | |
| January-12 | 0.00 | 0.00 | 322.81 | 0.00 | 0.00 | 25,998.16 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$26,320.97 | | |
| February-12 | (0.00) | 0.00 | 263.87 | 0.00 | 0.00 | 1,729.39 | 0.00 | 0.00 | 1.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$1,994.26 | | |
| March-12 | (60.00) | 0.00 | 284.65 | 0.00 | 0.00 | 2,480.25 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$2,704.90 | | |
| April-12 | 60.00 | 0.00 | 1,281.85 | 0.00 | 0.00 | 17,852.17 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$19,194.02 | | |
| May-12 | 36,245.61 | 0.00 | 12,399.02 | 0.00 | 0.00 | 125,363.90 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$174,008.53 | | |
| June-12 | (36,245.61) | 0.00 | 2,959.20 | 0.00 | 0.00 | (2,695.86) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (14,052,444.57) | 0.00 | (\$14,088,426.84) | | |
| July-12 | 204,249.39 | 0.00 | 0.00 | 0.00 | 0.00 | 162,542.66 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (366,792.05) | 0.00 | \$0.00 | | |
| August-12 | 54.16 | 0.00 | 0.00 | 0.00 | 0.00 | (35,255.52) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 35,201.36 | 0.00 | \$0.00 | | |
| September-12 | 66.67 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (66.67) | 0.00 | \$0.00 | | |
| October-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | | |
| November-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | | |
| December-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | | |
| Plan Year Transactions to Date | 1,140,907,524.60 | 59,281,406.95 | 7,947,800.34 | 24,262,373.59 | 0.00 | (836,800,979.65) | (56,911,781.09) | (2,931,705.94) | (47,117,430.12) | (256,402,961.76) | (8,874,050.30) | (30,082,833.67) | 6,722,637.05 | \$0.00 | | |

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-13

| PLAN YEAR 2007 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|-------------------------------|-------------------|-----------------|--|
| 2011 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | | |
| 2010 Balance | 1,140,701,315.37 | 59,281,406.95 | 7,895,238.44 | 24,262,373.59 | 0.00 | (837,654,861.11) | (56,911,525.70) | (2,931,705.94) | (47,115,542.33) | (256,390,270.07) | (8,873,890.30) | (15,698,731.74) | 6,722,637.05 | | \$13,286,444.21 | |
| January-11 | 0.00 | 0.00 | 4,108.84 | 0.00 | 0.00 | 175,012.44 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$179,121.28 | |
| February-11 | 0.00 | 0.00 | 5,000.71 | 0.00 | 0.00 | 105,360.27 | (12.00) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$110,348.98 | |
| March-11 | 0.00 | 0.00 | 2,167.14 | 0.00 | 0.00 | (24,749.94) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$22,582.80) | |
| April-11 | 57,594.62 * | 0.00 | 2,203.40 | 0.00 | 0.00 | 113,682.98 | 0.00 | 0.00 | 26.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$173,507.00 | |
| May-11 | 103,823.09 * | 0.00 | 3,542.12 | 0.00 | 0.00 | (6,010.84) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$101,354.37 | |
| June-11 | (161,417.71) * | 0.00 | 11,478.36 | 0.00 | 0.00 | 58,587.62 | (176.13) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$91,527.86) | |
| July-11 | 1,265.70 * | 0.00 | 0.00 | 0.00 | 0.00 | 44,331.35 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$45,597.05 | |
| August-11 | 573.31 | 0.00 | 0.00 | 0.00 | 0.00 | 124,545.65 | 0.00 | 0.00 | (792.44) | (12,024.92) | (92.50) | 0.00 | 0.00 | | \$112,209.10 | |
| September-11 | 0.00 | 0.00 | 811.89 | 0.00 | 0.00 | 447.63 | (67.26) | 0.00 | 0.00 | (666.77) | (67.50) | 0.00 | 0.00 | | \$457.99 | |
| October-11 | 944.14 | 0.00 | 1,934.20 | 0.00 | 0.00 | (5,734.94) | 0.00 | 0.00 | 1.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$2,855.60) | |
| November-11 | (837.03) | 0.00 | 1,692.06 | 0.00 | 0.00 | (30,524.90) | 0.00 | 0.00 | (1,123.35) | 0.00 | 0.00 | 0.00 | 0.00 | | (\$30,793.22) | |
| December-11 | (107.11) | 0.00 | 2,111.78 | 0.00 | 0.00 | 918.99 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$2,923.66 | |
| Plan Year Transactions to Date | 1,140,703,154.38 | 59,281,406.95 | 7,930,288.94 | 24,262,373.59 | 0.00 | (837,098,994.80) | (56,911,781.09) | (2,931,705.94) | (47,117,431.12) | (256,402,961.76) | (8,874,050.30) | (15,698,731.74) | 6,722,637.05 | | \$13,864,204.16 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-14

| PLAN YEAR 2007 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|--------------------|-----------------|--|-------------------------------|-------------------|--|
| 2010 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | EXPRESS FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | |
| 2009 Balance | 1,140,715,605.42 | 59,281,231.95 | 7,862,561.27 | 24,262,373.59 | 0.00 | (839,818,253.91) | (56,910,725.19) | (2,931,730.94) | (47,109,221.06) | (256,251,118.15) | (8,866,235.30) | (15,698,731.74) | 6,722,637.05 | | \$11,258,392.99 | |
| January-10 | 301,150.69 | 175.00 | 8,700.51 | 0.00 | 0.00 | (19,122.64) | (604.51) | 0.00 | 75.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$290,374.05 | |
| February-10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 510,304.62 | 0.00 | 0.00 | 50.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$510,354.62 | |
| March-10 | (315,440.74) | 0.00 | 217.39 | 0.00 | 0.00 | 94,744.57 | (190.03) | 0.00 | 140.00 | 5.40 | 0.00 | 0.00 | 0.00 | 0.00 | (\$220,523.41) | |
| April-10 | 0.00 | 0.00 | 121.82 | 0.00 | 0.00 | (21,757.91) | 0.00 | 0.00 | 275.20 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$21,360.89) | |
| May-10 | 0.00 | 0.00 | 62.38 | 0.00 | 0.00 | 322,196.68 | 0.00 | 25.00 | 20.00 | (27,864.94) | (1,340.00) | 0.00 | 0.00 | 0.00 | \$293,099.12 | |
| June-10 | 0.00 | 0.00 | 349.56 | 0.00 | 0.00 | (91,560.94) | 0.00 | 0.00 | 0.00 | (92,263.60) | (5,490.00) | 0.00 | 0.00 | 0.00 | (\$188,964.98) | |
| July-10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (21,688.70) | 0.00 | 0.00 | 20.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (\$21,668.70) | |
| August-10 | 0.00 | 0.00 | 2,527.23 | 0.00 | 0.00 | 109,182.31 | 0.00 | 0.00 | 15.00 | (22.50) | (22.50) | 0.00 | 0.00 | 0.00 | \$111,679.54 | |
| September-10 | 0.00 | 0.00 | 5,119.30 | 0.00 | 0.00 | (38,725.20) | 0.00 | 0.00 | 0.00 | (16,655.09) | (722.50) | 0.00 | 0.00 | 0.00 | (\$50,983.49) | |
| October-10 | 0.00 | 0.00 | 6,742.31 | 0.00 | 0.00 | 1,299,117.17 | (5.97) | 0.00 | 0.00 | (401.95) | 0.00 | 0.00 | 0.00 | 0.00 | \$1,305,451.56 | |
| November-10 | 0.00 | 0.00 | 3,037.71 | 0.00 | 0.00 | (39,250.85) | 0.00 | 0.00 | (6,319.72) | (1,949.24) | (80.00) | 0.00 | 0.00 | 0.00 | (\$44,562.10) | |
| December-10 | 0.00 | 0.00 | 5,798.96 | 0.00 | 0.00 | 59,953.69 | 0.00 | 0.00 | (596.75) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$65,155.90 | |
| Plan Year Transactions to Date | 1,140,701,315.37 | 59,281,406.95 | 7,895,238.44 | 24,262,373.59 | 0.00 | (837,654,861.11) | (56,911,525.70) | (2,931,705.94) | (47,115,542.33) | (256,390,270.07) | (8,873,890.30) | (15,698,731.74) | 6,722,637.05 | | \$13,286,444.21 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-15

| PLAN YEAR 2007 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-------------------|--|-----------------|
| 2009 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | | | TOTAL |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OTHER PAYMENTS & ADJUSTMENTS | CASH TRANSACTIONS | | |
| 2008 Balance | 1,140,715,605.42 | 59,281,231.95 | 7,623,443.50 | 24,262,373.59 | 0.00 | (842,933,324.89) | (56,892,071.80) | (2,933,620.26) | (47,399,523.14) | (256,254,598.42) | (8,866,331.64) | (15,698,731.74) | 6,722,637.05 | | | \$7,627,089.62 |
| January-09 | 0.00 | 0.00 | 87,128.98 | 0.00 | 0.00 | (250,923.45) | (714.15) | 0.00 | 200.00 | 0.00 | 121.35 | 0.00 | 0.00 | | | (\$164,187.27) |
| February-09 | 0.00 | 0.00 | 8,060.04 | 0.00 | 0.00 | 1,611,598.38 | (1,041.09) | 0.00 | 152,070.85 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$1,770,688.18 |
| March-09 | 0.00 | 0.00 | 4,671.08 | 0.00 | 0.00 | 870,294.88 | (98.28) | 0.00 | 211.24 | 2,171.52 | (7.50) | 0.00 | 0.00 | | | \$877,242.94 |
| April-09 | 0.00 | 0.00 | 3,953.04 | 0.00 | 0.00 | (253,110.42) | 0.00 | 254.83 | 10,269.82 | 346.39 | (4.17) | 0.00 | 0.00 | | | (\$238,290.51) |
| May-09 | 0.00 | 0.00 | 3,554.11 | 0.00 | 0.00 | 742,151.23 | (442.52) | 1,288.95 | 106,564.83 | 141.94 | 0.00 | 0.00 | 0.00 | | | \$853,258.54 |
| June-09 | 0.00 | 0.00 | 43,281.30 | 0.00 | 0.00 | (578.91) | (1,285.97) | 0.00 | 240.00 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$41,656.42 |
| July-09 | 0.00 | 0.00 | 1.64 | 0.00 | 0.00 | (164,629.57) | (1,285.97) | 0.00 | 1,135.34 | 0.00 | 0.00 | 0.00 | 0.00 | | | (\$164,778.56) |
| August-09 | 0.00 | 0.00 | 19,309.09 | 0.00 | 0.00 | (412,502.97) | 0.00 | 0.00 | 5,537.39 | 351.31 | (2.78) | 0.00 | 0.00 | | | (\$387,307.96) |
| September-09 | 0.00 | 0.00 | 23,212.64 | 0.00 | 0.00 | 180,410.64 | 0.00 | 0.00 | 4,901.51 | 65.83 | 0.00 | 0.00 | 0.00 | | | \$208,590.62 |
| October-09 | 0.00 | 0.00 | 2,369.47 | 0.00 | 0.00 | 7,399.10 | 0.00 | 345.54 | 8,727.15 | 301.27 | (8.06) | 0.00 | 0.00 | | | \$19,134.47 |
| November-09 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 173,822.45 | 0.00 | 0.00 | 45.00 | 102.01 | (2.50) | 0.00 | 0.00 | | | \$173,966.96 |
| December-09 | 0.00 | 0.00 | 43,576.38 | 0.00 | 0.00 | 611,139.62 | (13,785.41) | 0.00 | 398.95 | 0.00 | 0.00 | 0.00 | 0.00 | | | \$641,329.54 |
| Plan Year Transactions to Date | 1,140,715,605.42 | 59,281,231.95 | 7,862,561.27 | 24,262,373.59 | 0.00 | (839,818,253.91) | (56,910,725.19) | (2,931,730.94) | (47,109,221.06) | (256,251,118.15) | (8,866,235.30) | (15,698,731.74) | 6,722,637.05 | | | \$11,258,392.99 |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-16

| PLAN YEAR 2007 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-------------------|--|--|
| 2008 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OTHER PAYMENTS & ADJUSTMENTS | CASH TRANSACTIONS | | |
| 2007 Balance Forward | 1,140,145,513.81 | 58,856,749.55 | 6,193,830.77 | 12,067,730.07 | 0.00 | (758,170,132.00) | (56,477,167.97) | (2,806,678.62) | (42,679,505.27) | (250,479,048.07) | (8,573,031.46) | 0.00 | 9,130,694.38 | \$107,208,955.19 | | |
| January-08 | 7,282.49 | 419,732.00 | 485,700.30 | 0.00 | 0.00 | (50,098,583.38) | 0.00 | (11,648.69) | (1,358,015.54) | (5,868,397.56) | (133,265.01) | 0.00 | (8,057.33) | (\$56,565,252.72) | | |
| February-08 | 78,188.93 | (700.00) | 280,051.57 | 0.00 | 0.00 | (12,652,813.51) | (149,473.94) | (30,982.99) | (976,055.79) | (24,291.81) | (2,486.56) | 0.00 | 0.00 | (\$13,478,564.10) | | |
| March-08 | (117,007.81) | 3,650.00 | 297,242.43 | 5,958,195.90 | 0.00 | (15,149,047.56) | (119,466.76) | (40,157.18) | (1,386,827.08) | (27,676.44) | (643.64) | 0.00 | 0.00 | (\$10,581,738.14) | | |
| April-08 | 327,063.33 | 400.40 | 155,664.53 | 0.00 | 0.00 | (1,842,874.93) | (53,292.46) | (11,024.74) | (488,075.96) | (62.25) | (210.01) | 0.00 | (2,000,000.00) | (\$3,912,412.09) | | |
| May-08 | (11,465.74) | 0.00 | 56,142.90 | 0.00 | 0.00 | (1,255,673.17) | (62,923.22) | (8,708.87) | (47,475.40) | 16,421.38 | (276.27) | 0.00 | (400,000.00) | (\$1,713,958.39) | | |
| June-08 | 284,415.97 | 1,312.50 | 52,551.25 | 6,236,447.62 | 0.00 | (456,010.93) | (11,436.86) | (908.40) | (43,112.03) | (959.83) | (117.52) | (15,698,731.74) | 0.00 | (\$9,636,549.97) | | |
| July-08 | 1,973.19 | 87.50 | 1,325.69 | 0.00 | 0.00 | (1,308,287.03) | 0.00 | 0.00 | 1,859.23 | (266.50) | (56.67) | 0.00 | 0.00 | (\$1,303,364.59) | | |
| August-08 | (379.68) | 87.50 | 24,229.02 | 0.00 | 0.00 | (560,191.45) | 0.00 | (108.08) | 3,689.36 | (1,098.62) | 10,863.60 | 0.00 | 0.00 | (\$522,908.35) | | |
| September-08 | 20.93 | (87.50) | 23,642.40 | 0.00 | 0.00 | (219,001.89) | (1,995.14) | (1,057.27) | (7,680.87) | 170,899.41 | (166,859.89) | 0.00 | 0.00 | (\$202,119.82) | | |
| October-08 | 0.00 | 0.00 | 13,294.42 | 0.00 | 0.00 | 189,810.10 | (13,439.71) | (3,791.04) | (78,022.31) | 20,638.29 | (219.04) | 0.00 | 0.00 | \$128,270.71 | | |
| November-08 | 0.00 | 0.00 | 24,810.18 | 0.00 | 0.00 | (845,852.66) | (2,875.74) | 0.00 | (529.64) | (128.90) | (17.50) | 0.00 | 0.00 | (\$824,594.26) | | |
| December-08 | 0.00 | 0.00 | 14,958.04 | 0.00 | 0.00 | (564,666.48) | 0.00 | (18,554.38) | (339,771.84) | (60,627.52) | (11.67) | 0.00 | 0.00 | (\$968,673.85) | | |
| Plan Year Transactions to Date | 1,140,715,605.42 | 59,281,231.95 | 7,623,443.50 | 24,262,373.59 | 0.00 | (842,933,324.89) | (56,892,071.80) | (2,933,620.26) | (47,399,523.14) | (256,254,598.42) | (8,866,331.64) | (15,698,731.74) | 6,722,637.05 | \$7,627,089.62 | | |

500 June 08 transfers between plan years consist of \$15,698,731.74 transferred to 2008.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-17

| PLAN YEAR 2007 | | | | | | | | | | | | | | | | |
|--------------------------------|-------------------------|--|------------------------------------|---------------------------------|--|-------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|------------------------------------|--|--------------------------------------|---|--|-------------------|--|
| 2007 | 101 PREMIUMS | 102 HRA WAIVER CONTRIBUTION | 110 INTEREST INCOME | 120 DRUG REBATES | 130 FROM OTHER PLAN YEAR(S) | 201 MEDICAL CLAIMS | 202 HUMANA ADMIN FEES | 203 HRA SELECT CLAIMS | 204 HRA WAIVER CLAIMS | 301 PHARMACY CLAIMS | 302 EXPRESS SCRIPTS ADMIN | 500 TRANSFERS TO/FROM | 501 OTHER PAYMENTS & | TOTAL CASH TRANSACTIONS | | |
| December-06 | 145,538.53 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$145,538.53 | |
| January-07 | 95,628,504.31 | 890,337.50 | 0.00 | 0.00 | 0.00 | (12,872,647.41) | (4,344,187.52) | (70,023.79) | (1,638,005.91) | (19,220,941.75) | (578,897.33) | 0.00 | 10,806,011.40 | | \$68,600,149.50 | |
| February-07 | 94,760,208.66 | 7,028,187.50 | 285,218.40 | 0.00 | 0.00 | (54,138,312.89) | (4,437,008.00) | (182,325.14) | (4,371,073.41) | (19,185,904.92) | (843,518.78) | 0.00 | 100,010.73 | | \$19,015,482.15 | |
| March-07 | 92,635,535.95 | 4,994,500.00 | 374,953.09 | 0.00 | 0.00 | (57,423,623.25) | (4,761,706.10) | (539,111.13) | (4,290,599.88) | (19,791,543.39) | (716,832.28) | 0.00 | 59,956.22 | | \$10,541,529.23 | |
| April-07 | 95,132,009.43 | 2,953,650.00 | 489,438.28 | 0.00 | 0.00 | (60,354,324.28) | (4,385,383.08) | (207,874.13) | (4,768,571.76) | (18,531,239.51) | (691,816.38) | 0.00 | 0.00 | | \$9,635,888.57 | |
| May-07 | 95,697,253.52 | 9,051,033.88 | 673,715.75 | 0.00 | 0.00 | (67,010,154.22) | (4,693,562.22) | (547,762.85) | (4,157,130.61) | (23,510,856.73) | (800,079.05) | 0.00 | 0.00 | | \$4,702,457.47 | |
| June-07 | 95,998,911.56 | 4,913,354.62 | 1,118,787.04 | 0.00 | 0.00 | (76,111,445.98) | (4,604,295.32) | (184,726.50) | (4,470,479.22) | (19,666,161.02) | (694,905.56) | 0.00 | 157,230.00 | | (\$3,543,730.38) | |
| July-07 | 94,065,136.94 | 4,820,107.23 | 0.00 | 0.00 | 0.00 | (74,876,669.69) | (93,452.51) | (354,933.71) | (3,998,535.11) | (19,293,908.35) | (501,554.74) | 0.00 | 0.00 | | (\$233,809.94) | |
| August-07 | 92,804,598.20 | 892,664.70 | 535,832.71 | 6,127,725.00 | 0.00 | (76,559,986.56) | (9,397,503.94) | (163,086.56) | (3,859,291.89) | (24,480,548.74) | (801,796.43) | 0.00 | 0.00 | | (\$14,901,393.51) | |
| September-07 | 94,858,022.07 | 8,625,244.17 | 613,971.58 | 0.00 | 0.00 | (58,342,519.13) | (4,640,244.18) | (137,420.21) | (2,730,049.63) | (19,968,399.17) | (690,468.28) | 0.00 | 0.00 | | \$17,588,137.22 | |
| October-07 | 136,543,983.44 | 4,920,047.56 | 956,738.96 | 0.00 | 0.00 | (78,704,657.49) | (4,771,304.14) | (152,300.13) | (3,237,230.54) | (25,418,474.07) | (694,655.18) | 0.00 | (25,157.96) | | \$29,416,990.45 | |
| November-07 | 57,524,524.06 | 875,086.46 | 0.00 | 0.00 | 0.00 | (76,072,441.48) | (5,374,281.49) | (169,977.44) | (2,540,207.78) | (20,369,255.05) | (712,129.49) | 0.00 | 7,486.03 | | (\$46,831,196.18) | |
| December-07 | 94,351,287.14 | 8,892,535.93 | 1,145,174.97 | 5,940,005.07 | 0.00 | (65,703,349.62) | (4,974,239.47) | (97,137.03) | (2,618,329.53) | (21,041,815.37) | (846,377.96) | 0.00 | (1,974,842.04) | | \$13,072,912.09 | |
| Plan Year Transactions to Date | 1,140,145,513.81 | 58,856,749.55 | 6,193,830.77 | 12,067,730.07 | 0.00 | (758,170,132.00) | (56,477,167.97) | (2,806,678.62) | (42,679,505.27) | (250,479,048.07) | (8,573,031.46) | 0.00 | 9,130,694.38 | | \$107,208,955.20 | |

101 Received part of January 2007 premiums in December 2006.

102 HRA waiver contributions are due monthly. Untimely payments by some agencies account for monthly fluctuations.

110 Interest is reported as the information is received from Finance Accounts and as it is received from Humana per the contract.

120 Pharmacy rebates are not due under the terms of the contract until 150 days after the end of each quarter.

201 Medical claims are generally lower in the 1st quarter due to deductibles.

202 ASO fees fluctuate based on enrollment changes (new hires, terminations, disease management program enrollments, etc).

203 HRA funds used under the Select Plan.

204 HRA funds used under the Waiver program. Note \$175 each month is contributed by the agencies for employees that waive coverage. However, employees may use the yearly total (\$2,100.00) starting January 1.

301 Pharmacy Claims

302 Express Scripts administration fees are paid weekly. Fees vary depending on the number of weeks per month.

501 Other payments include 2005 Anthem reimbursements.

102 During April 07 a portion of KDE's HRA (\$2,049,512.50) was misclassified as a premium.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-18

| PLAN YEAR 2008 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|------------------|-------------------|-------------------|-------------------|------------------|--------------------|---------------------------------|-------------------------------|-------------------|----------|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | |
| 2013 Balance Forward | 1,230,691,400.22 | 52,090,899.58 | 3,981,846.10 | 28,089,928.93 | 97,819,227.95 | (949,213,609.34) | (61,670,290.07) | (4,335,289.87) | (48,364,646.21) | (291,065,510.28) | (9,113,443.00) | 6,587,304.83 | (55,497,818.84) | | (\$0.00) |
| January-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| February-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| March-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| April-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| May-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| June-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| July-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| August-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| September-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| October-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| November-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| December-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| Plan Year Transactions to Date | 1,230,691,400.22 | 52,090,899.58 | 3,981,846.10 | 28,089,928.93 | 97,819,227.95 | (949,213,609.34) | (61,670,290.07) | (4,335,289.87) | (48,364,646.21) | (291,065,510.28) | (9,113,443.00) | 6,587,304.83 | (55,497,818.84) | | (\$0.00) |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-19

| PLAN YEAR 2008 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|------------------|-------------------|-------------------|-------------------|------------------|--------------------|---------------------------------|-------------------------------|-------------------|----------|
| 2013 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | |
| 2012 Balance Forward | 1,230,691,400.22 | 52,090,899.58 | 3,981,846.10 | 28,089,928.93 | 97,819,227.95 | (949,213,609.34) | (61,670,290.07) | (4,335,289.87) | (48,364,646.21) | (291,065,510.28) | (9,113,443.00) | 6,587,304.83 | (55,497,818.84) | | (\$0.00) |
| January-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| February-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| March-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| April-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| May-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| June-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| July-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| August-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| September-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| October-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| November-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| December-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| Plan Year Transactions to Date | 1,230,691,400.22 | 52,090,899.58 | 3,981,846.10 | 28,089,928.93 | 97,819,227.95 | (949,213,609.34) | (61,670,290.07) | (4,335,289.87) | (48,364,646.21) | (291,065,510.28) | (9,113,443.00) | 6,587,304.83 | (55,497,818.84) | | (\$0.00) |

500 | Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-20

| PLAN YEAR 2008 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|-------------------------------|-------------------|----------------|------------------|
| 2012 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <u>TO</u> OTHER PLAN YEAR(S) | OPERATING TRANSFER/ EXP & ADJ | CASH TRANSACTIONS | | |
| 2011 Balance Forward | 1,230,690,906.30 | 52,090,899.58 | 3,990,195.52 | 28,089,928.93 | 97,819,227.95 | (949,783,032.95) | (61,670,256.23) | (4,335,289.87) | (48,348,525.88) | (291,065,510.28) | (9,113,443.00) | 0.00 | (55,497,818.84) | | | (\$7,132,718.77) |
| January-12 | 493.92 | 0.00 | (166.07) | 0.00 | 0.00 | 450,908.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$451,235.85 | |
| February-12 | 0.00 | 0.00 | (126.93) | 0.00 | 0.00 | (86,537.21) | (4.05) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$86,668.19) | |
| March-12 | 0.00 | 0.00 | (138.67) | 0.00 | 0.00 | 941.76 | (29.79) | (2.84) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$770.46 | |
| April-12 | 0.00 | 0.00 | (624.30) | 0.00 | 0.00 | 176,213.24 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$175,588.94 | |
| May-12 | 0.00 | 0.00 | (5,873.89) | 0.00 | 0.00 | (153,332.22) | 0.00 | 2.84 | (7,369.33) | 0.00 | 0.00 | 0.00 | 0.00 | | (\$166,572.60) | |
| June-12 | 0.00 | 0.00 | (1,419.56) | 0.00 | 0.00 | (4,024.41) | 0.00 | 0.00 | (943.77) | 0.00 | 0.00 | 6,764,752.05 | 0.00 | | \$6,758,364.31 | |
| July-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 28,449.99 | 0.00 | 0.00 | (7,807.23) | 0.00 | 0.00 | (20,642.76) | 0.00 | | \$0.00 | |
| August-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 156,804.46 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (156,804.46) | 0.00 | | (\$0.00) | |
| September-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 156,804.46 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (156,804.46) | 0.00 | | (\$0.00) | |
| October-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| November-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| December-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 | |
| Plan Year Transactions to Date | 1,230,691,400.22 | 52,090,899.58 | 3,981,846.10 | 28,089,928.93 | 97,819,227.95 | (949,056,804.88) | (61,670,290.07) | (4,335,289.87) | (48,364,646.21) | (291,065,510.28) | (9,113,443.00) | 6,430,500.37 | (55,497,818.84) | | | \$0.00 |

500 | Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-21

| PLAN YEAR 2008 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-------------------|----------------|------------------|
| 2011 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <u>TO</u> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2010 Balance Forward | 1,230,690,906.30 | 52,090,899.58 | 4,009,303.20 | 28,089,928.93 | 97,819,227.95 | (951,541,570.09) | (61,666,560.15) | (4,335,289.87) | (48,350,137.39) | (291,054,302.36) | (9,113,148.00) | 0.00 | (54,997,818.84) | | | (\$8,358,560.74) |
| January-11 | 0.00 | 0.00 | (2,584.89) | 0.00 | 0.00 | 767,997.86 | 0.00 | 0.00 | 189.78 | 0.00 | 0.00 | 0.00 | 0.00 | | \$765,602.75 | |
| February-11 | 0.00 | 0.00 | (2,819.80) | 0.00 | 0.00 | 307,166.69 | (1,284.01) | 0.00 | 11.65 | 0.00 | 0.00 | 0.00 | 0.00 | | \$303,074.53 | |
| March-11 | 0.00 | 0.00 | (1,163.69) | 0.00 | 0.00 | (47,928.22) | 0.00 | 0.00 | 1,283.81 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$47,808.10) | |
| April-11 | 0.00 | 0.00 | (1,192.91) | 0.00 | 0.00 | 432,356.20 | (1,579.87) | 0.00 | 27.62 | 0.00 | 0.00 | 0.00 | 0.00 | | \$429,611.04 | |
| May-11 | 0.00 | 0.00 | (1,782.58) | 0.00 | 0.00 | (65,120.30) | (99.90) | 0.00 | 0.00 | (1,639.88) | (10.00) | 0.00 | (500,000.00) | | (\$568,652.66) | |
| June-11 | 0.00 | 0.00 | (6,206.21) | 0.00 | 0.00 | 169,697.70 | (208.67) | 0.00 | 0.00 | (1,278.58) | (42.50) | 0.00 | 0.00 | | \$161,961.74 | |
| July-11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 128,567.15 | 0.00 | 0.00 | 14.80 | (389.47) | (52.50) | 0.00 | 0.00 | | \$128,139.98 | |
| August-11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (13,863.95) | 0.00 | 0.00 | 0.00 | (8,394.18) | (22.50) | 0.00 | 0.00 | | (\$22,280.63) | |
| September-11 | 0.00 | 0.00 | (421.24) | 0.00 | 0.00 | 152,324.19 | (411.17) | 0.00 | 83.85 | (572.34) | (167.50) | 0.00 | 0.00 | | \$150,835.79 | |
| October-11 | 0.00 | 0.00 | (982.50) | 0.00 | 0.00 | (70,450.59) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | (\$71,433.09) | |
| November-11 | 0.00 | 0.00 | (868.37) | 0.00 | 0.00 | 5,537.67 | (112.46) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$4,556.84 | |
| December-11 | 0.00 | 0.00 | (1,085.49) | 0.00 | 0.00 | (7,747.26) | 0.00 | 0.00 | 0.00 | 1,066.53 | 0.00 | 0.00 | 0.00 | | (\$7,766.22) | |
| Plan Year Transactions to Date | 1,230,690,906.30 | 52,090,899.58 | 3,990,195.52 | 28,089,928.93 | 97,819,227.95 | (949,783,032.95) | (61,670,256.23) | (4,335,289.87) | (48,348,525.88) | (291,065,510.28) | (9,113,443.00) | 0.00 | (55,497,818.84) | | | (\$7,132,718.77) |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-22

| PLAN YEAR 2008 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|--------------------|--------------|--|------------------------------|-------------------|--|
| 2010 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | EXPRESS FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2009 Balance Forward | 1,230,690,906.30 | 55,623,196.96 | 4,007,504.32 | 28,089,928.93 | 97,819,227.95 | (953,887,686.24) | (61,566,897.78) | (4,318,630.47) | (48,262,625.30) | (290,801,469.35) | (9,100,846.07) | 0.00 | (54,997,818.84) | | (\$6,705,209.59) | |
| January-10 | 0.00 | (805,842.07) | 18,774.16 | 0.00 | 0.00 | (312,273.79) | (93,393.28) | (9,668.92) | (51,733.92) | (1,544.81) | (99.73) | 0.00 | 0.00 | | (\$1,255,782.36) | |
| February-10 | 0.00 | 0.00 | 5.67 | 0.00 | 0.00 | 238,286.41 | 0.00 | (7,384.09) | (48,226.39) | 0.00 | 0.00 | 0.00 | 0.00 | | \$182,681.60 | |
| March-10 | 0.00 | (401,362.91) | 418.59 | 0.00 | 0.00 | 507,776.84 | (5,319.22) | 0.00 | 294.66 | 9,086.58 | 0.00 | 0.00 | 0.00 | | \$110,894.54 | |
| April-10 | 0.00 | 0.00 | 242.97 | 0.00 | 0.00 | (160,893.46) | 0.00 | 0.00 | 4,819.85 | 178.86 | (10.56) | 0.00 | 0.00 | | (\$155,662.34) | |
| May-10 | 0.00 | 0.00 | 122.33 | 0.00 | 0.00 | 675,134.79 | (626.89) | 66.00 | 16.01 | (82,202.59) | (3,187.78) | 0.00 | 0.00 | | \$589,321.87 | |
| June-10 | 0.00 | (2,325,792.40) | (208.80) | 0.00 | 0.00 | (235,297.77) | (315.45) | 22.27 | 3,227.32 | (148,012.20) | (7,605.00) | 0.00 | 0.00 | | (\$2,713,982.03) | |
| July-10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (137,046.04) | 0.00 | 153.76 | 1,598.56 | (2,585.29) | (172.45) | 0.00 | 0.00 | | (\$138,051.46) | |
| August-10 | 0.00 | 0.00 | (2,142.00) | 0.00 | 0.00 | 382,782.57 | (4.53) | 151.58 | 2,090.94 | (7,526.67) | (165.00) | 0.00 | 0.00 | | \$375,186.89 | |
| September-10 | 0.00 | 0.00 | (4,138.70) | 0.00 | 0.00 | (197,270.29) | 0.00 | 0.00 | 150.00 | (1,254.45) | (295.00) | 0.00 | 0.00 | | (\$202,808.44) | |
| October-10 | 0.00 | 0.00 | (5,588.38) | 0.00 | 0.00 | 1,472,974.03 | (3.00) | 0.00 | 250.88 | (257.60) | (20.00) | 0.00 | 0.00 | | \$1,467,355.93 | |
| November-10 | 0.00 | 700.00 | (1,934.04) | 0.00 | 0.00 | (89,902.64) | 0.00 | 0.00 | 0.00 | (18,557.28) | (688.91) | 0.00 | 0.00 | | (\$110,382.87) | |
| December-10 | 0.00 | 0.00 | (3,752.92) | 0.00 | 0.00 | 201,845.50 | 0.00 | 0.00 | 0.00 | (157.56) | (57.50) | 0.00 | 0.00 | | \$197,877.52 | |
| Plan Year Transactions to Date | 1,230,690,906.30 | 52,090,899.58 | 4,009,303.20 | 28,089,928.93 | 97,819,227.95 | (951,541,570.09) | (61,666,560.15) | (4,335,289.87) | (48,350,137.39) | (291,054,302.36) | (9,113,148.00) | 0.00 | (54,997,818.84) | | (\$8,358,560.74) | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-23

| PLAN YEAR 2008 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|------------------|-------------------|-------------------|-------------------|------------------|--------------------|--------------|--|------------------------------|-------------------|
| 2009 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | EXPRESS FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OTHER PAYMENTS & ADJUSTMENTS | CASH TRANSACTIONS |
| 2008 Balance Forward | 1,229,877,980.55 | 55,853,875.83 | 3,303,990.27 | 13,737,665.63 | 97,819,227.95 | (864,501,136.77) | (60,985,385.97) | (3,977,886.58) | (44,438,452.79) | (287,588,732.91) | (8,918,940.15) | 0.00 | (2,447,818.84) | \$127,734,386.22 | |
| January-09 | 31,731,638.32 | (5,956.00) | 52,421.76 | 0.00 | 0.00 | (61,732,064.09) | (111,858.77) | (87,199.44) | (1,723,846.38) | (2,877,286.35) | (173,324.90) | 0.00 | 0.00 | (\$34,927,475.85) | |
| February-09 | 83,289.80 | (216,986.49) | 100,232.73 | 0.00 | 0.00 | (15,046,500.84) | (328,281.88) | (36,032.33) | (1,046,472.64) | (136,795.79) | (4,803.24) | 0.00 | (1,275,000.00) | (\$17,907,350.68) | |
| March-09 | (25,952.98) | 0.00 | 37,890.10 | 7,055,831.33 | 0.00 | (5,156,748.15) | (46,949.13) | (44,382.95) | (797,807.07) | 5,978.11 | (494.77) | 0.00 | 0.00 | \$1,027,364.49 | |
| April-09 | (54,338.09) | 801,866.41 | 29,916.65 | 0.00 | 0.00 | (5,825,627.66) | 0.00 | (78,401.52) | (433,651.96) | (10,724.12) | (422.24) | 0.00 | (1,275,000.00) | (\$6,846,382.53) | |
| May-09 | (1,285.64) | (14,179.64) | 24,869.17 | 0.00 | 0.00 | (1,660,992.90) | (26,408.80) | (4,167.40) | 129,916.70 | (59,779.83) | (269.54) | 0.00 | 0.00 | (\$1,612,297.88) | |
| June-09 | (2,436.98) | (393,225.00) | 272,251.30 | 7,295,841.15 | 0.00 | (636,045.32) | (38,236.87) | 10,837.06 | (9,928.86) | (49,995.06) | 3,417.71 | 0.00 | (50,000,000.00) | (\$43,547,520.87) | |
| July-09 | 5,527.22 | 0.00 | 50.38 | 590.82 | 0.00 | (1,566,569.71) | (27,218.53) | (257.85) | (1,276.79) | (1,100.48) | (1,581.39) | 0.00 | 0.00 | (\$1,591,836.33) | |
| August-09 | 40.80 | 0.00 | 40,664.29 | 0.00 | 0.00 | (1,209,900.95) | 0.00 | (18,579.15) | 18,455.37 | (34,345.39) | (1,804.45) | 0.00 | 0.00 | (\$1,205,469.48) | |
| September-09 | 75,278.69 | 0.00 | 47,999.28 | 0.00 | 0.00 | 232,009.00 | 0.00 | (40,929.78) | 17,664.56 | (3,103.78) | (168.09) | 0.00 | 0.00 | \$328,749.88 | |
| October-09 | 0.00 | 0.00 | 4,895.19 | 0.00 | 0.00 | (396,388.89) | 0.00 | (19,995.26) | 17,802.08 | (39,340.49) | (2,095.01) | 0.00 | 0.00 | (\$435,122.38) | |
| November-09 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1,498,110.29 | 0.00 | (21,778.40) | 5,982.89 | (5,206.54) | (285.00) | 0.00 | 0.00 | \$1,476,823.24 | |
| December-09 | 0.00 | (402,198.15) | 92,323.20 | 0.00 | 0.00 | 2,114,169.75 | (2,557.83) | 143.13 | (1,010.41) | (1,036.72) | (75.00) | 0.00 | 0.00 | \$1,799,757.97 | |
| Plan Year Transactions to Date | 1,261,689,741.69 | 55,623,196.96 | 4,007,504.32 | 28,089,928.93 | 97,819,227.95 | (953,887,686.24) | (61,566,897.78) | (4,318,630.47) | (48,262,625.30) | (290,801,469.35) | (9,100,846.07) | 0.00 | (54,997,818.84) | \$24,293,625.80 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-24

| PLAN YEAR 2008 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|--|
| 2008 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OTHER PAYMENTS & ADJUSTMENTS | CASH TRANSACTIONS | |
| December-07 | 1,938,158.97 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$1,938,158.97 | |
| January-08 | 102,981,143.35 | 433,603.50 | 8,824.43 | 0.00 | 658,356.10 | (25,805,110.62) | (4,842,532.38) | (213,106.55) | (3,074,686.99) | (20,404,177.68) | (569,416.92) | 0.00 | 100,820.75 | \$49,273,716.99 | |
| February-08 | 100,464,108.76 | 8,726,638.57 | 283,193.48 | 0.00 | 657,763.84 | (65,547,690.39) | (4,892,978.86) | (301,274.73) | (3,819,381.00) | (21,910,466.69) | (885,439.23) | 0.00 | 0.00 | \$12,774,473.75 | |
| March-08 | 100,994,511.09 | 4,751,051.92 | 222,574.92 | 0.00 | 56,102,467.13 | (63,303,985.21) | (4,885,611.55) | (712,551.36) | (3,791,359.08) | (21,863,200.61) | (732,679.53) | 0.00 | 0.00 | \$66,781,217.72 | |
| April-08 | 101,332,716.16 | 4,781,715.67 | 463,073.97 | 0.00 | 1,850,662.56 | (73,971,630.76) | (5,201,553.06) | (361,519.58) | (4,919,746.70) | (26,637,509.83) | (710,480.72) | 0.00 | 0.00 | (\$3,374,272.29) | |
| May-08 | 105,367,420.66 | 862,281.74 | 315,479.41 | 0.00 | (3,952,389.72) | (75,836,235.05) | (5,213,601.85) | (439,077.46) | (4,053,707.15) | (20,803,317.81) | (817,622.83) | 0.00 | 0.00 | (\$4,570,770.06) | |
| June-08 | 104,306,873.36 | 8,624,814.76 | 307,986.30 | 0.00 | 42,502,368.04 | (73,042,375.89) | (4,892,557.54) | (529,936.19) | (4,293,308.91) | (21,928,865.19) | (710,367.63) | 0.00 | 0.00 | \$50,344,631.11 | |
| July-08 | 103,056,822.87 | 4,587,341.50 | 72,717.14 | 0.00 | 0.00 | (78,866,018.11) | (5,286,315.21) | (338,888.30) | (4,368,878.33) | (26,346,647.76) | (551,076.67) | 0.00 | 1,360.41 | (\$8,039,582.46) | |
| August-08 | 139,548,823.37 | 4,499,039.82 | 399,404.36 | 0.00 | 0.00 | (90,733,996.65) | (4,987,877.31) | (239,199.08) | (3,626,671.10) | (21,863,426.56) | (940,514.01) | 0.00 | (1,275,000.00) | \$20,780,582.84 | |
| September-08 | 64,929,512.11 | 813,018.68 | 462,947.16 | 6,912,622.35 | 0.00 | (80,328,448.72) | (5,160,604.69) | (215,549.67) | (3,319,622.76) | (28,153,329.94) | (696,594.97) | 0.00 | 0.00 | (\$44,756,050.45) | |
| October-08 | 60,335,714.07 | 8,348,674.55 | 274,454.73 | 0.00 | 0.00 | (80,020,099.61) | (5,290,409.74) | (192,110.10) | (3,007,610.81) | (23,270,114.85) | (706,914.01) | 0.00 | 0.00 | (\$43,528,415.77) | |
| November-08 | 144,083,073.47 | 822,852.19 | 257,109.25 | 0.00 | 0.00 | (71,721,346.46) | (5,240,246.62) | (219,870.06) | (3,141,778.38) | (24,573,391.85) | (866,337.83) | 0.00 | (1,275,000.00) | \$38,125,063.71 | |
| December-08 | 69,540,266.92 | 8,602,842.93 | 236,225.12 | 6,825,043.28 | 0.00 | (85,324,199.30) | (5,091,097.16) | (214,803.50) | (3,021,701.58) | (29,834,284.14) | (731,495.80) | 0.00 | 0.00 | (\$39,013,203.23) | |
| Plan Year Transactions to Date | 1,198,879,145.16 | 55,853,875.83 | 3,303,990.27 | 13,737,665.63 | 97,819,227.95 | (864,501,136.77) | (60,985,385.97) | (3,977,886.58) | (44,438,452.79) | (287,588,732.91) | (8,918,940.15) | 0.00 | (2,447,818.84) | \$96,735,550.83 | |

101 Move \$30,998,835.39 in premium receipts from December, 2008 to January, 2009 to reflect proper allocation of receipts.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-25

| PLAN YEAR 2009 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|--|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2013 Balance Forward | 1,393,951,770.58 | 55,664,485.80 | (143,564.39) | 30,206,603.39 | 0.00 | (1,018,973,395.29) | (64,704,353.44) | (12,286,056.34) | (48,448,767.83) | (308,526,140.46) | (9,956,325.10) | (12,772,960.57) | (4,011,296.35) | \$0.00 | |
| January-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| February-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| March-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| April-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| May-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| June-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| July-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| August-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| September-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| October-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| November-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| December-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| Plan Year Transactions to Date | 1,393,951,770.58 | 55,664,485.80 | (143,564.39) | 30,206,603.39 | 0.00 | (1,018,973,395.29) | (64,704,353.44) | (12,286,056.34) | (48,448,767.83) | (308,526,140.46) | (9,956,325.10) | (12,772,960.57) | (4,011,296.35) | \$0.00 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-26

| PLAN YEAR 2009 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|--|
| 2013 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2012 Balance Forward | 1,393,951,770.58 | 55,664,485.80 | (143,564.39) | 30,206,603.39 | 0.00 | (1,018,973,395.29) | (64,704,353.44) | (12,286,056.34) | (48,448,767.83) | (308,526,140.46) | (9,956,325.10) | (12,772,960.57) | (4,011,296.35) | \$0.00 | |
| January-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| February-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| March-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| April-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| May-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| June-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| July-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| August-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| September-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| October-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| November-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| December-13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| Plan Year Transactions to Date | 1,393,951,770.58 | 55,664,485.80 | (143,564.39) | 30,206,603.39 | 0.00 | (1,018,973,395.29) | (64,704,353.44) | (12,286,056.34) | (48,448,767.83) | (308,526,140.46) | (9,956,325.10) | (12,772,960.57) | (4,011,296.35) | \$0.00 | |

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-27

| PLAN YEAR 2009 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|--|
| 2012 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2011 Balance Forward | 1,393,953,469.18 | 55,664,485.80 | (158,751.20) | 30,206,603.39 | 0.00 | (1,021,402,308.94) | (64,702,781.60) | (12,287,072.28) | (48,451,869.92) | (308,531,453.64) | (9,956,208.43) | 0.00 | (4,011,296.35) | \$10,322,816.01 | |
| January-12 | 0.00 | 0.00 | 240.35 | 0.00 | 0.00 | 1,541,165.59 | 0.00 | 65.20 | 1,686.02 | 701.15 | (11.67) | 0.00 | 0.00 | \$1,543,846.64 | |
| February-12 | 0.00 | 0.00 | 225.43 | 0.00 | 0.00 | (102,974.48) | (975.74) | 245.00 | 305.45 | 0.00 | 0.00 | 0.00 | 0.00 | (\$103,174.34) | |
| March-12 | (1,698.60) | 0.00 | 241.02 | 0.00 | 0.00 | (187,520.81) | (12.60) | 0.00 | 70.00 | (1,495.92) | (80.00) | 0.00 | 0.00 | (\$190,496.91) | |
| April-12 | 0.00 | 0.00 | 1,067.62 | 0.00 | 0.00 | 467,281.25 | (44.73) | 200.11 | 20.00 | (542.25) | (25.00) | 0.00 | 0.00 | \$467,957.00 | |
| May-12 | 0.00 | 0.00 | 10,729.59 | 0.00 | 0.00 | 713,350.21 | (5.31) | 371.99 | 445.57 | 6,673.70 | 0.00 | 0.00 | 0.00 | \$731,565.75 | |
| June-12 | 0.00 | 0.00 | 2,682.80 | 0.00 | 0.00 | (38,181.11) | (533.46) | 83.64 | (25.00) | (23.50) | 0.00 | (12,736,517.52) | 0.00 | (\$12,772,514.15) | |
| July-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (24,204.68) | 0.00 | 0.00 | 265.63 | 0.00 | 0.00 | 23,939.05 | 0.00 | (\$0.00) | |
| August-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 59,654.77 | 0.00 | 50.00 | 334.42 | 0.00 | 0.00 | (60,039.19) | 0.00 | (\$0.00) | |
| September-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 342.91 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (342.91) | 0.00 | (\$0.00) | |
| October-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| November-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| December-12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| Plan Year Transactions to Date | 1,393,951,770.58 | 55,664,485.80 | (143,564.39) | 30,206,603.39 | 0.00 | (1,018,973,395.29) | (64,704,353.44) | (12,286,056.34) | (48,448,767.83) | (308,526,140.46) | (9,956,325.10) | (12,772,960.57) | (4,011,296.35) | \$0.00 | |

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-28

| PLAN YEAR 2009 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|--|
| 2011 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2010 Balance Forward | 1,393,953,469.18 | 55,664,485.80 | (182,808.97) | 30,206,603.39 | 0.00 | (1,024,954,193.76) | (64,678,191.21) | (12,297,674.67) | (48,479,712.61) | (308,430,574.58) | (9,950,444.78) | 0.00 | (4,011,296.35) | \$6,839,661.44 | |
| January-11 | 0.00 | 0.00 | 2,115.44 | 0.00 | 0.00 | 1,571,119.64 | 0.00 | (45.26) | 6,279.87 | 780.82 | (583.07) | 0.00 | 0.00 | \$1,579,667.44 | |
| February-11 | 0.00 | 0.00 | 3,140.16 | 0.00 | 0.00 | 1,079,316.92 | (5,754.41) | 613.89 | 8,117.23 | (6.15) | (27.78) | 0.00 | 0.00 | \$1,085,399.86 | |
| March-11 | 0.00 | 0.00 | 1,517.36 | 0.00 | 0.00 | (409,331.72) | 0.00 | 877.00 | 1,847.71 | (30,933.18) | (2,643.07) | 0.00 | 0.00 | (\$438,665.90) | |
| April-11 | 0.00 | 0.00 | 1,473.90 | 0.00 | 0.00 | 1,195,692.97 | (1,115.62) | 6,244.97 | 3,832.47 | (617.28) | (42.50) | 0.00 | 0.00 | \$1,205,468.91 | |
| May-11 | 0.00 | 0.00 | 2,657.41 | 0.00 | 0.00 | (360,902.93) | (4,436.71) | 300.00 | 374.79 | (5,769.89) | (247.78) | 0.00 | 0.00 | (\$368,025.11) | |
| June-11 | 0.00 | 0.00 | 8,220.60 | 0.00 | 0.00 | 405,339.18 | (8,880.78) | 501.64 | 2,376.98 | (16,494.64) | 0.00 | 0.00 | 0.00 | \$391,062.98 | |
| July-11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 494,682.90 | 0.00 | 0.00 | 340.00 | (15,112.84) | (1,167.50) | 0.00 | 0.00 | \$478,742.56 | |
| August-11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (128,779.29) | (190.53) | 100.00 | 270.00 | (27,218.17) | (355.00) | 0.00 | 0.00 | (\$156,172.99) | |
| September-11 | 0.00 | 0.00 | 620.39 | 0.00 | 0.00 | (93,198.96) | (1,007.39) | 1,659.87 | 3,392.83 | (7,033.25) | (652.50) | 0.00 | 0.00 | (\$96,219.01) | |
| October-11 | 0.00 | 0.00 | 1,464.53 | 0.00 | 0.00 | (84,758.38) | (6.30) | 21.90 | 769.00 | 83.40 | (8.06) | 0.00 | 0.00 | (\$82,433.91) | |
| November-11 | 0.00 | 0.00 | 1,271.41 | 0.00 | 0.00 | (88,375.55) | (3,198.65) | 278.38 | 191.81 | (352.41) | (22.50) | 0.00 | 0.00 | (\$90,207.51) | |
| December-11 | 0.00 | 0.00 | 1,576.57 | 0.00 | 0.00 | (28,919.96) | 0.00 | 50.00 | 50.00 | 1,794.53 | (13.89) | 0.00 | 0.00 | (\$25,462.75) | |
| Plan Year Transactions to Date | 1,393,953,469.18 | 55,664,485.80 | (158,751.20) | 30,206,603.39 | 0.00 | (1,021,402,308.94) | (64,702,781.60) | (12,287,072.28) | (48,451,869.92) | (308,531,453.64) | (9,956,208.43) | 0.00 | (4,011,296.35) | \$10,322,816.01 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-29

| PLAN YEAR 2009 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|-------------------|--|
| 2010 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2009 Balance Forward | 1,335,567,591.81 | 53,760,719.06 | (197,347.69) | 13,736,883.35 | 0.00 | (938,874,352.07) | (63,981,291.05) | (11,520,857.46) | (44,487,091.79) | (303,575,659.36) | (9,643,151.80) | 0.00 | (2,736,296.35) | | \$28,049,146.65 | |
| January-10 | 54,835,551.60 | 1,552,729.38 | (2,279.52) | 0.00 | 0.00 | (59,508,858.50) | (141,041.02) | (299,514.62) | (1,620,486.12) | (4,371,296.64) | (318,936.11) | 0.00 | (1,275,000.00) | | (\$11,149,131.55) | |
| February-10 | 2,808,530.43 | (45,862.64) | 5,635.11 | 8,532,678.01 | 0.00 | (14,612,344.80) | 0.00 | (110,963.66) | (901,689.31) | 0.00 | 0.00 | 0.00 | 0.00 | | (\$4,324,016.86) | |
| March-10 | 253,023.19 | 394,625.00 | (332.10) | 0.00 | 0.00 | (7,374,330.06) | (499,457.33) | (145,088.62) | (847,559.40) | 91,312.01 | 0.00 | 0.00 | 0.00 | | (\$8,127,807.31) | |
| April-10 | 121,570.29 | 0.00 | 193.75 | 0.00 | 0.00 | (2,877,345.58) | 0.00 | (89,780.21) | (591,566.11) | 3,077.95 | 37,160.34 | 0.00 | 0.00 | | (\$3,396,689.57) | |
| May-10 | 713.39 | 0.00 | (158.07) | 7,937,042.03 | 0.00 | (1,297,270.46) | (36,843.59) | (6,392.34) | (27,978.57) | (112,804.43) | (4,586.76) | 0.00 | 0.00 | | \$6,451,721.20 | |
| June-10 | 365,273.49 | 175.00 | 216.58 | 0.00 | 0.00 | (1,517,407.28) | (5,979.42) | (121,761.77) | (4,236.17) | (279,939.85) | (13,297.51) | 0.00 | 0.00 | | (\$1,576,956.93) | |
| July-10 | 1,466.98 | 0.00 | 0.00 | 0.00 | 0.00 | (1,120,848.39) | 0.00 | (920.35) | 3,687.93 | (72,415.94) | (3,487.02) | 0.00 | 0.00 | | (\$1,192,516.79) | |
| August-10 | 0.00 | 0.00 | 1,022.48 | 0.00 | 0.00 | 1,395,030.91 | (3,853.54) | 1,282.88 | 7,509.67 | (14,242.47) | (780.00) | 0.00 | 0.00 | | \$1,385,969.93 | |
| September-10 | 0.00 | 0.00 | 2,608.25 | 0.00 | 0.00 | (697,531.21) | 0.00 | 885.47 | (3,147.96) | (5,083.32) | (425.00) | 0.00 | 0.00 | | (\$702,693.77) | |
| October-10 | 0.00 | 0.00 | 3,071.10 | 0.00 | 0.00 | 1,848,458.94 | (1,137.95) | (1,506.72) | 296.57 | (5,161.86) | (75.00) | 0.00 | 0.00 | | \$1,843,945.08 | |
| November-10 | (252.00) | 2,100.00 | 1,662.67 | 0.00 | 0.00 | (554,204.31) | (8,587.31) | (397.14) | (2,325.32) | (88,219.34) | (2,399.80) | 0.00 | 0.00 | | (\$652,622.55) | |
| December-10 | 0.00 | 0.00 | 2,898.47 | 0.00 | 0.00 | 236,809.05 | 0.00 | (2,660.13) | (5,126.03) | (141.33) | (466.12) | 0.00 | 0.00 | | \$231,313.91 | |
| Plan Year Transactions to Date | 1,393,953,469.18 | 55,664,485.80 | (182,808.97) | 30,206,603.39 | 0.00 | (1,024,954,193.76) | (64,678,191.21) | (12,297,674.67) | (48,479,712.61) | (308,430,574.58) | (9,950,444.78) | 0.00 | (4,011,296.35) | | \$6,839,661.44 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-30

| PLAN YEAR 2009 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|-------------------|
| 2009 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OTHER PAYMENTS & ADJUSTMENTS | CASH TRANSACTIONS | |
| 2008 Balance Forward | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 |
| January-09 | 36,523,672.87 | 804,529.84 | 0.00 | 0.00 | 0.00 | (23,435,843.21) | (4,930,939.18) | (739,916.87) | (3,247,618.49) | (19,410,459.48) | (660,148.43) | 0.00 | 0.00 | | (\$15,096,722.95) |
| February-09 | 116,054,907.31 | 4,557,333.81 | (49,783.83) | 0.00 | 0.00 | (62,639,521.72) | (5,408,353.43) | (916,673.62) | (3,511,161.00) | (22,139,001.69) | (773,218.49) | 0.00 | 0.00 | | \$25,174,527.34 |
| March-09 | 118,034,176.38 | 8,311,362.50 | (10,583.51) | 0.00 | 0.00 | (79,699,086.09) | (5,248,643.35) | (1,306,111.60) | (4,479,711.29) | (29,642,145.83) | (818,677.84) | 0.00 | 0.00 | | \$5,140,579.37 |
| April-09 | 113,488,406.75 | 12,424.58 | (6,011.31) | 0.00 | 0.00 | (72,349,320.85) | (5,169,296.47) | (1,087,327.00) | (4,101,384.86) | (22,844,015.08) | (788,213.60) | 0.00 | 0.00 | | \$7,155,262.16 |
| May-09 | 115,735,916.14 | 8,282,061.77 | 2,316.03 | 0.00 | 0.00 | (85,880,553.65) | (5,303,473.86) | (1,052,889.47) | (3,741,214.09) | (22,661,572.67) | (653,544.66) | 0.00 | 0.00 | | \$4,727,045.54 |
| June-09 | 125,207,437.95 | 4,905,574.70 | (15,729.98) | 0.00 | 0.00 | (84,589,642.73) | (5,397,893.22) | (1,190,859.72) | (4,659,628.18) | (29,139,580.50) | (1,041,378.61) | 0.00 | 0.00 | | \$4,078,299.71 |
| July-09 | 109,584,086.70 | 4,454,417.06 | 4,789.35 | 0.00 | 0.00 | (93,786,698.95) | (5,274,363.23) | (934,666.41) | (4,403,612.75) | (22,789,047.74) | (761,661.19) | 0.00 | (1,273,348.65) | | (\$15,180,105.81) |
| August-09 | 111,338,667.35 | 4,404,735.89 | (27,317.18) | 0.00 | 0.00 | (84,419,676.60) | (5,143,301.79) | (856,381.60) | (3,713,992.84) | (23,346,474.91) | (760,792.84) | 0.00 | 0.00 | | (\$2,524,534.52) |
| September-09 | 116,503,546.20 | 4,346,377.77 | (39,821.33) | 7,023,397.82 | 0.00 | (85,318,827.94) | (5,505,684.21) | (899,214.76) | (3,312,977.27) | (30,057,559.47) | (927,016.37) | 0.00 | 0.00 | | \$1,812,220.44 |
| October-09 | 114,644,530.40 | 4,470,702.84 | 1,352.22 | 0.00 | 0.00 | (89,791,379.90) | (5,554,309.19) | (890,145.92) | (3,085,098.37) | (25,050,976.42) | (956,600.13) | 0.00 | (1,275,000.00) | | (\$7,486,924.47) |
| November-09 | 116,247,533.00 | 4,403,589.15 | 0.00 | 0.00 | 0.00 | (75,940,040.02) | (5,216,766.28) | (808,452.95) | (2,807,527.44) | (25,778,024.78) | (681,099.06) | 0.00 | 0.00 | | \$9,419,211.62 |
| December-09 | 142,204,710.76 | 4,807,609.15 | (56,558.15) | 6,713,485.53 | 0.00 | (101,023,760.41) | (5,828,266.84) | (838,217.54) | (3,423,165.21) | (30,716,800.79) | (820,800.58) | 0.00 | (187,947.70) | | \$10,830,288.22 |
| Plan Year Transactions to Date | 1,335,567,591.81 | 53,760,719.06 | (197,347.69) | 13,736,883.35 | 0.00 | (938,874,352.07) | (63,981,291.05) | (11,520,857.46) | (44,487,091.79) | (303,575,659.36) | (9,643,151.80) | 0.00 | (2,736,296.35) | | \$28,049,146.65 |

101 Move \$30,998,835.39 in premium receipts from December, 2008 to January, 2009 to reflect proper allocation of receipts.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-31

| PLAN YEAR 2010 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|--|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2013 Balance Forward | 1,536,940,834.57 | 52,205,724.17 | 357,614.91 | 28,214,433.38 | 23,299,596.40 | (1,099,085,894.51) | (68,050,383.36) | (14,941,275.32) | (46,924,620.80) | (337,746,889.29) | (10,997,841.71) | 0.00 | (4,894,978.57) | \$58,376,319.87 | |
| January-14 | 0.00 | 0.00 | 6,443.00 | 0.00 | 0.00 | 420,687.04 | (57.97) | 203.52 | 1,203.77 | 0.00 | 0.00 | 0.00 | 0.00 | \$428,479.36 | |
| February-14 | 0.00 | 0.00 | 2,641.28 | 0.00 | 0.00 | (1,013.57) | 0.00 | 177.00 | 722.13 | 0.00 | 0.00 | 0.00 | 0.00 | \$2,526.84 | |
| March-14 | 0.00 | 0.00 | 5,173.21 | 0.00 | 0.00 | 49,941.92 | 0.00 | 493.66 | 1,960.20 | 600.84 | 0.00 | 0.00 | 0.00 | \$58,169.83 | |
| April-14 | 0.00 | 0.00 | 3,921.48 | 0.00 | 0.00 | 24,854.07 | (25.35) | 2,531.64 | 2,405.70 | 0.00 | 0.00 | 0.00 | 0.00 | \$33,687.54 | |
| May-14 | 0.00 | 0.00 | 3,883.83 | 0.00 | 0.00 | (63,534.30) | 0.00 | 569.98 | 1,408.87 | 0.00 | 0.00 | 0.00 | 0.00 | (\$57,671.62) | |
| June-14 | 0.00 | 0.00 | 2,425.90 | 0.00 | 0.00 | 71,945.69 | 0.00 | 430.38 | 279.28 | 0.00 | 0.00 | 0.00 | 0.00 | \$75,081.25 | |
| July-14 | | | | | | | | | | | | | | \$0.00 | |
| August-14 | | | | | | | | | | | | | | \$0.00 | |
| September-14 | | | | | | | | | | | | | | \$0.00 | |
| October-14 | | | | | | | | | | | | | | \$0.00 | |
| November-14 | | | | | | | | | | | | | | \$0.00 | |
| December-14 | | | | | | | | | | | | | | \$0.00 | |
| Plan Year Transactions to Date | 1,536,940,834.57 | 52,205,724.17 | 382,103.61 | 28,214,433.38 | 23,299,596.40 | (1,098,583,013.66) | (68,050,466.68) | (14,936,869.14) | (46,916,640.85) | (337,746,288.45) | (10,997,841.71) | 0.00 | (4,894,978.57) | \$58,916,593.07 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-32

| PLAN YEAR 2010 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|-----------------|--|
| 2013 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2012 Balance Forward | 1,536,940,834.57 | 52,205,724.17 | 279,489.49 | 28,214,433.38 | 23,299,596.40 | (1,100,649,781.97) | (68,046,367.82) | (14,938,333.39) | (46,870,914.44) | (337,749,803.81) | (10,997,772.82) | 0.00 | (4,894,978.57) | | \$56,792,125.19 | |
| January-13 | 0.00 | 0.00 | 7,535.70 | 0.00 | 0.00 | 318,081.87 | 0.00 | 206.19 | 110.00 | 2,198.88 | 0.00 | 0.00 | 0.00 | | \$328,132.64 | |
| February-13 | 0.00 | 0.00 | 8,089.71 | 0.00 | 0.00 | (47,119.95) | 0.00 | 0.00 | 112.32 | (21.04) | (5.00) | 0.00 | 0.00 | | (\$38,943.96) | |
| March-13 | 0.00 | 0.00 | 8,286.01 | 0.00 | 0.00 | 131,148.82 | (8.24) | (74.00) | (157.06) | 0.00 | 0.00 | 0.00 | 0.00 | | \$139,195.53 | |
| April-13 | 0.00 | 0.00 | 10,269.24 | 0.00 | 0.00 | 66,285.45 | (141.25) | 338.00 | (298.12) | 54.17 | 0.00 | 0.00 | 0.00 | | \$76,507.49 | |
| May-13 | 0.00 | 0.00 | 7,411.12 | 0.00 | 0.00 | 53,782.80 | (3,627.57) | (173.25) | (902.79) | (178.34) | (1.39) | 0.00 | 0.00 | | \$56,310.58 | |
| June-13 | 0.00 | 0.00 | 5,441.72 | 0.00 | 0.00 | 413,133.83 | 0.00 | 1,186.89 | 5,433.74 | 0.00 | (10.00) | 0.00 | 0.00 | | \$425,186.18 | |
| July-13 | 0.00 | 0.00 | 5,213.89 | 0.00 | 0.00 | 87,059.27 | (106.75) | 1,988.14 | 3,017.66 | 0.00 | 0.00 | 0.00 | 0.00 | | \$97,172.21 | |
| August-13 | 0.00 | 0.00 | 5,702.31 | 0.00 | 0.00 | 61,674.07 | (79.93) | 22.36 | 162.65 | 747.64 | (52.50) | 0.00 | 0.00 | | \$68,176.60 | |
| September-13 | 0.00 | 0.00 | 6,652.50 | 0.00 | 0.00 | (16,909.76) | (41.61) | (6,436.26) | (53,143.11) | 0.00 | 0.00 | 0.00 | 0.00 | | (\$69,878.24) | |
| October-13 | 0.00 | 0.00 | 5,044.36 | 0.00 | 0.00 | 7,501.78 | (0.33) | 0.00 | (8,132.17) | 0.00 | 0.00 | 0.00 | 0.00 | | \$4,413.64 | |
| November-13 | 0.00 | 0.00 | 3,735.79 | 0.00 | 0.00 | 125,854.94 | 0.00 | 0.00 | 0.00 | 113.21 | 0.00 | 0.00 | 0.00 | | \$129,703.94 | |
| December-13 | 0.00 | 0.00 | 4,743.07 | 0.00 | 0.00 | 363,394.34 | (9.86) | 0.00 | 90.52 | 0.00 | 0.00 | 0.00 | 0.00 | | \$368,218.07 | |
| Plan Year Transactions to Date | 1,536,940,834.57 | 52,205,724.17 | 357,614.91 | 28,214,433.38 | 23,299,596.40 | (1,099,085,894.51) | (68,050,383.36) | (14,941,275.32) | (46,924,620.80) | (337,746,889.29) | (10,997,841.71) | 0.00 | (4,894,978.57) | | \$58,376,319.87 | |

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

203 The September 2013 balance includes a net expense increase of \$6,284.68, the result of additional claims in the amount of \$4,143.23, duplicated claims refunds in the amount of \$3,028.11 and claims overcharges of \$886.66..

204 The September 2013 balance includes a net expense increase of \$46,967.77, the result of additional claims in the amount of \$47,246.16, duplicated claims refunds in the amount of \$3,190.95 and claims overcharges of \$3,469.34.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-33

| PLAN YEAR 2010 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|-----------------|--|
| 2012 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2011 Balance Forward | 1,537,190,123.97 | 52,205,724.17 | 174,234.49 | 28,176,749.75 | 0.00 | (1,108,890,445.85) | (68,040,742.98) | (14,937,266.05) | (46,874,123.60) | (337,787,186.27) | (11,202,705.12) | 0.00 | (4,894,978.57) | | \$25,119,383.94 | |
| January-12 | (889.54) | 0.00 | 584.86 | 0.00 | 0.00 | 5,597,639.66 | 0.00 | (706.81) | 2,689.26 | 5,056.48 | (79.48) | 0.00 | 0.00 | | \$5,604,294.43 | |
| February-12 | (27.50) | 0.00 | 593.11 | 0.00 | 0.00 | (515,609.34) | (3,398.61) | 73.08 | 6,565.53 | (110.15) | (15.28) | 0.00 | 0.00 | | (\$511,929.16) | |
| March-12 | (20,577.80) | 0.00 | 619.01 | 0.00 | 0.00 | (253,073.91) | 291.20 | (776.89) | (3,500.67) | 451.66 | (70.57) | 0.00 | 0.00 | | (\$276,637.97) | |
| April-12 | (5,683.88) | 0.00 | 2,761.55 | 0.00 | 0.00 | 1,148,959.52 | 29.92 | (10.52) | (263.09) | 736.16 | (4.17) | 0.00 | 0.00 | | \$1,146,525.49 | |
| May-12 | (8,022.48) | 0.00 | 27,697.62 | 0.00 | 0.00 | 771,465.20 | (209.81) | (2,125.64) | (2,119.67) | 13,904.50 | (17.50) | 0.00 | 0.00 | | \$800,572.22 | |
| June-12 | 0.00 | 0.00 | 6,696.69 | 0.00 | 22,721,775.75 | (126,687.55) | (464.60) | 928.38 | 587.92 | 8,782.47 | 205,556.82 | 0.00 | 0.00 | | \$22,817,175.88 | |
| July-12 | 0.00 | 0.00 | 11,262.02 | 0.00 | 364,837.81 | 122,095.12 | 0.00 | 0.00 | (569.30) | 8,685.08 | (105.01) | 0.00 | 0.00 | | \$506,205.72 | |
| August-12 | (9,717.98) | 0.00 | 10,799.09 | 0.00 | 212,573.26 | 265,636.66 | (464.50) | 369.83 | 4,361.30 | (3,150.12) | (112.50) | 0.00 | 0.00 | | \$480,295.04 | |
| September-12 | (66.67) | 0.00 | 9,537.45 | 37,683.63 | 409.58 | 431,306.72 | (1,365.62) | 1,057.13 | 12,227.87 | 5,899.08 | (62.78) | 0.00 | 0.00 | | \$496,626.39 | |
| October-12 | (204,303.55) | 0.00 | 14,051.87 | 0.00 | 0.00 | (124,992.99) | 0.00 | 118.72 | (5,646.12) | 632.06 | (55.28) | 0.00 | 0.00 | | (\$320,195.29) | |
| November-12 | 0.00 | 0.00 | 12,038.35 | 0.00 | 0.00 | (59,009.65) | 0.00 | 0.00 | (12,108.41) | (3,345.52) | 0.00 | 0.00 | 0.00 | | (\$62,425.23) | |
| December-12 | 0.00 | 0.00 | 8,613.38 | 0.00 | 0.00 | 982,934.44 | (42.82) | 5.38 | 984.54 | (159.24) | (101.95) | 0.00 | 0.00 | | \$992,233.73 | |
| Plan Year Transactions to Date | 1,536,940,834.57 | 52,205,724.17 | 279,489.49 | 28,214,433.38 | 23,299,596.40 | (1,100,649,781.97) | (68,046,367.82) | (14,938,333.39) | (46,870,914.44) | (337,749,803.81) | (10,997,772.82) | 0.00 | (4,894,978.57) | | \$56,792,125.19 | |

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-34

| PLAN YEAR 2010 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-------------------|-------------------|--|
| 2011 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2010 Balance Forward | 1,474,268,363.94 | 51,843,968.02 | 82,065.07 | 14,254,805.62 | 0.00 | (1,016,298,767.20) | (67,316,753.15) | (14,012,930.86) | (42,708,522.97) | (330,494,624.99) | (10,380,815.11) | 0.00 | (4,894,978.57) | | \$54,341,809.80 | |
| January-11 | 62,925,838.08 | (3,438.85) | 16,835.54 | 0.00 | 0.00 | (57,380,932.65) | (561,804.78) | (442,666.70) | (1,867,394.13) | (6,643,709.15) | (640,486.98) | 0.00 | 0.00 | | (\$4,597,759.62) | |
| February-11 | (330,375.99) | 364,145.00 | 26,914.35 | 7,318,750.55 | 0.00 | (16,318,159.37) | 18,291.62 | (111,859.63) | (652,579.42) | (128,418.99) | (15,654.19) | 0.00 | 0.00 | | (\$9,828,946.07) | |
| March-11 | 300,177.24 | (1,225.00) | 6,375.77 | 0.00 | 0.00 | (9,774,394.74) | 0.00 | (193,790.37) | (868,878.01) | (318,902.74) | (158,514.39) | 0.00 | 0.00 | | (\$11,009,152.24) | |
| April-11 | 21,549.17 | 175.00 | 4,699.57 | 0.00 | 0.00 | (3,113,216.58) | (63,003.20) | (99,288.52) | (571,230.36) | (28,117.62) | (1,741.67) | 0.00 | 0.00 | | (\$3,850,174.21) | |
| May-11 | 1,963.35 | 2,100.00 | 6,529.45 | 0.00 | 0.00 | (2,709,942.26) | (35,184.19) | (36,515.71) | (111,496.25) | (30,654.84) | (1,456.46) | 0.00 | 0.00 | | (\$2,914,656.91) | |
| June-11 | (6,664.78) | 0.00 | 18,378.67 | 6,603,193.58 | 0.00 | (399,218.17) | (34,592.55) | (27,116.38) | (76,296.74) | (16,252.13) | (150.84) | 0.00 | 0.00 | | \$6,061,280.66 | |
| July-11 | 312.37 | 0.00 | 0.00 | 0.00 | 0.00 | 467,624.18 | 0.00 | (5,534.04) | (3,422.32) | (64,183.91) | (1,684.50) | 0.00 | 0.00 | | \$393,111.78 | |
| August-11 | 1,991.17 | 0.00 | 35.97 | 0.00 | 0.00 | (970,996.07) | (8,550.76) | (4,955.02) | (16,292.12) | (50,178.43) | (748.14) | 0.00 | 0.00 | | (\$1,049,693.40) | |
| September-11 | 681.82 | 0.00 | 1,609.58 | 0.00 | 0.00 | (804,166.50) | (6,177.18) | 1,014.63 | 14,031.78 | (10,270.74) | (1,160.29) | 0.00 | 0.00 | | (\$804,436.90) | |
| October-11 | 14,697.46 | 0.00 | 3,722.44 | 0.00 | 0.00 | (832,266.41) | (5,518.69) | (517.67) | (1,889.74) | 486.60 | (71.40) | 0.00 | 0.00 | | (\$821,357.41) | |
| November-11 | 268.26 | 0.00 | 3,172.37 | 0.00 | 0.00 | (317,914.07) | (27,450.10) | (2,127.74) | (5,462.17) | 125.44 | (13.89) | 0.00 | 0.00 | | (\$349,401.90) | |
| December-11 | (8,678.12) | 0.00 | 3,895.71 | 0.00 | 0.00 | (438,096.01) | 0.00 | (978.04) | (4,691.15) | (2,484.77) | (207.26) | 0.00 | 0.00 | | (\$451,239.64) | |
| Plan Year Transactions to Date | 1,537,190,123.97 | 52,205,724.17 | 174,234.49 | 28,176,749.75 | 0.00 | (1,108,890,445.85) | (68,040,742.98) | (14,937,266.05) | (46,874,123.60) | (337,787,186.27) | (11,202,705.12) | 0.00 | (4,894,978.57) | | \$25,119,383.94 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-35

| PLAN YEAR 2010 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|--------------------|--------------|---------------------------------|------------------------------|-------------------|--|
| 2010 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | SCRIPTS ADMIN FEES | EXPRESS FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2009 Balance Forward | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| January-10 | 40,610,656.28 | 3,497,770.00 | 0.00 | 0.00 | 0.00 | (17,067,287.41) | (5,501,035.69) | (947,694.67) | (2,871,252.13) | (20,266,995.30) | (753,917.90) | 0.00 | 0.00 | (\$3,299,756.82) | | |
| February-10 | 124,360,733.46 | 4,258,628.45 | 173.48 | 0.00 | 0.00 | (63,021,348.72) | (5,523,329.15) | (1,215,750.03) | (3,528,765.61) | (25,059,247.87) | (816,450.76) | 0.00 | 0.00 | \$29,454,643.25 | | |
| March-10 | 130,638,108.44 | 4,270,080.88 | 471.48 | 0.00 | 0.00 | (82,355,804.96) | (5,626,702.71) | (1,579,943.65) | (4,472,122.40) | (32,387,700.45) | (838,104.26) | 0.00 | (54,178.15) | \$7,594,104.22 | | |
| April-10 | 129,152,022.42 | 4,258,676.92 | 5,221.59 | 0.00 | 0.00 | (85,832,747.21) | (5,481,061.88) | (1,532,187.94) | (4,005,228.92) | (25,749,478.11) | (955,617.17) | 0.00 | (1,413,911.17) | \$8,445,688.53 | | |
| May-10 | 129,181,111.53 | 4,260,752.26 | 222.72 | 0.00 | 0.00 | (94,359,180.48) | (5,718,253.54) | (1,214,449.73) | (3,649,206.77) | (25,754,266.21) | (825,257.97) | 0.00 | (83,745.11) | \$1,837,726.70 | | |
| June-10 | 158,346,459.91 | 6,509,599.51 | 1,271.00 | 0.00 | 0.00 | (100,386,967.28) | (5,623,185.88) | (1,229,825.24) | (4,196,068.31) | (31,986,447.83) | (820,436.33) | 0.00 | (55,288.36) | \$20,559,111.19 | | |
| July-10 | 123,372,458.09 | 4,161,587.50 | 0.00 | 0.00 | 0.00 | (92,121,632.56) | (5,422,525.77) | (1,258,976.01) | (4,333,208.27) | (25,378,503.68) | (1,067,117.38) | 0.00 | (1,548,680.08) | (\$3,596,598.16) | | |
| August-10 | 103,046,413.80 | 4,120,287.50 | 18,688.35 | 0.00 | 0.00 | (100,082,875.04) | (5,650,827.45) | (1,138,328.89) | (3,729,649.69) | (31,947,844.18) | (806,207.47) | 0.00 | (52,416.36) | (\$36,222,759.43) | | |
| September-10 | 102,582,008.02 | 4,036,812.50 | 10,558.00 | 7,000,703.32 | 0.00 | (81,171,141.25) | (5,417,936.87) | (830,507.38) | (2,895,273.64) | (25,738,842.10) | (955,956.96) | 0.00 | (111,660.00) | (\$3,491,236.36) | | |
| October-10 | 149,611,237.78 | 4,157,562.50 | 19,689.25 | 0.00 | 0.00 | (100,741,109.91) | (5,795,510.10) | (910,479.88) | (2,768,997.81) | (25,535,419.44) | (689,651.75) | 0.00 | (1,495,919.34) | \$15,851,401.30 | | |
| November-10 | 128,700,028.48 | 4,136,417.50 | 8,502.82 | 7,254,102.30 | 0.00 | (92,952,557.09) | (6,045,196.37) | (1,057,721.90) | (3,096,373.97) | (33,661,834.95) | (1,002,412.38) | 0.00 | (48,900.00) | \$2,234,054.44 | | |
| December-10 | 154,667,125.73 | 4,175,792.50 | 17,266.38 | 0.00 | 0.00 | (106,206,115.29) | (5,511,187.74) | (1,097,065.54) | (3,162,375.45) | (27,028,044.87) | (849,684.78) | 0.00 | (30,280.00) | \$14,975,430.94 | | |
| Plan Year Transactions to Date | 1,474,268,363.94 | 51,843,968.02 | 82,065.07 | 14,254,805.62 | 0.00 | (1,016,298,767.20) | (67,316,753.15) | (14,012,930.86) | (42,708,522.97) | (330,494,624.99) | (10,380,815.11) | 0.00 | (4,894,978.57) | \$54,341,809.80 | | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-36

| PLAN YEAR 2011 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-------------------|-----------------|--|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2013 Balance Forward | 1,594,747,690.90 | 47,847,607.60 | 345,421.14 | 32,522,071.09 | 0.00 | (1,140,286,862.37) | (71,480,718.03) | (16,237,926.63) | (42,550,726.45) | (334,497,559.44) | (9,759,339.41) | 0.00 | (9,300,252.27) | | \$51,349,406.13 | |
| January-14 | 0.00 | 0.00 | 5,700.23 | 0.00 | 0.00 | (195,995.23) | (879.09) | 50.00 | 246.38 | 0.00 | (465.00) | 0.00 | 0.00 | | (\$191,342.71) | |
| February-14 | 0.00 | 0.00 | 2,254.68 | 0.00 | 0.00 | (60,264.15) | 0.00 | 0.00 | 0.00 | (237.54) | (15.00) | 0.00 | 0.00 | | (\$58,262.01) | |
| March-14 | 0.00 | 0.00 | 4,495.19 | 0.00 | 0.00 | 365,419.03 | (22.52) | 410.85 | 1,351.22 | 145.67 | (25.00) | 0.00 | 0.00 | | \$371,774.44 | |
| April-14 | 0.00 | 0.00 | 3,428.92 | 0.00 | 0.00 | (24,857.27) | (63.33) | 241.81 | 1,250.44 | (231.47) | (15.00) | 0.00 | (12,121.12) | | (\$32,367.02) | |
| May-14 | 0.00 | 0.00 | 3,391.92 | 0.00 | 0.00 | (288,057.68) | (33.94) | 215.00 | 458.21 | 0.00 | (10.00) | 0.00 | 0.00 | | (\$284,036.49) | |
| June-14 | 0.00 | 0.00 | 2,109.01 | 0.00 | 0.00 | 279,198.12 | (19.31) | 2,384.83 | 2,130.89 | 0.00 | 0.00 | 0.00 | 0.00 | | \$285,803.54 | |
| July-14 | | | | | | | | | | | | | | | \$0.00 | |
| August-14 | | | | | | | | | | | | | | | \$0.00 | |
| September-14 | | | | | | | | | | | | | | | \$0.00 | |
| October-14 | | | | | | | | | | | | | | | \$0.00 | |
| November-14 | | | | | | | | | | | | | | | \$0.00 | |
| December-14 | | | | | | | | | | | | | | | \$0.00 | |
| Plan Year Transactions to Date | 1,594,747,690.90 | 47,847,607.60 | 366,801.09 | 32,522,071.09 | 0.00 | (1,140,211,419.55) | (71,481,736.22) | (16,234,624.14) | (42,545,289.31) | (334,497,882.78) | (9,759,869.41) | 0.00 | (9,312,373.39) | | \$51,440,975.88 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-37

| PLAN YEAR 2011 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|-----------------|--|
| 2013 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2011 Balance Forward | 1,594,749,119.31 | 47,847,607.60 | 277,002.84 | 32,526,101.91 | 0.00 | (1,141,237,477.98) | (71,467,329.53) | (16,278,922.83) | (42,635,012.79) | (334,514,670.50) | (9,759,001.35) | 0.00 | (9,300,252.27) | | \$50,207,164.41 | |
| January-13 | (2,828.53) | 0.00 | 6,661.95 | 0.00 | 0.00 | (757,840.09) | | 0.00 | (1,300.81) | (4,110.28) | 13,229.85 | (2.50) | 0.00 | 0.00 | (\$746,190.41) | |
| February-13 | 18.27 | 0.00 | 7,018.47 | 0.00 | 0.00 | (275,291.25) | (4,021.29) | 493.69 | (1,766.71) | (151.29) | (7.50) | 0.00 | 0.00 | | (\$273,707.61) | |
| March-13 | (533.45) | 0.00 | 7,140.26 | 0.00 | 0.00 | 559,637.84 | (2,379.60) | (2,638.05) | 22.41 | (250.89) | (10.00) | 0.00 | 0.00 | | \$560,988.52 | |
| April-13 | 98.06 | 0.00 | 8,928.22 | 0.00 | 0.00 | 259,372.22 | (688.24) | (211.46) | (295.08) | 523.90 | (10.28) | 0.00 | 0.00 | | \$267,717.34 | |
| May-13 | 0.00 | 269.22 | 6,469.39 | 0.00 | 0.00 | 283,069.10 | (1,938.06) | (1,916.98) | (2,217.63) | (232.54) | (12.78) | 0.00 | 0.00 | | \$283,489.72 | |
| June-13 | 0.00 | (269.22) | 4,774.62 | (4,030.82) | 0.00 | 959,837.25 | | 0.00 | 4,037.39 | 14,983.88 | 0.00 | (57.50) | 0.00 | 0.00 | \$979,275.60 | |
| July-13 | 0.00 | 0.00 | 4,627.35 | 0.00 | 0.00 | (300,698.72) | (3,867.68) | 2,658.29 | 6,816.66 | 32.58 | (5.00) | 0.00 | 0.00 | | (\$290,436.52) | |
| August-13 | 1,565.88 | 0.00 | 5,023.75 | 0.00 | 0.00 | 44,191.26 | (3,394.02) | 223.39 | 7,182.73 | 3,293.78 | (207.50) | 0.00 | 0.00 | | \$57,879.27 | |
| September-13 | 251.36 | 0.00 | 5,860.59 | 0.00 | 0.00 | (195,575.10) | 8,656.37 | 37,776.18 | 62,686.46 | 827.64 | (10.00) | 0.00 | 0.00 | | (\$79,526.50) | |
| October-13 | 0.00 | 0.00 | 4,442.31 | 0.00 | 0.00 | 107,465.34 | (86.51) | 1,874.56 | 908.90 | (161.97) | (12.50) | 0.00 | 0.00 | | \$114,430.13 | |
| November-13 | 0.00 | 0.00 | 3,297.06 | 0.00 | 0.00 | (32,026.46) | 0.00 | 0.00 | 0.00 | 0.00 | (2.50) | 0.00 | 0.00 | | (\$28,731.90) | |
| December-13 | 0.00 | 0.00 | 4,174.33 | 0.00 | 0.00 | 298,474.22 | (5,669.47) | 0.00 | 75.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$297,054.08 | |
| Plan Year Transactions to Date | 1,594,747,690.90 | 47,847,607.60 | 345,421.14 | 32,522,071.09 | 0.00 | (1,140,286,862.37) | (71,480,718.03) | (16,237,926.63) | (42,550,726.45) | (334,497,559.44) | (9,759,339.41) | 0.00 | (9,300,252.27) | | \$51,349,406.13 | |

203 The September 2013 expense reduction of \$37,776.18 is the net result of a refund from Humana in the amount of \$40,214.31 for claims overcharges and a payment to Humana for duplicated claims refunds in the amount of \$2,438.13

204 The September 2013 expense reduction of \$62,686.46 is the net result of a refund from Humana in the amount of \$74,253.56 for claims overcharges and a payment to Humana for duplicated claims refunds in the amount of \$11,567.10

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-38

| PLAN YEAR 2011 | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------|-----------------|---------------|------------------|-----------------------|--------------------------|--------------------------|--------------------------|------------------------|----------------|---------------------|------------------|-------------------|
| 2012 | 101 PREMIUMS | 102 HRA WAIVER | 110 INTEREST | 120 DRUG | 130 TRANSFERS | 201 MEDICAL CLAIMS | 202 HUMANA ADMIN FEES | 203 HRA SELECT CLAIMS | 204 HRA WAIVER CLAIMS | 301 PHARMACY CLAIMS | 302 EXPRESS | 500 TRANSFERS TO | 501 OPERATING | TOTAL CASH |
| 2011 Balance Forward | 1,526,196,607.59 | 47,836,510.94 | 150,396.82 | 16,097,358.02 | 0.00 | (1,030,046,608.57) | (70,560,363.69) | (15,271,752.19) | (38,703,721.89) | (328,030,216.60) | (9,560,660.06) | 0.00 | (9,065,643.15) | \$89,041,907.22 |
| January-12 | 64,831,266.19 | 6,825.00 | 2,073.20 | 0.00 | 0.00 | (76,973,291.01) | 0.00 | (340,782.02) | (1,359,612.98) | (6,377,509.66) | (390,804.22) | 0.00 | (234,609.12) | (\$20,836,444.62) |
| February-12 | 3,238,913.87 | 4,271.66 | 9,016.43 | 0.00 | 0.00 | (21,234,916.29) | (488,214.12) | (133,196.99) | (737,678.43) | (7,359.92) | (4,385.28) | 0.00 | 0.00 | (\$19,353,549.07) |
| March-12 | 121,087.46 | 0.00 | 1,141.35 | 8,326,710.96 | 0.00 | (8,898,188.75) | (172,586.12) | (263,991.53) | (920,249.17) | (37,296.79) | (1,842.56) | 0.00 | 0.00 | (\$1,845,215.15) |
| April-12 | 65,262.27 | 0.00 | 4,968.67 | 0.00 | 0.00 | (4,781,490.30) | (83,726.19) | (192,310.24) | (824,783.57) | 4,629.55 | (259.76) | 0.00 | 0.00 | (\$5,807,709.57) |
| May-12 | 148,315.93 | 0.00 | 42,946.59 | 0.00 | 0.00 | (260,378.50) | (51,683.01) | (49,860.67) | (61,009.80) | (11,638.73) | (1,461.78) | 0.00 | 0.00 | (\$244,769.97) |
| June-12 | 52,308.06 | 0.00 | 10,050.50 | 7,711,686.20 | 0.00 | (1,740,536.10) | (44,301.27) | 2,402.62 | 2,263.38 | (1,550.75) | 203,162.13 | 0.00 | 0.00 | \$6,195,484.77 |
| July-12 | (713.57) | 0.00 | 9,707.62 | 0.00 | 0.00 | 243,372.58 | 0.00 | (20,487.84) | (41,447.09) | (9,746.26) | (613.75) | 0.00 | 0.00 | \$180,071.69 |
| August-12 | 57,846.30 | 0.00 | 9,324.35 | 0.00 | 0.00 | (130,172.16) | (2,575.98) | (941.77) | 12,477.82 | (26,247.32) | (878.89) | 0.00 | 0.00 | (\$81,167.65) |
| September-12 | (2,560.34) | 0.00 | 8,092.37 | 390,346.73 | 0.00 | (116,511.68) | (39,083.25) | (416.50) | 4,885.88 | 21,419.82 | (524.73) | 0.00 | 0.00 | \$265,648.30 |
| October-12 | 18,272.61 | 0.00 | 11,883.85 | 0.00 | 0.00 | (347,883.65) | 0.00 | (2,603.33) | 1,865.81 | (15,599.91) | (512.93) | 0.00 | 0.00 | (\$334,577.55) |
| November-12 | (4,590.23) | 0.00 | 10,167.24 | 0.00 | 0.00 | (508,730.59) | 0.00 | (1,045.43) | (9,140.71) | (14,499.34) | 0.00 | 0.00 | 0.00 | (\$527,839.06) |
| December-12 | 27,103.17 | 0.00 | 7,233.85 | 0.00 | 0.00 | 3,557,857.04 | (24,795.90) | (3,936.94) | 1,137.96 | (9,054.59) | (219.52) | 0.00 | 0.00 | \$3,555,325.07 |
| Plan Year Transactions to Date | 1,594,749,119.31 | 47,847,607.60 | 277,002.84 | 32,526,101.91 | 0.00 | (1,141,237,477.98) | (71,467,329.53) | (16,278,922.83) | (42,635,012.79) | (334,514,670.50) | (9,759,001.35) | 0.00 | (9,300,252.27) | \$50,207,164.41 |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-39

| PLAN YEAR 2011 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|------|-------------------|
| 2011 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2010 Balance Forward | 602.53 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$602.53 |
| January-11 | 41,658,664.19 | 4,153,479.69 | 0.19 | 0.00 | 0.00 | (21,225,293.17) | (5,685,622.86) | (1,195,854.82) | (2,916,365.63) | (18,756,469.66) | (330,805.46) | 0.00 | (1,506,150.00) | | | (\$5,804,417.53) |
| February-11 | 135,215,110.95 | 377,963.00 | (2,155.36) | 0.00 | 0.00 | (66,018,323.11) | (5,719,499.42) | (1,395,446.60) | (3,256,382.56) | (23,903,264.04) | (1,002,432.67) | 0.00 | 0.00 | | | \$34,295,570.19 |
| March-11 | 215,670,480.66 | 7,561,746.66 | 4,548.18 | 0.00 | 0.00 | (94,192,802.84) | (5,804,744.75) | (1,860,582.39) | (4,295,957.04) | (31,459,589.75) | (550,461.08) | 0.00 | 0.00 | | | \$85,072,637.65 |
| April-11 | 57,694,030.16 | 377,215.86 | 18,466.38 | 0.00 | 0.00 | (85,937,305.21) | (5,877,158.90) | (1,592,455.96) | (3,587,891.53) | (24,877,558.67) | (823,250.32) | 0.00 | (1,475,000.00) | | | (\$66,080,908.19) |
| May-11 | 148,792,707.81 | 6,826,365.22 | 20,870.94 | 0.00 | 0.00 | (89,261,668.83) | (5,955,533.34) | (1,653,429.42) | (3,242,448.87) | (30,404,462.72) | (935,264.46) | 0.00 | (560,000.00) | | | \$23,627,136.33 |
| June-11 | 135,363,342.24 | 4,147,240.50 | 59,026.75 | 0.00 | 0.00 | (99,430,813.45) | (6,104,506.43) | (1,306,116.15) | (4,158,068.83) | (25,409,559.13) | (657,542.11) | 0.00 | (2,262,825.00) | | | \$240,178.39 |
| July-11 | 129,466,025.11 | 4,055,818.00 | 0.00 | 0.00 | 0.00 | (93,245,205.12) | (5,691,468.70) | (1,283,238.69) | (3,555,128.95) | (24,992,929.36) | (1,051,151.61) | 0.00 | (1,446,700.00) | | | \$2,256,020.68 |
| August-11 | 128,825,858.56 | 4,015,463.08 | 51,911.60 | 0.00 | 0.00 | (103,597,773.95) | (5,898,025.96) | (1,247,263.59) | (3,519,245.43) | (31,756,655.40) | (783,194.42) | 0.00 | 505.45 | | | (\$13,908,420.06) |
| September-11 | 128,255,589.25 | 3,985,826.55 | (40,402.66) | 8,172,233.15 | 0.00 | (94,958,185.05) | (5,831,346.41) | (917,737.17) | (2,597,575.22) | (27,057,987.26) | (920,603.65) | 0.00 | (722,373.60) | | | \$7,367,437.93 |
| October-11 | 142,217,531.59 | 4,088,693.16 | 9,335.67 | 0.00 | 0.00 | (91,148,955.95) | (6,021,191.61) | (916,603.71) | (2,344,259.08) | (27,684,920.74) | (830,136.88) | 0.00 | (1,446,800.00) | | | \$15,922,692.45 |
| November-11 | 128,471,255.32 | 4,132,176.42 | 17,380.63 | 0.00 | 0.00 | (97,269,632.92) | (6,239,987.54) | (1,121,446.52) | (2,344,550.25) | (33,686,306.46) | (678,687.30) | 0.00 | 653,700.00 | | | (\$8,066,098.62) |
| December-11 | 134,565,409.22 | 4,114,522.80 | 11,414.50 | 7,925,124.87 | 0.00 | (93,760,648.97) | (5,731,277.77) | (781,577.17) | (2,885,848.50) | (28,040,513.41) | (997,130.10) | 0.00 | (300,000.00) | | | \$14,119,475.47 |
| Plan Year Transactions to Date | 1,526,196,607.59 | 47,836,510.94 | 150,396.82 | 16,097,358.02 | 0.00 | (1,030,046,608.57) | (70,560,363.69) | (15,271,752.19) | (38,703,721.89) | (328,030,216.60) | (9,560,660.06) | 0.00 | (9,065,643.15) | | | \$89,041,907.22 |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-40

| PLAN YEAR 2012 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|------------------|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2013 Balance Forward | 1,599,837,084.81 | 47,805,724.93 | 54,248.60 | 33,269,304.98 | 0.00 | (1,188,158,105.61) | (72,301,788.87) | (16,590,120.83) | (42,352,116.18) | (346,961,390.71) | (9,340,081.00) | 0.00 | (9,273,024.00) | | (\$4,010,263.88) |
| January-14 | 1,137.02 | 0.00 | (302.44) | 136,307.71 | 0.00 | 125,186.37 | (4,867.27) | 1,692.95 | 4,573.94 | (729.95) | (488.18) | 0.00 | 0.00 | | \$262,510.15 |
| February-14 | 573.60 | 0.00 | (417.50) | 0.00 | 0.00 | (606,961.33) | 0.00 | 579.20 | 346.39 | (11,758.29) | (62.50) | 0.00 | 0.00 | | (\$617,700.43) |
| March-14 | 1,545.34 | 0.00 | (384.02) | 2,462.23 | 0.00 | 956,559.80 | (5,992.28) | 891.89 | 3,487.06 | (946.57) | (60.00) | 0.00 | 0.00 | | \$957,563.45 |
| April-14 | 0.00 | 0.00 | (226.71) | 0.00 | 0.00 | 149,010.62 | (829.59) | 2,267.46 | 4,828.83 | (3,327.70) | (297.50) | 0.00 | (42,810.41) | | \$108,615.00 |
| May-14 | 0.00 | 0.00 | (217.51) | 0.00 | 0.00 | (299,077.43) | (2,832.66) | 1,131.44 | 8,430.78 | (37.34) | (5.00) | 0.00 | 0.00 | | (\$292,607.72) |
| June-14 | 0.00 | 0.00 | (148.08) | (664.07) | 0.00 | 491,655.54 | 0.00 | 2,063.75 | 4,789.71 | 139,328.99 | (5.00) | 0.00 | 0.00 | | \$637,020.84 |
| July-14 | | | | | | | | | | | | | | | \$0.00 |
| August-14 | | | | | | | | | | | | | | | \$0.00 |
| September-14 | | | | | | | | | | | | | | | \$0.00 |
| October-14 | | | | | | | | | | | | | | | \$0.00 |
| November-14 | | | | | | | | | | | | | | | \$0.00 |
| December-14 | | | | | | | | | | | | | | | \$0.00 |
| Plan Year Transactions to Date | 1,599,840,340.77 | 47,805,724.93 | 52,552.34 | 33,407,410.85 | 0.00 | (1,187,341,732.04) | (72,316,310.67) | (16,581,494.14) | (42,325,659.47) | (346,838,861.57) | (9,340,999.18) | 0.00 | (9,315,834.41) | | (\$2,954,862.59) |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-41

| PLAN YEAR 2012 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-------------------|--|
| 2013 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2012 Balance Forward | 1,532,116,948.31 | 47,791,374.93 | 55,527.24 | 15,552,460.50 | 0.00 | (1,079,097,997.28) | (71,532,836.71) | (15,260,344.10) | (37,941,321.50) | (340,860,449.81) | (9,166,555.84) | 0.00 | (9,192,364.71) | \$32,464,441.03 | |
| January-13 | 66,421,106.81 | 9,450.00 | 4,307.68 | 0.00 | 0.00 | (76,885,056.11) | 0.00 | (407,608.90) | (1,383,439.57) | (6,091,143.45) | (163,146.01) | 0.00 | (80,659.29) | (\$18,576,188.84) | |
| February-13 | 430,241.46 | 5,250.00 | 4,550.03 | 0.00 | 0.00 | (21,355,755.60) | (209,880.10) | (169,484.78) | (668,980.55) | 37,270.85 | (7,250.91) | 0.00 | 0.00 | (\$21,934,039.60) | |
| March-13 | 747,225.35 | (2.68) | (1,163.92) | 0.00 | 0.00 | (6,843,059.91) | (446,934.16) | (395,028.68) | (1,125,285.69) | (19,148.52) | (723.98) | 0.00 | 0.00 | (\$8,084,122.19) | |
| April-13 | (279.22) | (347.32) | (2,894.80) | 8,588,953.09 | 0.00 | (4,939,473.95) | (35,999.14) | (340,343.04) | (1,145,075.24) | (6,931.34) | (742.16) | 0.00 | 0.00 | \$2,116,866.88 | |
| May-13 | (318.85) | 20,959.92 | (1,811.71) | 0.00 | 0.00 | (2,424,459.00) | (25,226.37) | (66,565.95) | (136,665.53) | (18,170.00) | (178.82) | 0.00 | 0.00 | (\$2,652,436.31) | |
| June-13 | 518.06 | (20,959.92) | (1,555.69) | 9,430,603.62 | 0.00 | 2,900,988.31 | 0.00 | 429.10 | 2,504.65 | 403.21 | (345.50) | 0.00 | 0.00 | \$12,312,585.84 | |
| July-13 | (2,027.16) | 0.00 | (390.07) | 0.00 | 0.00 | (550,123.94) | (16,272.95) | (1,364.84) | (16,388.26) | (10,680.16) | (510.00) | 0.00 | 0.00 | (\$597,757.38) | |
| August-13 | (4,323.19) | 0.00 | (487.02) | 0.00 | 0.00 | 160,199.26 | (5,212.32) | 4,201.81 | 10,857.63 | 6,608.11 | (503.82) | 0.00 | 0.00 | \$171,340.46 | |
| September-13 | 125,503.82 | 0.00 | (548.71) | (302,712.23) | 0.00 | (803,016.89) | (17,232.89) | 39,613.72 | 49,578.91 | (679.98) | (80.00) | 0.00 | 0.00 | (\$909,574.25) | |
| October-13 | 220.00 | 0.00 | (495.19) | 0.00 | 0.00 | 133,041.89 | (9,874.47) | (1,444.23) | (1,289.35) | 1,530.38 | (31.46) | 0.00 | 0.00 | \$121,657.57 | |
| November-13 | 2,198.38 | 0.00 | (357.70) | 0.00 | 0.00 | 290,892.39 | 0.00 | (407.30) | (2,890.11) | 0.00 | (12.50) | 0.00 | 0.00 | \$289,423.16 | |
| December-13 | 71.04 | 0.00 | (431.54) | 0.00 | 0.00 | 1,255,715.22 | (2,319.76) | 8,226.36 | 6,278.43 | 0.00 | 0.00 | 0.00 | 0.00 | \$1,267,539.75 | |
| Plan Year Transactions to Date | 1,599,837,084.81 | 47,805,724.93 | 54,248.60 | 33,269,304.98 | 0.00 | (1,188,158,105.61) | (72,301,788.87) | (16,590,120.83) | (42,352,116.18) | (346,961,390.71) | (9,340,081.00) | 0.00 | (9,273,024.00) | (\$4,010,263.88) | |

203 The September 2013 expenses have been reduced by \$50,563.55 which is the net result of a refund from Humana in the amount of \$51,644.70 for claims overcharges and a payment to Humana for duplicated claims refunds in the amount of \$1,081.15.

204 The September 2013 expenses have been reduced by \$64,346.13 which is the net result of a refund from Humana in the amount of \$71,011.17 for claims overcharges and a payment to Humana for duplicated claims refunds in the amount of \$6,665.04.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-42

| PLAN YEAR 2012 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|-------------------|---|
| 2012 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2011 Balance Forward | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 | |
| January-12 | 69,528,948.14 | 4,053,197.91 | 0.00 | 0.00 | 0.00 | (23,704,204.41) | (5,825,992.95) | (1,275,395.17) | (2,973,345.92) | (26,492,934.54) | (525,989.29) | 0.00 | (1,446,700.00) | | \$11,337,583.77 | |
| February-12 | 130,592,230.40 | 4,095,844.16 | 85.18 | 0.00 | 0.00 | (80,086,211.16) | (5,784,867.09) | (1,428,846.68) | (3,293,644.17) | (19,708,117.54) | (781,779.35) | 0.00 | (650,000.00) | | \$22,954,693.75 | |
| March-12 | 135,681,761.89 | 4,049,823.20 | 562.20 | 0.00 | 0.00 | (83,762,360.41) | (5,822,455.25) | (1,647,570.51) | (3,540,723.01) | (33,181,997.87) | (896,089.76) | 0.00 | (400,000.00) | | \$10,480,950.48 | |
| April-12 | 120,965,344.25 | 3,947,101.33 | 3,498.15 | 0.00 | 0.00 | (98,967,063.12) | (5,962,894.37) | (1,542,576.95) | (3,338,222.90) | (26,060,773.16) | (750,575.79) | 0.00 | (2,579,500.00) | | (\$14,285,662.56) | |
| May-12 | 131,051,730.72 | 4,051,460.97 | 26,693.10 | 0.00 | 0.00 | (98,470,716.25) | (6,035,691.61) | (1,523,255.88) | (3,518,145.59) | (32,746,779.20) | (624,466.72) | 0.00 | 0.00 | | (\$7,789,170.46) | |
| June-12 | 123,669,128.01 | 2,160,377.56 | 3,328.15 | 0.00 | 0.00 | (93,812,170.74) | (6,024,074.49) | (1,408,270.13) | (3,735,852.34) | (27,178,069.80) | (651,847.04) | 0.00 | (300,000.00) | | (\$7,277,450.82) | |
| July-12 | 158,601,891.14 | 2,582,010.48 | 3,175.00 | 0.00 | 0.00 | (105,978,992.50) | (5,770,981.74) | (1,427,629.74) | (3,933,256.06) | (33,228,594.36) | (1,126,430.99) | 0.00 | (2,075,000.00) | | \$7,646,191.23 | |
| August-12 | 134,691,134.90 | 3,938,126.10 | 8,261.54 | 0.00 | 0.00 | (107,303,748.66) | (5,905,006.32) | (1,209,480.27) | (3,212,848.87) | (26,239,257.41) | (842,445.57) | 0.00 | 800,000.00 | | (\$5,275,264.56) | |
| September-12 | 73,635,254.66 | 3,905,917.68 | 3,047.25 | 7,612,407.60 | 0.00 | (85,118,148.95) | (6,259,113.64) | (871,481.45) | (2,410,812.81) | (26,448,775.65) | (592,972.44) | 0.00 | (115,000.00) | | (\$36,659,677.75) | 1 |
| October-12 | 189,825,294.96 | 7,029,503.50 | (4,719.05) | 0.00 | 0.00 | (106,957,543.28) | (5,762,839.68) | (1,029,292.15) | (2,760,235.33) | (33,480,572.63) | (724,384.70) | 0.00 | (2,092,895.54) | | \$44,042,316.10 | |
| November-12 | 132,136,802.40 | 3,991,012.86 | 5,425.11 | 0.00 | 0.00 | (104,244,012.02) | (5,775,468.47) | (969,656.03) | (2,705,702.07) | (26,368,503.83) | 0.00 | 0.00 | (290,104.00) | | (\$4,220,206.05) | |
| December-12 | 131,737,426.84 | 3,986,999.18 | 6,170.61 | 7,940,052.90 | 0.00 | (90,692,825.78) | (6,603,451.10) | (926,889.14) | (2,518,532.43) | (29,726,073.82) | (1,649,574.19) | 0.00 | (43,165.17) | | \$11,510,137.90 | |
| Plan Year Transactions to Date | 1,532,116,948.31 | 47,791,374.93 | 55,527.24 | 15,552,460.50 | 0.00 | (1,079,097,997.28) | (71,532,836.71) | (15,260,344.10) | (37,941,321.50) | (340,860,449.81) | (9,166,555.84) | 0.00 | (9,192,364.71) | | \$32,464,441.03 | |

1 Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012. If this payment had been included in the September 2012 health insurance premiums the Cash Transactions balance for September-12 would have been a positive \$15,807,621.64.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-43

| PLAN YEAR 2013 | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|--|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2013 Balance Forward | 1,533,384,047.87 | 46,186,551.19 | 79,790.06 | 17,517,925.22 | 0.00 | (1,076,115,537.17) | (71,308,826.85) | (16,148,958.49) | (38,184,591.64) | (337,129,949.87) | (7,962,611.78) | 0.00 | 377,594.69 | \$50,695,433.23 | |
| January-14 | 63,583,286.01 | 6,650.00 | 1,768.99 | (136,307.71) | 0.00 | (81,577,661.42) | (638,749.06) | (370,054.23) | (1,327,447.90) | (9,159,983.27) | (896,017.68) | 0.00 | 0.00 | (\$30,514,516.27) | |
| February-14 | 1,591,766.21 | 0.00 | 5,994.35 | 9,798,339.70 | 0.00 | (20,068,536.01) | 0.00 | (196,601.31) | (669,552.22) | 53,015.73 | (399,019.24) | 0.00 | 0.00 | (\$9,884,592.79) | |
| March-14 | 291,041.50 | 175.00 | 905.76 | (2,462.23) | 0.00 | (7,709,045.81) | (214,683.60) | (278,116.54) | (842,804.55) | 3,017.41 | (314.66) | 0.00 | 0.00 | (\$8,752,287.72) | |
| April-14 | 21,425.42 | 0.00 | 106.59 | 0.00 | 0.00 | (4,178,949.10) | (77,559.59) | (170,543.85) | (659,529.86) | (3,113.42) | 60,156.74 | 0.00 | (44,704.22) | (\$5,052,711.29) | |
| May-14 | 3,618.88 | 0.00 | (231.01) | 0.00 | 0.00 | (4,798,274.38) | (14,758.41) | 4,069.74 | 22,675.75 | (29,705.87) | (76.53) | 0.00 | 0.00 | (\$4,812,681.83) | |
| June-14 | 4,167.68 | 0.00 | (343.07) | 10,439,237.36 | 0.00 | 1,087,626.65 | (9,003.16) | 29,508.96 | 51,166.44 | (5,123.63) | (701.36) | 0.00 | 0.00 | \$11,596,535.87 | |
| July-14 | | | | | | | | | | | | | | \$0.00 | |
| August-14 | | | | | | | | | | | | | | \$0.00 | |
| September-14 | | | | | | | | | | | | | | \$0.00 | |
| October-14 | | | | | | | | | | | | | | \$0.00 | |
| November-14 | | | | | | | | | | | | | | \$0.00 | |
| December-14 | | | | | | | | | | | | | | \$0.00 | |
| Plan Year Transactions to Date | 1,598,879,353.57 | 46,193,376.19 | 87,991.67 | 37,616,732.34 | 0.00 | (1,193,360,377.24) | (72,263,580.67) | (17,130,695.72) | (41,610,083.98) | (346,271,842.92) | (9,198,584.51) | 0.00 | 332,890.47 | \$3,275,179.20 | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-44

| PLAN YEAR 2013 | | | | | | | | | | | | | | | | |
|--------------------------------|------------------|-------------------------|-----------------|---------------|--|--------------------|-------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-------------------|------|-------------------|
| 2013 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <u>TO</u> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2012 Balance Forward | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 |
| January-13 | 68,143,104.47 | 3,896,142.88 | 0.00 | 0.00 | 0.00 | (24,391,801.37) | (5,813,983.54) | (1,490,177.99) | (3,070,020.72) | (25,373,655.71) | (521,631.71) | 0.00 | (2,057,300.00) | | | \$9,320,676.31 |
| February-13 | 133,018,873.82 | 3,890,760.30 | 1,320.05 | 0.00 | 0.00 | (72,563,728.72) | (5,812,964.61) | (1,590,383.86) | (3,239,528.86) | (26,604,891.63) | (744,105.42) | 0.00 | 5,778,266.70 | | | \$32,133,617.77 |
| March-13 | 130,715,440.97 | 3,894,458.10 | 6,017.56 | 0.00 | 0.00 | (82,001,826.68) | (5,821,602.35) | (1,731,695.08) | (3,568,088.26) | (26,521,898.58) | (738,400.84) | 0.00 | 5,755,543.08 | | | \$19,987,947.92 |
| April-13 | 132,577,415.72 | 3,919,850.22 | 11,026.91 | 0.00 | 0.00 | (98,732,925.69) | (5,988,858.01) | (1,719,677.11) | (3,784,878.95) | (32,735,961.04) | (843,777.57) | 0.00 | 2,941,084.90 | | | (\$4,356,700.62) |
| May-13 | 136,896,674.07 | 4,142,786.74 | 7,387.54 | 0.00 | 0.00 | (95,482,679.08) | (5,990,049.29) | (1,482,968.84) | (3,424,475.83) | (25,050,093.03) | (705,330.41) | 0.00 | 5,731,422.62 | | | \$14,642,674.49 |
| June-13 | 118,632,875.86 | 3,316,380.37 | 7,745.88 | 0.00 | 0.00 | (87,031,148.02) | (5,807,157.33) | (1,341,570.53) | (3,373,926.71) | (26,727,085.13) | (811,833.62) | 0.00 | 5,721,704.14 | | | \$2,585,984.91 |
| July-13 | 150,893,611.84 | 4,169,930.69 | 6,945.49 | 0.00 | 0.00 | (103,087,599.46) | (6,168,607.49) | (1,497,741.86) | (3,862,501.02) | (32,282,673.90) | (684,503.07) | 0.00 | 8,673,443.42 | | | \$16,160,304.64 |
| August-13 | 133,241,742.48 | 3,763,276.15 | 8,918.17 | 0.00 | 0.00 | (107,385,933.15) | (5,961,421.65) | (1,146,422.94) | (3,063,185.96) | (25,888,297.37) | (812,117.27) | 0.00 | 0.00 | | | (\$7,243,441.54) |
| September-13 | 131,014,807.22 | 3,710,447.46 | 9,555.72 | 9,021,550.77 | 0.00 | (88,786,058.33) | (11,591,988.33) | (1,028,723.40) | (2,609,909.08) | (26,214,351.80) | (712,735.11) | 0.00 | (33,205.50) | | | \$12,779,389.62 |
| October-13 | 132,680,129.20 | 3,818,531.80 | 8,372.46 | 0.00 | 0.00 | (108,872,004.96) | (544,134.31) | (1,119,636.12) | (2,857,527.40) | (34,275,695.92) | (725,994.47) | 0.00 | (2,117,509.79) | | | (\$14,005,469.51) |
| November-13 | 130,272,794.65 | 3,813,602.82 | 5,517.28 | 8,496,374.45 | 0.00 | (102,345,802.19) | (5,781,719.52) | (918,087.80) | (2,789,485.00) | (27,228,270.39) | (127,260.23) | 0.00 | 0.00 | | | \$3,397,664.07 |
| December-13 | 135,296,577.57 | 3,850,383.66 | 6,983.00 | 0.00 | 0.00 | (105,434,029.52) | (6,026,340.42) | (1,081,872.96) | (2,541,063.85) | (28,227,075.37) | (534,922.06) | 0.00 | (30,015,854.88) | | | (\$34,707,214.83) |
| Plan Year Transactions to Date | 1,533,384,047.87 | 46,186,551.19 | 79,790.06 | 17,517,925.22 | 0.00 | (1,076,115,537.17) | (71,308,826.85) | (16,148,958.49) | (38,184,591.64) | (337,129,949.87) | (7,962,611.78) | 0.00 | 377,594.69 | | | \$50,695,433.23 |

501 The plan year 2013 balance includes ERRP funds transferred from the 2512 fund in the amount of \$10,159,793.00

1 The September 2013 Humana Admin Fees (202) balance is overstated \$5,693,175.58. A Revenue department intercept placed on Humana payments caused a payment to be voided and reissued. The initial payment document was not voided until October 1, 2013. If the initial document had been voided in September 2013 the Humana Admin Fees balance would have been \$5,898,812.75 and the September 2013 Total Cash Transactions balance would have been \$18,472,565.20.

2 The Humana administrative fees payment voided in September 2013 was deposited on October 1, 2013. This reversal transaction decreased the Humana Administrative Fees (202) balance by \$5,693,175.58.

1
2

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-45

| PLAN YEAR 2014 | | | | | | | | | | | | | | | | |
|--------------------------------|----------------|-------------------------|-----------------|--------------|-----------------------------------|------------------|-------------------|-------------------|-------------------|------------------|----------------------------|---------------------------------|------------------------------|-------------------|------|------------------|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2013 Balance Forward | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$0.00 |
| January-14 | 69,101,980.14 | 3,787,362.36 | 0.00 | 0.00 | 0.00 | (18,390,064.46) | (6,249,431.45) | (2,988,499.77) | (3,016,722.53) | (16,247,927.73) | (488,624.08) | 0.00 | (1,866,900.00) | | | \$23,641,172.48 |
| February-14 | 135,496,466.71 | 3,859,916.28 | 6,569.89 | 0.00 | 0.00 | (53,504,998.59) | (6,263,205.86) | (3,640,528.80) | (3,111,297.86) | (20,208,764.29) | (542,776.53) | 0.00 | 29,034,679.96 | | | \$81,126,060.91 |
| March-14 | 135,333,812.18 | 3,895,117.96 | 9,216.25 | 0.00 | 0.00 | (72,567,058.31) | (6,281,044.85) | (3,846,005.30) | (3,439,241.60) | (22,937,460.21) | (859,431.34) | 0.00 | 13,368,046.88 | | | \$42,675,951.66 |
| April-14 | 132,223,489.21 | 3,936,236.96 | 9,975.94 | 0.00 | 0.00 | (88,214,346.37) | (6,860,565.35) | (3,789,131.81) | (3,532,040.66) | (28,985,023.99) | (1,063,589.63) | 0.00 | 12,156,907.37 | | | \$15,881,911.67 |
| May-14 | 134,947,560.50 | 3,912,530.54 | 10,774.34 | 0.00 | 0.00 | (75,690,132.73) | (6,587,666.50) | (3,311,119.40) | (3,375,748.63) | (23,789,229.56) | (760,712.01) | 0.00 | 13,309,287.96 | | | \$38,665,544.51 |
| June-14 | 119,483,371.51 | 3,528,162.10 | 8,327.61 | 0.00 | 0.00 | (84,769,323.04) | (6,634,948.96) | (3,090,944.49) | (3,435,402.32) | (26,477,797.19) | (1,251,633.20) | 0.00 | 13,368,012.05 | | | \$10,727,824.07 |
| July-14 | | | | | | | | | | | | | | | | \$0.00 |
| August-14 | | | | | | | | | | | | | | | | \$0.00 |
| September-14 | | | | | | | | | | | | | | | | \$0.00 |
| October-14 | | | | | | | | | | | | | | | | \$0.00 |
| November-14 | | | | | | | | | | | | | | | | \$0.00 |
| December-14 | | | | | | | | | | | | | | | | \$0.00 |
| Plan Year Transactions to Date | 726,586,680.25 | 22,919,326.20 | 44,864.03 | 0.00 | 0.00 | (393,135,923.50) | (38,876,862.97) | (20,666,229.57) | (19,910,453.60) | (138,646,202.97) | (4,966,766.79) | 0.00 | 79,370,034.22 | | | \$212,718,465.30 |

1 The plan year 2014 balance includes ERPP funds transferred from the 2512 fund in the amount of \$85,687,320.76.

1

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-46

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014 Plan Years | | | | | | | | | | | | | | | |
|--|-------------------|-------------------------|-----------------|----------------|--|--------------------|-------------------|-------------------|-------------------|--------------------|----------------------------|--|------------------------------|-------------------|------------------|
| 2014 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | |
| 2013 Balance Forward | 11,132,659,611.79 | 361,082,400.22 | 25,878,814.87 | 216,646,769.23 | 121,118,824.35 | (8,071,095,320.63) | (513,878,049.63) | (83,471,333.42) | (313,942,899.23) | (2,443,804,669.42) | (73,787,290.14) | (121,118,824.35) | (79,877,138.29) | | \$156,410,895.35 |
| January-14 | 132,686,403.17 | 3,794,012.36 | 13,609.78 | 0.00 | 0.00 | (99,617,847.70) | (6,893,984.84) | (3,356,607.53) | (4,338,146.34) | (25,408,640.95) | (1,385,594.94) | 0.00 | (1,866,900.00) | | (\$6,373,696.99) |
| February-14 | 137,088,806.52 | 3,859,916.28 | 17,042.70 | 9,798,339.70 | 0.00 | (74,241,773.65) | (6,263,205.86) | (3,836,373.91) | (3,779,781.56) | (20,167,744.39) | (941,873.27) | 0.00 | 29,034,679.96 | | \$70,568,032.52 |
| March-14 | 135,626,399.02 | 3,895,292.96 | 19,406.39 | 0.00 | 0.00 | (78,904,183.37) | (6,501,743.25) | (4,122,325.44) | (4,275,247.67) | (22,934,642.86) | (859,831.00) | 0.00 | 13,368,046.88 | | \$35,311,171.66 |
| April-14 | 132,244,914.63 | 3,936,236.96 | 17,206.22 | 0.00 | 0.00 | (92,244,288.05) | (6,939,043.21) | (3,954,634.75) | (4,183,085.55) | (28,991,696.58) | (1,003,745.39) | 0.00 | 12,057,271.62 | | \$10,939,135.90 |
| May-14 | 134,951,179.38 | 3,912,530.54 | 17,601.57 | 0.00 | 0.00 | (81,139,076.52) | (6,605,291.51) | (3,305,133.24) | (3,342,775.02) | (23,818,972.77) | (760,803.54) | 0.00 | 13,309,287.96 | | \$33,218,546.85 |
| June-14 | 119,487,539.19 | 3,528,162.10 | 12,371.37 | 10,438,573.29 | 0.00 | (82,838,897.04) | (6,643,971.43) | (3,056,556.57) | (3,377,036.00) | (26,343,591.83) | (1,252,339.56) | 0.00 | 13,368,012.05 | | \$23,322,265.57 |
| July-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| August-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| September-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| October-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| November-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| December-14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | \$0.00 |
| Trust Cash Transactions to Date | 11,924,744,853.70 | 384,008,551.42 | 25,976,052.90 | 236,883,682.22 | 121,118,824.35 | (8,580,081,386.96) | (553,725,289.73) | (105,102,964.86) | (337,238,971.37) | (2,591,469,958.80) | (79,991,477.84) | (121,118,824.35) | (606,739.82) | | \$323,396,350.86 |
| Adjustments | | | | | | | | | | | | | | | \$0.00 |
| Transactions to Date | 11,924,744,853.70 | 384,008,551.42 | 25,976,052.90 | 236,883,682.22 | 121,118,824.35 | (8,580,081,386.96) | (553,725,289.73) | (105,102,964.86) | (337,238,971.37) | (2,591,469,958.80) | (79,991,477.84) | (121,118,824.35) | (606,739.82) | | \$323,396,350.86 |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-47

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013 Plan Years | | | | | | | | | | | | | | | | |
|--|-------------------|-------------------------|-----------------|----------------|--|--------------------|-------------------|-------------------|------------------|----------------------------|--|------------------|---------------------------------|-------|-------------------|--|
| 2013 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | | | | | TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S) | | | | | | TRANSFERS <u>TO</u> OTHER PLAN YEAR(S) | | OPERATING TRANSFER/EXP & ADJ | | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | | | | | CASH TRANSACTIONS | |
| 2012 Balance Forward | 9,531,556,855.83 | 314,881,499.03 | 25,653,759.73 | 181,416,030.35 | 121,118,824.35 | (6,888,434,178.20) | (441,782,866.58) | (66,030,652.47) | (271,378,092.89) | (2,100,593,804.23) | (65,650,746.25) | (121,118,824.35) | (80,174,073.69) | | \$139,463,730.63 | |
| January-13 | 134,561,382.75 | 3,905,592.88 | 18,505.33 | 0.00 | 0.00 | (101,716,615.70) | (5,813,983.54) | (1,898,881.51) | (4,457,460.57) | (31,449,370.43) | (684,780.22) | 0.00 | (2,137,959.29) | | (\$9,673,570.30) | |
| February-13 | 133,449,133.55 | 3,896,010.30 | 20,978.26 | 0.00 | 0.00 | (94,241,895.52) | (6,026,866.00) | (1,759,374.95) | (3,910,163.80) | (26,567,793.11) | (751,368.83) | 0.00 | 5,778,266.70 | | \$9,886,926.60 | |
| March-13 | 131,462,132.87 | 3,894,455.42 | 20,279.91 | 0.00 | 0.00 | (88,154,099.93) | (6,270,924.35) | (2,129,435.81) | (4,693,508.60) | (26,541,297.99) | (739,134.82) | 0.00 | 5,755,543.08 | | \$12,604,009.78 | |
| April-13 | 132,577,234.56 | 3,919,502.90 | 27,329.57 | 8,588,953.09 | 0.00 | (103,346,741.97) | (6,025,686.64) | (2,059,893.61) | (4,930,547.39) | (32,742,314.31) | (844,530.01) | 0.00 | 2,941,084.90 | | (\$1,895,608.91) | |
| May-13 | 136,896,355.22 | 4,164,015.88 | 19,456.34 | 0.00 | 0.00 | (97,570,286.18) | (6,020,841.29) | (1,551,625.02) | (3,564,261.78) | (25,068,673.91) | (705,523.40) | 0.00 | 5,731,422.62 | | \$12,330,038.48 | |
| June-13 | 118,633,393.92 | 3,295,151.23 | 16,406.53 | 9,426,572.80 | 0.00 | (82,757,188.63) | (5,807,157.33) | (1,335,917.15) | (3,351,004.44) | (26,726,681.92) | (812,246.62) | 0.00 | 5,721,704.14 | | \$16,303,032.53 | |
| July-13 | 150,891,584.68 | 4,169,930.69 | 16,396.66 | 0.00 | 0.00 | (103,851,362.85) | (6,188,854.87) | (1,494,460.27) | (3,869,054.96) | (32,293,321.48) | (685,018.07) | 0.00 | 8,673,443.42 | | \$15,369,282.95 | |
| August-13 | 133,238,985.17 | 3,763,276.15 | 19,157.21 | 0.00 | 0.00 | (107,119,868.56) | (5,970,107.92) | (1,141,975.38) | (3,044,982.95) | (25,877,647.84) | (812,881.09) | 0.00 | 0.00 | | (\$6,946,045.21) | |
| September-13 | 131,140,562.40 | 3,710,447.46 | 21,520.10 | 8,718,838.54 | 0.00 | (89,801,560.08) | (11,600,606.46) | (957,769.76) | (2,550,786.82) | (26,214,204.14) | (712,825.11) | 0.00 | (33,205.50) | | \$11,720,410.63 | |
| October-13 | 132,680,349.20 | 3,818,531.80 | 17,363.94 | 0.00 | 0.00 | (108,623,995.95) | (554,095.62) | (1,119,205.79) | (2,866,040.02) | (34,274,327.51) | (726,038.43) | 0.00 | (2,117,509.79) | | (\$13,764,968.17) | |
| November-13 | 130,274,993.03 | 3,813,602.82 | 12,192.43 | 8,496,374.45 | 0.00 | (101,961,081.32) | (5,781,719.52) | (918,495.10) | (2,792,375.11) | (27,228,157.18) | (127,275.23) | 0.00 | 0.00 | | \$3,788,059.27 | |
| December-13 | 135,296,648.61 | 3,850,383.66 | 15,468.86 | 0.00 | 0.00 | (103,516,445.74) | (6,034,339.51) | (1,073,646.60) | (2,534,619.90) | (28,227,075.37) | (534,922.06) | 0.00 | (30,015,854.88) | | (\$32,774,402.93) | |
| Trust Cash Transactions to Date | 11,132,659,611.79 | 361,082,400.22 | 25,878,814.87 | 216,646,769.23 | 121,118,824.35 | (8,071,095,320.63) | (513,878,049.63) | (83,471,333.42) | (313,942,899.23) | (2,443,804,669.42) | (73,787,290.14) | (121,118,824.35) | (79,877,138.29) | | \$156,410,895.35 | |
| Adjustments | 0.00 | | | | | | | | | | | | | | \$0.00 | |
| Transactions to Date | 11,132,659,611.79 | 361,082,400.22 | 25,878,814.87 | 216,646,769.23 | 121,118,824.35 | (8,071,095,320.63) | (513,878,049.63) | (83,471,333.42) | (313,942,899.23) | (2,443,804,669.42) | (73,787,290.14) | (121,118,824.35) | (79,877,138.29) | | \$156,410,895.35 | |

501 The July balance of \$8,673,443.42 includes an EERP funds transfer from the 2512 fund to the 2500 fund in the amount of \$11,418,813.42.

501 The December balance of (30,015,854.88) includes an EERP funds transfer from the 2500 fund to the 2512 fund in the amount of \$30,015,849.88.

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

500 HB 265 plan year transfers

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-48

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years | | | | | | | | | | | | | | | | |
|--|------------------|-------------------------|-----------------|----------------|--|--------------------|-------------------|-------------------|-------------------|--------------------|----------------------------|--|------------------------------|-------------------|-------------------|--|
| 2012 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2011 Balance Forward | 7,930,933,519.66 | 267,079,027.44 | 25,338,681.16 | 149,397,142.33 | 97,819,227.95 | (5,709,844,765.67) | (369,335,833.51) | (49,763,086.33) | (229,495,672.41) | (1,753,291,596.16) | (56,490,664.75) | (97,819,227.95) | (70,747,099.86) | | \$133,779,651.90 | |
| January-12 | 134,359,818.71 | 4,060,022.91 | 3,114.85 | 0.00 | 0.00 | (93,012,806.46) | (5,825,992.95) | (1,616,818.80) | (4,328,583.62) | (32,864,686.57) | (916,884.66) | 0.00 | (1,681,309.12) | | (\$1,824,125.71) | |
| February-12 | 133,831,116.77 | 4,100,115.82 | 10,106.73 | 0.00 | 0.00 | (102,024,607.71) | (6,277,459.61) | (1,561,725.59) | (4,024,450.62) | (19,715,587.61) | (786,179.91) | 0.00 | (650,000.00) | | \$2,901,328.27 | |
| March-12 | 135,780,512.95 | 4,049,823.20 | 2,763.10 | 8,326,710.96 | 0.00 | (93,097,721.87) | (5,994,792.56) | (1,912,341.77) | (4,464,402.85) | (33,220,338.92) | (898,082.89) | 0.00 | (400,000.00) | | \$8,172,129.35 | |
| April-12 | 121,024,982.64 | 3,947,101.33 | 13,194.60 | 0.00 | 0.00 | (101,890,879.51) | (6,046,635.37) | (1,734,697.60) | (4,163,249.56) | (26,055,949.70) | (750,864.72) | 0.00 | (2,579,500.00) | | (\$18,236,497.89) | |
| May-12 | 131,228,269.78 | 4,051,460.97 | 116,962.98 | 0.00 | 0.00 | (97,239,674.30) | (6,087,589.74) | (1,574,867.36) | (3,588,198.82) | (32,737,839.73) | (625,946.00) | 0.00 | 0.00 | | (\$6,457,422.22) | |
| June-12 | 123,685,190.46 | 2,160,377.56 | 24,864.41 | 7,711,686.20 | 22,721,775.75 | (95,724,960.94) | (6,069,373.82) | (1,404,855.49) | (3,733,969.81) | (27,170,861.58) | (243,128.09) | (22,721,775.75) | (300,000.00) | | (\$1,065,031.10) | |
| July-12 | 158,805,426.96 | 2,582,010.48 | 24,144.64 | 0.00 | 364,837.81 | (105,445,394.78) | (5,770,981.74) | (1,448,117.58) | (3,982,814.05) | (33,229,655.54) | (1,127,149.75) | (364,837.81) | (2,075,000.00) | | \$8,332,468.64 | |
| August-12 | 134,739,317.38 | 3,938,126.10 | 28,384.98 | 0.00 | 212,573.26 | (106,956,149.48) | (5,908,046.80) | (1,210,002.21) | (3,195,675.33) | (26,268,654.85) | (843,436.96) | (212,573.26) | 800,000.00 | | (\$4,876,137.17) | |
| September-12 | 73,632,694.32 | 3,905,917.68 | 20,677.07 | 8,040,437.96 | 409.58 | (84,803,011.00) | (6,299,562.51) | (870,840.82) | (2,393,699.06) | (26,421,456.75) | (593,559.95) | (409.58) | (115,000.00) | | (\$35,897,403.06) | |
| October-12 | 189,639,264.02 | 7,029,503.50 | 21,216.67 | 0.00 | 0.00 | (107,430,419.92) | (5,762,839.68) | (1,031,776.76) | (2,764,015.64) | (33,495,540.48) | (724,952.91) | 0.00 | (2,092,895.54) | | \$43,387,543.26 | |
| November-12 | 132,132,212.17 | 3,991,012.86 | 27,630.70 | 0.00 | 0.00 | (104,811,752.26) | (5,775,468.47) | (970,701.46) | (2,726,951.19) | (26,386,348.69) | 0.00 | 0.00 | (290,104.00) | | (\$4,810,470.34) | |
| December-12 | 131,764,530.01 | 3,986,999.18 | 22,017.84 | 7,940,052.90 | 0.00 | (86,152,034.30) | (6,628,289.82) | (930,820.70) | (2,516,409.93) | (29,735,287.65) | (1,649,895.66) | 0.00 | (43,165.17) | | \$16,057,696.70 | |
| Trust Cash Transactions to Date | 9,531,556,855.83 | 314,881,499.03 | 25,653,759.73 | 181,416,030.35 | 121,118,824.35 | (6,888,434,178.20) | (441,782,866.58) | (66,030,652.47) | (271,378,092.89) | (2,100,593,804.23) | (65,650,746.25) | (121,118,824.35) | (80,174,073.69) | | \$139,463,730.63 | |
| Adjustments | 0.00 | | | | | | | | | | | | | | \$0.00 | |
| Total Adjusted Transactions to Date | 9,531,556,855.83 | 314,881,499.03 | 25,653,759.73 | 181,416,030.35 | 121,118,824.35 | (6,888,434,178.20) | (441,782,866.58) | (66,030,652.47) | (271,378,092.89) | (2,100,593,804.23) | (65,650,746.25) | (121,118,824.35) | (80,174,073.69) | | \$139,463,730.63 | |

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

500 HB 265 plan year transfers

1 Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012. If this payment had been included in the September 2012 health insurance premiums the 2012 plan year ending balance would have been positive \$33,599,492.47.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-49

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years | | | | | | | | | | | | | | | | |
|--|------------------|-------------------------|-----------------|----------------|--|--------------------|-------------------|-------------------|------------------|----------------------------|--|------------------------------|-----------------|-------------------|--|--|
| 2011 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | | | | | TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | | | | | | TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | | | | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | | OPERATING TRANSFER/EXP & ADJ | | CASH TRANSACTIONS | | |
| 2010 Balance Forward | 6,341,813,915.56 | 218,880,760.35 | 25,049,800.77 | 119,377,840.18 | 97,819,227.95 | (4,593,357,113.98) | (298,022,916.53) | (33,577,601.34) | (186,653,915.30) | (1,417,844,039.61) | (46,101,896.03) | (97,819,227.95) | (61,181,456.71) | \$68,383,377.36 | | |
| January-11 | 104,584,502.27 | 4,150,040.84 | 21,178.18 | 0.00 | 0.00 | (75,999,263.51) | (6,247,427.64) | (1,638,566.78) | (4,777,290.11) | (25,399,397.99) | (971,875.51) | 0.00 | (1,506,150.00) | (\$7,784,250.25) | | |
| February-11 | 134,884,734.96 | 742,108.00 | 30,959.07 | 7,318,750.55 | 0.00 | (80,774,396.21) | (5,708,279.82) | (1,506,692.34) | (3,900,833.10) | (24,031,689.18) | (1,018,114.64) | 0.00 | 0.00 | \$26,036,547.29 | | |
| March-11 | 215,970,657.90 | 7,560,521.66 | 13,833.95 | 0.00 | 0.00 | (104,449,207.46) | (5,804,744.75) | (2,053,495.76) | (5,161,703.53) | (31,809,425.67) | (711,618.54) | 0.00 | 0.00 | \$73,554,817.80 | | |
| April-11 | 57,773,173.95 | 377,390.86 | 26,046.76 | 0.00 | 0.00 | (87,232,875.89) | (5,942,857.59) | (1,685,499.51) | (4,155,235.80) | (24,906,293.57) | (825,034.49) | 0.00 | (1,475,000.00) | (\$68,046,185.28) | | |
| May-11 | 148,898,494.25 | 6,828,465.22 | 32,466.25 | 0.00 | 0.00 | (92,403,747.19) | (5,995,254.14) | (1,689,645.13) | (3,353,570.33) | (30,442,527.33) | (936,978.70) | 0.00 | (1,060,000.00) | \$19,877,702.90 | | |
| June-11 | 135,195,259.75 | 4,147,240.50 | 92,986.05 | 6,603,193.58 | 0.00 | (99,154,069.14) | (6,148,364.56) | (1,332,730.89) | (4,231,988.59) | (25,443,584.48) | (657,735.45) | 0.00 | (2,262,825.00) | \$6,807,381.77 | | |
| July-11 | 129,467,603.18 | 4,055,818.00 | 0.00 | 0.00 | 0.00 | (92,105,282.62) | (5,691,468.70) | (1,288,772.73) | (3,558,196.47) | (25,072,615.58) | (1,054,056.11) | 0.00 | (1,446,700.00) | \$3,306,328.97 | | |
| August-11 | 128,828,423.04 | 4,015,463.08 | 51,947.57 | 0.00 | 0.00 | (104,589,978.91) | (5,906,767.25) | (1,252,118.61) | (3,536,059.99) | (31,854,471.10) | (784,412.56) | 0.00 | 505.45 | (\$15,027,469.28) | | |
| September-11 | 128,256,271.07 | 3,985,826.55 | (37,632.37) | 8,172,233.15 | 0.00 | (95,702,173.11) | (5,839,009.41) | (915,062.67) | (2,580,066.76) | (27,076,530.36) | (922,651.44) | 0.00 | (722,373.60) | \$6,618,831.05 | | |
| October-11 | 142,233,173.19 | 4,088,693.16 | 15,830.99 | 0.00 | 0.00 | (92,141,470.81) | (6,026,716.60) | (917,099.48) | (2,345,378.82) | (27,684,350.74) | (830,216.34) | 0.00 | (1,446,800.00) | \$14,945,664.55 | | |
| November-11 | 128,470,686.55 | 4,132,176.42 | 22,960.29 | 0.00 | 0.00 | (97,700,693.63) | (6,270,748.75) | (1,123,295.88) | (2,350,943.96) | (33,686,533.43) | (678,723.69) | 0.00 | 653,700.00 | (\$8,531,416.08) | | |
| December-11 | 134,556,623.99 | 4,114,522.80 | 18,303.65 | 7,925,124.87 | 0.00 | (94,234,493.21) | (5,731,277.77) | (782,505.21) | (2,890,489.65) | (28,040,137.12) | (997,351.25) | 0.00 | (300,000.00) | \$13,638,321.10 | | |
| Trust Cash Transactions to Date | 7,930,933,519.66 | 267,079,027.44 | 25,338,681.16 | 149,397,142.33 | 97,819,227.95 | (5,709,844,765.67) | (369,335,833.51) | (49,763,086.33) | (229,495,672.41) | (1,753,291,596.16) | (56,490,664.75) | (97,819,227.95) | (70,747,099.86) | \$133,779,651.90 | | |
| Adjustments | 0.00 | | | | | | | | | | | | | \$0.00 | | |
| Total Adjusted Transactions to Date | 7,930,933,519.66 | 267,079,027.44 | 25,338,681.16 | 149,397,142.33 | 97,819,227.95 | (5,709,844,765.67) | (369,335,833.51) | (49,763,086.33) | (229,495,672.41) | (1,753,291,596.16) | (56,490,664.75) | (97,819,227.95) | (70,747,099.86) | \$133,779,651.90 | | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-50

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years | | | | | | | | | | | | | | | | |
|--|------------------|-------------------------|-----------------|----------------|--|--------------------|-------------------|-------------------|--|----------------------------|-----------------|-----------------|------------------------------|-------------------|--|--|
| 2010 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | | | | | TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S) | | | | TRANSFERS <u>TO</u> OTHER PLAN YEAR(S) | | | | | | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | | | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2009 Balance Forward | 4,809,173,361.77 | 168,665,147.97 | 24,913,376.37 | 88,653,314.52 | 97,819,227.95 | (3,496,039,906.15) | (229,908,712.21) | (18,771,218.87) | (139,858,938.15) | (1,082,090,305.62) | (35,393,066.01) | (97,819,227.95) | (55,011,478.14) | \$34,331,575.48 | | |
| January-10 | 95,747,358.57 | 4,244,832.31 | 26,531.51 | 0.00 | 0.00 | (76,941,840.82) | (5,736,162.63) | (1,256,878.21) | (4,543,397.17) | (24,639,836.75) | (1,072,953.74) | 0.00 | (1,275,000.00) | (\$15,447,346.93) | | |
| February-10 | 127,169,263.89 | 4,212,765.81 | 5,814.26 | 8,532,678.01 | 0.00 | (76,818,834.89) | (5,523,329.15) | (1,334,097.78) | (4,478,631.31) | (25,059,247.87) | (816,450.76) | 0.00 | 0.00 | \$25,889,930.21 | | |
| March-10 | 130,575,690.89 | 4,263,342.97 | 807.13 | 0.00 | 0.00 | (88,966,839.89) | (6,131,669.29) | (1,725,032.27) | (5,319,247.14) | (32,287,296.46) | (838,104.26) | 0.00 | (54,178.15) | (\$482,526.47) | | |
| April-10 | 129,273,592.71 | 4,258,676.92 | 5,799.92 | 0.00 | 0.00 | (88,897,668.64) | (5,481,061.88) | (1,621,968.15) | (4,591,699.98) | (25,746,221.30) | (918,467.39) | 0.00 | (1,413,911.17) | \$4,867,071.04 | | |
| May-10 | 129,181,824.92 | 4,260,752.26 | 259.48 | 7,937,042.03 | 0.00 | (94,629,538.08) | (5,755,724.02) | (1,220,751.07) | (3,677,149.33) | (25,989,347.02) | (835,137.51) | 0.00 | (83,745.11) | \$9,188,486.55 | | |
| June-10 | 158,711,733.40 | 4,183,982.11 | 1,684.19 | 0.00 | 0.00 | (102,236,620.07) | (5,629,480.75) | (1,351,564.74) | (4,197,077.16) | (32,506,663.48) | (846,828.84) | 0.00 | (55,288.36) | \$16,073,876.30 | | |
| July-10 | 123,373,925.07 | 4,161,587.50 | 0.00 | 0.00 | 0.00 | (93,401,709.89) | (5,422,525.77) | (1,259,742.60) | (4,327,901.78) | (25,453,504.91) | (1,070,776.85) | 0.00 | (1,548,680.08) | (\$4,949,329.31) | | |
| August-10 | 103,046,413.80 | 4,120,287.50 | 20,505.77 | 0.00 | 0.00 | (98,142,631.72) | (5,654,685.52) | (1,136,894.43) | (3,720,034.08) | (31,969,635.82) | (807,174.97) | 0.00 | (52,416.36) | (\$34,296,265.83) | | |
| September-10 | 102,582,008.02 | 4,036,812.50 | 14,991.93 | 7,000,703.32 | 0.00 | (82,106,239.82) | (5,417,936.87) | (829,621.91) | (2,898,271.60) | (25,761,834.96) | (957,399.46) | 0.00 | (111,660.00) | (\$4,448,448.85) | | |
| October-10 | 149,611,237.78 | 4,157,562.50 | 25,031.62 | 0.00 | 0.00 | (95,822,317.38) | (5,796,657.02) | (911,986.60) | (2,768,450.36) | (25,541,240.85) | (689,746.75) | 0.00 | (1,495,919.34) | \$20,767,513.60 | | |
| November-10 | 128,699,776.48 | 4,139,217.50 | 11,791.58 | 7,254,102.30 | 0.00 | (93,646,788.11) | (6,053,783.68) | (1,058,119.04) | (3,105,019.01) | (33,770,560.81) | (1,005,581.09) | 0.00 | (48,900.00) | \$1,416,136.12 | | |
| December-10 | 154,667,125.73 | 4,175,792.50 | 23,207.01 | 0.00 | 0.00 | (105,706,178.52) | (5,511,187.74) | (1,099,725.67) | (3,168,098.23) | (27,028,343.76) | (850,208.40) | 0.00 | (30,280.00) | \$15,472,102.92 | | |
| Trust Cash Transactions to Date | 6,341,813,313.03 | 218,880,760.35 | 25,049,800.77 | 119,377,840.18 | 97,819,227.95 | (4,593,357,113.98) | (298,022,916.53) | (33,577,601.34) | (186,653,915.30) | (1,417,844,039.61) | (46,101,896.03) | (97,819,227.95) | (61,181,456.71) | \$68,382,774.83 | | |
| Adjustments | 0.00 | | | | | | | | | | | | | \$0.00 | | |
| Total Adjusted Transactions to Date | 6,341,813,313.03 | 218,880,760.35 | 25,049,800.77 | 119,377,840.18 | 97,819,227.95 | (4,593,357,113.98) | (298,022,916.53) | (33,577,601.34) | (186,653,915.30) | (1,417,844,039.61) | (46,101,896.03) | (97,819,227.95) | (61,181,456.71) | \$68,382,774.83 | | |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-51

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years | | | | | | | | | | | | | | | | |
|--|--------------------|-------------------------|-----------------|-----------------|--|----------------------|--------------------|-------------------|--------------------|----------------------------|--|-------------------|---------------------------------|-------|-------------------|--|
| 2009 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | | | | | TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S) | | | | | | TRANSFERS <u>TO</u> OTHER PLAN YEAR(S) | | OTHER PAYMENTS & ADJUSTMENTS | | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | | | | | CASH TRANSACTIONS | |
| 2008 Balance Forward | 3,472,792,844.21 | 115,135,107.78 | 24,140,976.97 | 60,564,167.87 | 97,819,227.95 | (2,470,684,506.30) | (165,326,919.20) | (6,911,506.84) | (91,837,975.93) | (775,304,308.60) | (25,568,132.84) | (97,819,227.95) | 274,818.21 | | \$137,274,565.33 | |
| January-09 | 68,255,311.19 | 798,573.84 | 140,780.24 | 0.00 | 0.00 | (85,452,148.81) | (5,043,705.90) | (827,116.31) | (4,971,264.87) | (22,287,745.83) | (833,298.77) | 0.00 | 0.00 | | (\$50,220,615.22) | |
| February-09 | 116,138,197.11 | 4,340,347.32 | 60,540.29 | 0.00 | 0.00 | (75,791,722.45) | (5,737,676.40) | (952,705.95) | (4,405,562.79) | (22,275,797.48) | (778,021.73) | 0.00 | (1,275,000.00) | | \$9,322,597.92 | |
| March-09 | 118,008,223.40 | 8,311,362.50 | 33,073.20 | 7,055,831.33 | 0.00 | (83,925,434.88) | (5,295,688.92) | (1,350,494.55) | (5,277,307.12) | (29,633,621.51) | (819,180.11) | 0.00 | 0.00 | | \$7,106,763.34 | |
| April-09 | 113,434,068.66 | 814,290.99 | 28,726.97 | 0.00 | 0.00 | (78,925,136.73) | (5,169,296.47) | (1,165,473.69) | (4,524,767.00) | (22,854,392.81) | (788,640.01) | 0.00 | (1,275,000.00) | | (\$425,620.09) | |
| May-09 | 115,734,630.50 | 8,267,882.13 | 31,360.93 | 0.00 | 0.00 | (86,759,658.54) | (5,330,325.18) | (1,055,767.92) | (3,504,732.56) | (22,721,210.56) | (653,814.20) | 0.00 | 0.00 | | \$4,008,364.60 | |
| June-09 | 125,205,000.97 | 4,512,349.70 | 306,950.33 | 7,295,841.15 | 0.00 | (85,219,714.41) | (5,437,416.06) | (1,180,022.66) | (4,669,317.04) | (29,189,575.56) | (1,037,960.90) | 0.00 | (50,000,000.00) | | (\$39,413,864.48) | |
| July-09 | 109,589,613.92 | 4,454,417.06 | 4,841.37 | 590.82 | 0.00 | (95,563,010.91) | (5,302,867.73) | (934,924.26) | (4,403,754.20) | (22,790,148.22) | (763,242.58) | 0.00 | (1,273,348.65) | | (\$16,981,833.38) | |
| August-09 | 111,338,708.15 | 4,404,735.89 | 35,824.83 | 0.00 | 0.00 | (86,055,444.88) | (5,143,301.79) | (874,960.75) | (3,690,000.08) | (23,380,653.93) | (762,612.57) | 0.00 | 0.00 | | (\$4,127,705.13) | |
| September-09 | 116,578,824.89 | 4,346,377.77 | 35,320.61 | 7,023,397.82 | 0.00 | (84,858,803.83) | (5,505,684.21) | (940,144.54) | (3,290,411.20) | (30,060,597.42) | (927,184.46) | 0.00 | 0.00 | | \$2,401,095.43 | |
| October-09 | 114,644,530.40 | 4,470,702.84 | 9,021.72 | 0.00 | 0.00 | (90,344,330.41) | (5,554,309.19) | (909,795.64) | (3,058,569.14) | (25,090,015.64) | (958,703.20) | 0.00 | (1,275,000.00) | | (\$8,066,468.26) | |
| November-09 | 116,247,533.00 | 4,403,589.15 | 0.00 | 0.00 | 0.00 | (74,273,298.27) | (5,216,766.28) | (830,231.35) | (2,801,499.55) | (25,783,129.31) | (681,386.56) | 0.00 | 0.00 | | \$11,064,810.83 | |
| December-09 | 142,204,710.76 | 4,405,411.00 | 85,958.91 | 6,713,485.53 | 0.00 | (98,186,695.73) | (5,844,754.88) | (838,074.41) | (3,423,776.67) | (30,719,108.75) | (820,888.08) | 0.00 | (187,947.70) | | \$13,388,319.98 | |
| Trust Cash Transactions to Date | 4,840,172,197.16 | 168,665,147.97 | 24,913,376.37 | 88,653,314.52 | 97,819,227.95 | (3,496,039,906.15) | (229,908,712.21) | (18,771,218.87) | (139,858,938.15) | (1,082,090,305.62) | (35,393,066.01) | (97,819,227.95) | (55,011,478.14) | | \$65,330,410.87 | |
| Adjustments | 0.00 | | | | | | | | | | | | | | \$0.00 | |
| Total Adjusted Transactions to Date | \$4,840,172,197.16 | \$168,665,147.97 | \$24,913,376.37 | \$88,653,314.52 | \$97,819,227.95 | (\$3,496,039,906.15) | (\$229,908,712.21) | (\$18,771,218.87) | (\$139,858,938.15) | (\$1,082,090,305.62) | (\$35,393,066.01) | (\$97,819,227.95) | (\$55,011,478.14) | | \$65,330,410.87 | |

* 101 JAN09 The drop in the fund balance is partially attributable to the change in the timing of payments.

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-52

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years | | | | | | | | | | | | | | | | |
|--|--|-------------------------|-----------------|-----------------|-----------------|----------------------|--------------------|-------------------|-------------------|--------------------|----------------------------|-------------------|--|------------------------------|-------------------|--|
| 2008 | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S) | | | | | | | | | | | | | | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | | TRANSFERS <u>TO</u> OTHER PLAN YEAR(S) | OTHER PAYMENTS & ADJUSTMENTS | CASH TRANSACTIONS | |
| 2007 Balance Forward | 2,244,282,233.46 | 58,856,749.55 | 18,182,114.96 | 34,631,858.72 | 0.00 | (1,539,279,781.73) | (103,798,076.92) | (2,806,678.62) | (42,679,505.27) | (474,493,199.66) | (16,355,810.48) | 0.00 | 5,130,694.38 | \$181,670,598.39 | | |
| January-08 | 102,988,425.84 | 853,335.50 | 827,145.06 | 0.00 | 658,356.10 | (76,334,055.51) | (4,842,532.38) | (224,755.24) | (4,432,702.53) | (26,272,430.60) | (702,681.93) | (658,356.10) | 92,763.42 | (\$8,047,488.37) | | |
| February-08 | 100,542,297.69 | 8,725,938.57 | 960,108.01 | 0.00 | 657,763.84 | (78,532,581.12) | (5,042,452.80) | (332,257.72) | (4,795,436.79) | (21,934,249.43) | (887,925.79) | (657,763.84) | 0.00 | (\$1,296,559.38) | | |
| March-08 | 100,877,753.28 | 4,754,701.92 | 768,656.51 | 5,958,195.90 | 56,102,467.13 | (61,065,091.32) | (5,005,078.31) | (752,708.54) | (5,178,186.16) | (29,345,300.60) | (733,323.17) | (56,102,467.13) | 0.00 | \$10,279,619.51 | | |
| April-08 | 101,659,637.37 | 4,782,116.07 | 712,576.54 | 0.00 | 1,850,662.56 | (75,176,414.95) | (5,255,305.52) | (372,544.32) | (5,407,822.66) | (26,637,527.83) | (710,692.12) | (1,850,662.56) | (2,000,000.00) | (\$8,405,977.42) | | |
| May-08 | 105,355,954.92 | 862,281.74 | 431,392.98 | 0.00 | (3,952,389.72) | (77,032,608.33) | (5,258,400.11) | (447,786.33) | (4,101,182.55) | (20,782,280.92) | (817,954.72) | 3,952,389.72 | (400,000.00) | (\$2,190,583.32) | | |
| June-08 | 104,591,289.33 | 8,626,127.26 | 431,326.45 | 6,236,447.62 | 42,502,368.04 | (72,924,805.10) | (4,897,212.47) | (530,844.59) | (4,336,420.94) | (21,929,235.31) | (710,486.54) | (42,502,368.04) | 0.00 | \$14,556,185.71 | | |
| July-08 | 103,059,405.26 | 4,587,429.00 | 74,536.00 | 0.00 | 0.00 | (80,311,179.56) | (5,286,315.21) | (338,888.30) | (4,367,019.10) | (26,346,891.24) | (551,133.34) | 0.00 | 1,360.41 | (\$9,478,696.08) | | |
| August-08 | 139,548,424.17 | 4,499,127.32 | 428,331.61 | 0.00 | 0.00 | (91,149,999.59) | (4,987,877.31) | (239,307.16) | (3,622,981.74) | (21,864,402.52) | (929,650.41) | 0.00 | (1,275,000.00) | \$20,406,664.37 | | |
| September-08 | 64,929,533.04 | 812,931.18 | 491,797.55 | 6,912,622.35 | 0.00 | (80,524,433.21) | (5,162,711.50) | (216,606.94) | (3,327,303.63) | (27,982,388.81) | (863,456.25) | 0.00 | 0.00 | (\$44,930,016.22) | | |
| October-08 | 60,335,714.07 | 8,348,674.55 | 290,759.55 | 0.00 | 0.00 | (79,780,992.95) | (5,303,864.33) | (195,901.14) | (3,085,633.12) | (23,248,694.56) | (707,152.51) | 0.00 | 0.00 | (\$43,347,090.44) | | |
| November-08 | 144,083,073.47 | 822,852.19 | 287,641.38 | 0.00 | 0.00 | (72,635,398.45) | (5,395,995.18) | (219,870.06) | (3,142,308.02) | (24,572,795.46) | (866,358.11) | 0.00 | (1,275,000.00) | \$37,085,841.76 | | |
| December-08 | 69,540,266.92 | 8,602,842.93 | 254,590.37 | 6,825,043.28 | 0.00 | (85,937,164.48) | (5,091,097.16) | (233,357.88) | (3,361,473.42) | (29,894,911.66) | (731,507.47) | 0.00 | 0.00 | (\$40,026,768.57) | | |
| Trust Cash Transactions to Date | 3,441,794,008.82 | 115,135,107.78 | 24,140,976.97 | 60,564,167.87 | 97,819,227.95 | (2,470,684,506.30) | (165,326,919.20) | (6,911,506.84) | (91,837,975.93) | (775,304,308.60) | (25,568,132.84) | (97,819,227.95) | 274,818.21 | \$106,275,729.94 | | |
| Adjustments | 0.00 | | | | | | | | | | | | | \$0.00 | | |
| Total Adjusted Transactions to Date | \$3,441,794,008.82 | \$115,135,107.78 | \$24,140,976.97 | \$60,564,167.87 | \$97,819,227.95 | (\$2,470,684,506.30) | (\$165,326,919.20) | (\$6,911,506.84) | (\$91,837,975.93) | (\$775,304,308.60) | (\$25,568,132.84) | (\$97,819,227.95) | \$274,818.21 | \$106,275,729.94 | | |

| | |
|-----------------------|--|
| 101 PREMIUM | The drop in premium payments between August premiums of \$139M and September premiums of \$64M was caused by KDE paying 2 months of the Boards of Educations' premiums in August and none in September. The October payment of \$41,820,165.63 was received on 11/10/2008. |
| 102 WAIVER CONTRIB | The September drop in the HRA Waiver contributions occurred because the September Board of Educations' payment of \$3,697,487.50 was not received until 10/02/2008. |

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-53

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years | | | | | | | | | | | | | | | | |
|--|--------------------|-------------------------|-----------------|-----------------|---|----------------------|--------------------|-------------------|-------------------|----------------------------|------------------------------|---|----------------|-------|-------------------|--|
| 2007 | 101 | 102 | 110 | 120 | 130 TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | 201 | 202 | 203 | 204 | 301 | 302 | 500 TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | OTHER PAYMENTS & ADJUSTMENTS | CASH TRANSACTIONS | | | | |
| 2006 Balance Forward | 1,101,509,965.08 | 0.00 | 6,705,247.06 | 11,813,426.09 | 0.00 | (696,060,326.39) | (51,029,179.63) | 0.00 | 0.00 | (218,657,413.99) | (7,555,888.27) | 0.00 | 0.00 | | \$146,725,829.95 | |
| January-07 | 96,460,532.11 | 890,337.50 | 613,850.54 | 0.00 | 0.00 | (44,717,495.18) | (4,606,277.93) | (70,023.79) | (1,638,005.91) | (23,803,783.10) | (817,818.71) | 0.00 | 6,806,011.40 | | \$29,117,326.93 | |
| February-07 | 94,757,241.81 | 7,028,187.50 | 729,554.18 | 0.00 | 0.00 | (76,297,980.20) | (4,508,865.17) | (182,325.14) | (4,371,073.41) | (19,224,461.40) | (843,930.89) | 0.00 | 100,010.73 | | (\$2,813,641.99) | |
| March-07 | 92,623,952.19 | 4,994,500.00 | 1,095,184.33 | 5,614,413.50 | 0.00 | (73,051,261.94) | (4,814,764.49) | (539,111.13) | (4,290,599.88) | (19,795,242.95) | (717,137.89) | 0.00 | 59,956.22 | | \$1,179,887.96 | |
| April-07 | 95,130,655.61 | 2,953,650.00 | 860,324.93 | 0.00 | 0.00 | (65,053,599.07) | (4,424,821.23) | (207,874.13) | (4,768,571.76) | (19,223,135.89) | (677,520.52) | 0.00 | 0.00 | | \$4,589,107.94 | |
| May-07 | 95,705,980.42 | 9,051,033.88 | 1,002,677.40 | 0.00 | 0.00 | (69,857,566.45) | (543,472.58) | (547,762.85) | (4,157,130.61) | (23,544,640.97) | (800,875.14) | 0.00 | 0.00 | | \$6,308,243.10 | |
| June-07 | 96,003,496.30 | 4,913,354.62 | 1,869,413.02 | 5,136,289.06 | 0.00 | (78,361,628.09) | (4,613,355.82) | (184,726.50) | (4,470,479.22) | (19,667,856.25) | (695,111.09) | 0.00 | 157,230.00 | | \$86,626.03 | |
| July-07 | 94,065,173.34 | 4,820,107.23 | 0.00 | 0.00 | 0.00 | (76,161,323.62) | (99,766.85) | (354,933.71) | (3,998,535.11) | (19,295,360.80) | (501,690.58) | 0.00 | 0.00 | | (\$1,526,330.10) | |
| August-07 | 92,807,741.42 | 892,664.70 | 958,404.82 | 6,127,725.00 | 0.00 | (77,686,845.13) | (9,397,503.94) | (163,086.56) | (3,859,291.89) | (24,481,631.29) | (802,063.98) | 0.00 | 0.00 | | (\$15,603,886.85) | |
| September-07 | 94,858,022.07 | 8,625,244.17 | 971,848.00 | 0.00 | 0.00 | (59,795,885.17) | (4,640,244.18) | (137,420.21) | (2,730,049.63) | (19,969,297.61) | (690,530.78) | 0.00 | 0.00 | | \$16,491,686.66 | |
| October-07 | 136,543,983.44 | 4,920,047.56 | 1,355,373.53 | 0.00 | 0.00 | (79,483,837.47) | (4,771,304.14) | (152,300.13) | (3,237,230.54) | (25,419,024.04) | (694,700.18) | 0.00 | (25,157.96) | | \$29,035,850.07 | |
| November-07 | 57,524,524.06 | 875,086.46 | 0.00 | 0.00 | 0.00 | (76,763,454.19) | (5,374,281.49) | (169,977.44) | (2,540,207.78) | (20,369,526.00) | (712,161.99) | 0.00 | 7,486.03 | | (\$47,522,512.34) | |
| December-07 | 96,290,965.61 | 8,892,535.93 | 2,020,237.15 | 5,940,005.07 | 0.00 | (65,988,578.83) | (4,974,239.47) | (97,137.03) | (2,618,329.53) | (21,041,825.37) | (846,380.46) | 0.00 | (1,974,842.04) | | \$15,602,411.03 | |
| Trust Cash Transactions to Date | 2,244,282,233.46 | 58,856,749.55 | 18,182,114.96 | 34,631,858.72 | 0.00 | (1,539,279,781.73) | (103,798,076.92) | (2,806,678.62) | (42,679,505.27) | (474,493,199.66) | (16,355,810.48) | 0.00 | 5,130,694.38 | | \$181,670,598.39 | |
| Adjustments | 0.00 | | | | | | | | | | | | | | \$0.00 | |
| Total Adjusted Transactions to Date | \$2,244,282,233.46 | \$58,856,749.55 | \$18,182,114.96 | \$34,631,858.72 | \$0.00 | (\$1,539,279,781.73) | (\$103,798,076.92) | (\$2,806,678.62) | (\$42,679,505.27) | (\$474,493,199.66) | (\$16,355,810.48) | \$0.00 | \$5,130,694.38 | | \$181,670,598.39 | |

There was reduced cash activity for August due to the following:

- 1) Humana invoiced both the July and August ASO fees in August due to delays in billing related to the change in ASO fees on July 01,2007.
- 2) KDE delayed payment of their August HRA contributions until September
- 3) There was an increase in claim expense in August (ESI bills weekly, there were 5 weekly invoices in August compared to 4 invoices in a typical month).

**

September's cash activity is high due to:

- 1) KDE sending two months of HRA contributions (August and September)
- 2) Lower claim expense (received claim recovery from Humana for Feb. - Jun. 07).

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-54

| Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years | | | | | | | | | | | | | | | | |
|--|--------------------|-------------------------|-----------------|-----------------|---|--------------------|-------------------|-------------------|-----------------|----------------------------|------------------------------|---|--------|--------|-------------------|--|
| 2006 | 101 | 102 | 110 | 120 | 130 TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S) | 201 | 202 | 203 | 204 | 301 | 302 | 500 TRANSFERS <i>TO</i> OTHER PLAN YEAR(S) | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | OTHER PAYMENTS & ADJUSTMENTS | CASH TRANSACTIONS | | | | |
| December-05 | 12,109,520.87 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | \$12,109,520.87 | |
| January-06 | 58,717,052.66 | 0.00 | 0.00 | 0.00 | 0.00 | (5,858,910.97) | 0.00 | 0.00 | 0.00 | (4,355,323.50) | 0.00 | 0.00 | 0.00 | 0.00 | \$48,502,818.19 | |
| February-06 | 95,279,715.21 | 0.00 | 0.00 | 0.00 | 0.00 | (33,608,116.12) | 0.00 | 0.00 | 0.00 | (27,222,136.63) | 0.00 | 0.00 | 0.00 | 0.00 | \$34,449,462.46 | |
| March-06 | 96,203,652.90 | 0.00 | 479,416.24 | 0.00 | 0.00 | (55,143,313.46) | (8,450,597.05) | 0.00 | 0.00 | (22,689,138.39) | 0.00 | 0.00 | 0.00 | 0.00 | \$10,400,020.24 | |
| April-06 | 95,713,661.72 | 0.00 | 363,022.39 | 0.00 | 0.00 | (49,234,826.19) | (4,245,852.36) | 0.00 | 0.00 | (15,725,632.15) | (2,123,741.31) | 0.00 | 0.00 | 0.00 | \$24,746,632.10 | |
| May-06 | 96,477,472.44 | 0.00 | 433,688.68 | 0.00 | 0.00 | (59,192,900.58) | (4,237,553.40) | 0.00 | 0.00 | (21,614,764.42) | (505,455.83) | 0.00 | 0.00 | 0.00 | \$11,360,486.89 | |
| June-06 | 75,715,144.70 | 0.00 | 1,309,327.87 | 0.00 | 0.00 | (66,179,114.23) | (8,434,079.16) | 0.00 | 0.00 | (17,722,866.45) | (859,244.32) | 0.00 | 0.00 | 0.00 | (\$16,170,831.59) | |
| July-06 | 106,607,010.35 | 0.00 | 0.00 | 0.00 | 0.00 | (62,801,570.87) | (4,262,691.48) | 0.00 | 0.00 | (16,921,364.87) | (641,012.65) | 0.00 | 0.00 | 0.00 | \$21,980,370.48 | |
| August-06 | 87,156,882.23 | 0.00 | 377,971.98 | 0.00 | 0.00 | (73,872,918.18) | (4,112,992.68) | 0.00 | 0.00 | (17,456,752.65) | (746,088.15) | 0.00 | 0.00 | 0.00 | (\$8,653,897.45) | |
| September-06 | 84,428,262.67 | 0.00 | 1,577,522.63 | 5,799,613.36 | 0.00 | (68,916,143.27) | (4,229,309.40) | 0.00 | 0.00 | (14,830,311.45) | (653,915.94) | 0.00 | 0.00 | 0.00 | \$3,175,718.60 | |
| October-06 | 90,247,993.08 | 0.00 | 646,707.29 | 0.00 | 0.00 | (65,259,252.33) | (4,243,928.76) | 0.00 | 0.00 | (22,693,132.66) | (657,804.47) | 0.00 | 0.00 | 0.00 | (\$1,959,417.85) | |
| November-06 | 88,348,883.51 | 0.00 | 720,536.99 | 0.00 | 0.00 | (62,337,283.05) | (4,504,712.82) | 0.00 | 0.00 | (18,218,171.50) | (799,688.56) | 0.00 | 0.00 | 0.00 | \$3,209,564.57 | |
| December-06 | 85,532,174.21 | 0.00 | 797,052.99 | 6,013,812.73 | 0.00 | (64,828,977.14) | (4,307,462.52) | 0.00 | 0.00 | (19,207,819.32) | (568,937.04) | 0.00 | 0.00 | 0.00 | \$3,429,843.91 | |
| Plan Year Transactions to Date | 1,072,537,426.55 | 0.00 | 6,705,247.06 | 11,813,426.09 | 0.00 | (667,233,326.39) | (51,029,179.63) | 0.00 | 0.00 | (218,657,413.99) | (7,555,888.27) | 0.00 | 0.00 | 0.00 | \$146,580,291.42 | |
| Plus: Claims Escrow | 28,827,000.00 | | | | | (28,827,000.00) | | | | | | | | | \$0.00 | |
| Total Adjusted Transactions to Date | \$1,101,364,426.55 | \$0.00 | \$6,705,247.06 | \$11,813,426.09 | \$0.00 | (\$696,060,326.39) | (\$51,029,179.63) | \$0.00 | \$0.00 | (\$218,657,413.99) | (\$7,555,888.27) | \$0.00 | \$0.00 | \$0.00 | \$146,580,291.42 | |

** In 2006, the Commonwealth funded the payment of medical claims on an "as cleared" basis. Due to the "as cleared" banking arrangement Humana required the funding of an escrow account of \$28,827,000 to cover an estimated 8 days of medical claims. In 2007, the banking arrangements

Kentucky Employees' Health Plan – Status Report
Attachment B

2nd Quarter, 2014
Page B-55

| Plan Year Balances as of June 30, 2014 | | | | | | | | | | | | | | | | |
|---|-------------------|-------------------------|-----------------|----------------|-----------------------------------|--------------------|-------------------|-------------------|-------------------|--------------------|----------------------------|---------------------------------|------------------------------|-------------------|-----------------------|--|
| Plan Year | 101 | 102 | 110 | 120 | 130 | 201 | 202 | 203 | 204 | 301 | 302 | 500 | 501 | TOTAL | | |
| | PREMIUMS | HRA WAIVER CONTRIBUTION | INTEREST INCOME | DRUG REBATES | TRANSFERS FROM OTHER PLAN YEAR(S) | MEDICAL CLAIMS | HUMANA ADMIN FEES | HRA SELECT CLAIMS | HRA WAIVER CLAIMS | PHARMACY CLAIMS | EXPRESS SCRIPTS ADMIN FEES | TRANSFERS TO OTHER PLAN YEAR(S) | OPERATING TRANSFER/EXP & ADJ | CASH TRANSACTIONS | | |
| 2006 | 1,102,199,258.24 | 0.00 | 13,255,658.11 | 22,564,128.65 | 0.00 | (762,460,936.69) | (47,449,907.92) | 0.00 | 0.00 | (231,474,267.61) | (7,783,597.84) | (84,850,334.94) | (4,000,000.00) | | (0.00) | |
| 2007 | 1,140,907,524.60 | 59,281,406.95 | 7,947,800.34 | 24,262,373.59 | 0.00 | (836,800,979.65) | (56,911,781.09) | (2,931,705.94) | (47,117,430.12) | (256,402,961.76) | (8,874,050.30) | (30,082,833.67) | 6,722,637.05 | | 0.00 | |
| 2008 | 1,230,691,400.22 | 52,090,899.58 | 3,981,846.10 | 28,089,928.93 | 97,819,227.95 | (949,213,609.34) | (61,670,290.07) | (4,335,289.87) | (48,364,646.21) | (291,065,510.28) | (9,113,443.00) | 6,587,304.83 | (55,497,818.84) | | (0.00) | |
| 2009 | 1,393,951,770.58 | 55,664,485.80 | (143,564.39) | 30,206,603.39 | 0.00 | (1,018,973,395.29) | (64,704,353.44) | (12,286,056.34) | (48,448,767.83) | (308,526,140.46) | (9,956,325.10) | (12,772,960.57) | (4,011,296.35) | | 0.00 | |
| 2010 | 1,536,940,834.57 | 52,205,724.17 | 382,103.61 | 28,214,433.38 | 23,299,596.40 | (1,098,583,013.66) | (68,050,466.68) | (14,936,869.14) | (46,916,640.85) | (337,746,288.45) | (10,997,841.71) | 0.00 | (4,894,978.57) | | 58,916,593.07 | |
| 2011 | 1,594,747,690.90 | 47,847,607.60 | 366,801.09 | 32,522,071.09 | 0.00 | (1,140,211,419.55) | (71,481,736.22) | (16,234,624.14) | (42,545,289.31) | (334,497,882.78) | (9,759,869.41) | 0.00 | (9,312,373.39) | | 51,440,975.88 | |
| 2012 | 1,599,840,340.77 | 47,805,724.93 | 52,552.34 | 33,407,410.85 | 0.00 | (1,187,341,732.04) | (72,316,310.67) | (16,581,494.14) | (42,325,659.47) | (346,838,861.57) | (9,340,999.18) | 0.00 | (9,315,834.41) | | (2,954,862.59) | |
| 2013 | 1,598,879,353.57 | 46,193,376.19 | 87,991.67 | 37,616,732.34 | 0.00 | (1,193,360,377.24) | (72,263,580.67) | (17,130,695.72) | (41,610,083.98) | (346,271,842.92) | (9,198,584.51) | 0.00 | 332,890.47 | | 3,275,179.20 | |
| 2014 | 726,586,680.25 | 22,919,326.20 | 44,864.03 | 0.00 | 0.00 | (393,135,923.50) | (38,876,862.97) | (20,666,229.57) | (19,910,453.60) | (138,646,202.97) | (4,966,766.79) | 0.00 | 79,370,034.22 | | 212,718,465.30 | |
| Ending Balance * | 11,924,744,853.70 | 384,008,551.42 | 25,976,052.90 | 236,883,682.22 | 121,118,824.35 | (8,580,081,386.96) | (553,725,289.73) | (105,102,964.86) | (337,238,971.37) | (2,591,469,958.80) | (79,991,477.84) | (121,118,824.35) | (606,739.82) | | 323,396,350.86 | |

HRA Reserves Fund as of December 31, 2013

(39,732,375.00)

Ending Balance Net of HRA Reserves

283,663,975.86

* The ending balance includes assets allocated for the HRA (Select and Waiver) reserve.

1 501 The plan year 2013 balance includes EERP funds transferred from the 2512 fund in the amount of \$10,159,793.00

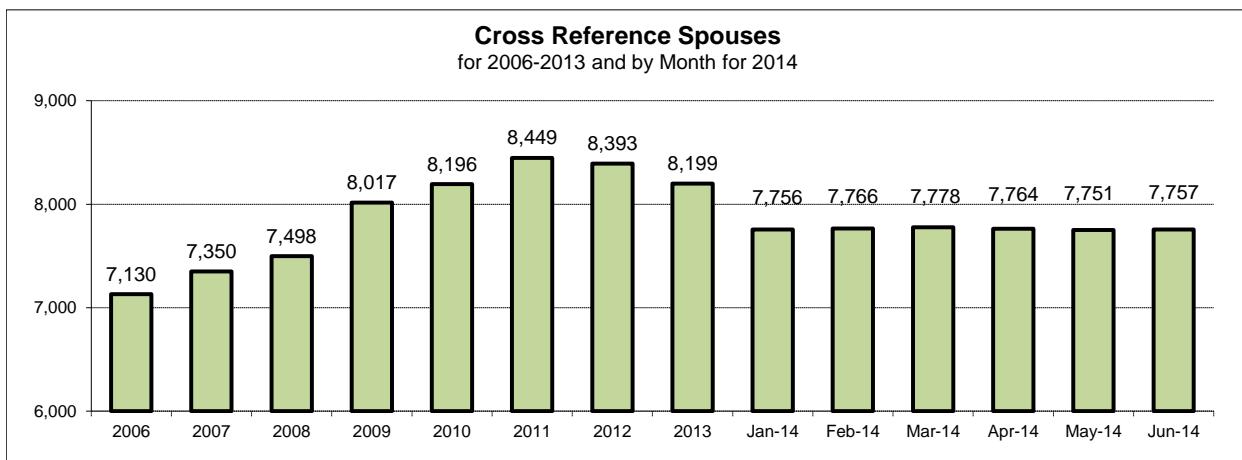
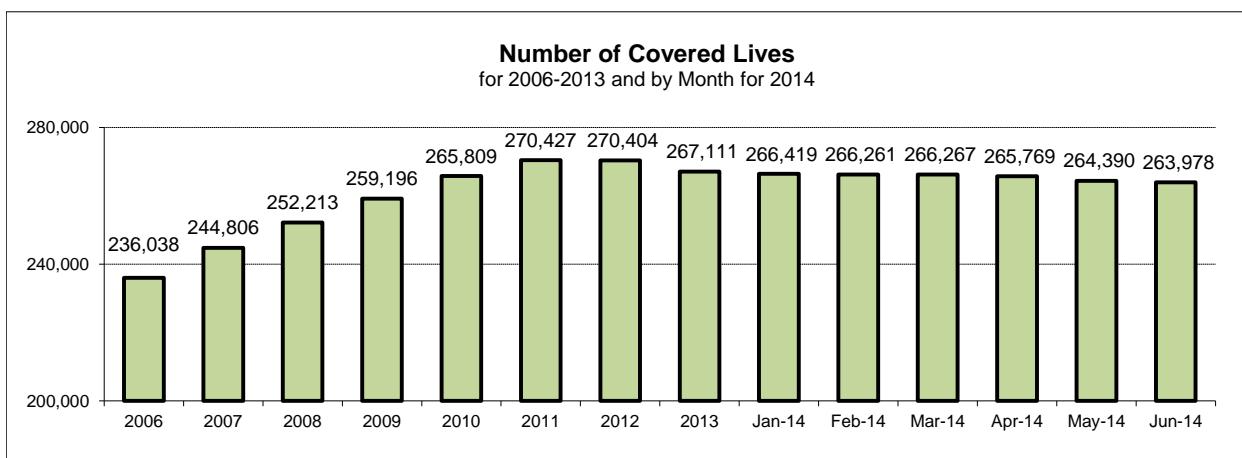
1 501 The plan year 2014 balance includes EERP funds transferred from the 2512 fund in the amount of \$85,687,320.76.

500 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.

Kentucky Employees' Health Plan – Status Report
Attachment C

2nd Quarter, 2014
Page C-1



Commonwealth of Kentucky

Kentucky Employees' Health Plan

**Actuarial Reserve Study
June 30, 2014**

Aon Hewitt
One Piedmont Center
3565 Piedmont Road, N.E.
Atlanta, Georgia 30305

August 2014

Commonwealth of Kentucky

TABLE OF CONTENTS

| | |
|--|----------|
| Executive Summary..... | 3 |
| Actuarial Statement of Opinion..... | 4 |
| Data and Assumptions..... | 5 |
| Methodology | 6 |
| Results..... | 7 |
| Exhibit 1: Summary for All Plans | 7 |
| Exhibit 2a: Total (Medical & Pharmacy)..... | 8 |
| Exhibit 2b: Medical | 9 |
| Exhibit 2c: Pharmacy | 10 |
| Exhibit 3: Health Reimbursement Arrangements | 11 |
| Exhibit 4: Rx Rebates..... | 12 |

EXECUTIVE SUMMARY

Aon Hewitt has conducted an actuarial valuation of the incurred, but not paid, claims as of June 30, 2014, for the medical and prescription drug benefits provided by Kentucky Employees' Health Plan (KEHP) and administered through Humana and Express Scripts (ESI).

The results show a projected total reserve of approximately \$113.5 million. This figure includes \$8.7 million for administrative fee liability and \$5.0 million as an explicit provision for adverse experience. Claims that have been processed and paid by Humana and ESI, and invoiced to KEHP but not yet paid are excluded from these actuarial estimates, though this may represent an additional liability outside the scope of this actuarial review. The estimates provided also exclude unallocated amounts, which are believed to be immaterial to the Plan.

The details of the Unpaid Claim Reserve are shown in separate exhibits for Medical, Pharmacy, Maximum Choice Health Reimbursement Arrangement (HRA) and the Waiver Credit HRA.

The traditional actuarial loss developmental method and Bornhuetter-Furgeson method were the basis of our calculation. The loss development uses historical paid claims information by incurred date. This method is consistent with reserve calculations within the industry, and best reflects the impact on claim payment patterns and accounts for the cyclical nature of the Plan's claims.

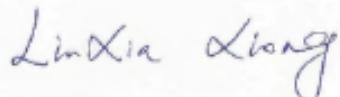
Also included in this report are the actual Rx rebates from January 1, 2011 to December 31, 2013 and the estimated Rx rebate from January 1, 2014 to June 30, 2014.

The results mentioned above are contingent upon future events. Consequently, actual results will differ from projected results. These deviations may be material. KEHP staff should monitor emerging experience and take appropriate actions as required.

**ACTUARIAL CERTIFICATION
STATEMENT OF OPINION**

Aon Hewitt has been retained by the Commonwealth of Kentucky to study the actuarial reserves for incurred but not paid claims as of June 30, 2014, for the medical and prescription drug benefits provided by Kentucky Employees' Health Plan and administered through Humana and ESI. I am employed by Aon Hewitt. I am a Member of the Society of Actuaries and am qualified by education and experience to make the statements of actuarial opinion contained herein.

In performing our reserve study, we have relied upon reports and information provided by Truven and The Commonwealth of Kentucky. We have not audited this data beyond general tests for reasonableness. The results are our best estimate of incurred but unpaid claims with explicit margins for adverse deviation. The techniques and methodology used are reasonable and in accordance with generally accepted actuarial principles and practice.



LinXia Xiong, FSA, MAAA
Assistant Vice President

August 15, 2014

Date

Professionally Peer Reviewed By:



Colleen M. Huber, FSA, MAAA
Vice President

August 15, 2014

Date

DATA AND ASSUMPTIONS

We used monthly enrollment and incurred and paid claim data provided by Truven. Transactional and eligibility data was provided by KEHP. The reports identified claims paid from January 1, 2011 through June 30, 2014. Humana has confirmed that the claims data provided are net of any claims reversals that have occurred. Therefore, the unpaid claim liability estimates contained in this report are also net of expected claims reversals.

No adjustment for interest was used in this analysis. An administrative expense load for processing medical claims run-out is based on the terms of the current contract with Humana, which states that KEHP will pay \$18.57 per employee for three months upon contract termination. For prescription drug claims a load of 5.0% was added to the reserve for processing run-out claims. These administrative expense loads are shown separately from the unpaid claims liability and will only be realized upon contract termination.

An 8.5% annual trend was used for both medical and prescription drugs. This is consistent with emerging plan experience.

METHODOLOGY

The unpaid claim liability (UCL), also called the incurred but not reported (IBNR) reserve, at a specified date is essentially the estimated claims incurred up to that date less the claims that have been (incurred and) paid to that date. Since the incurred and paid claims are known, the UCL is easily determined once the incurred claims have been estimated.

The traditional loss development method uses historical claim payment patterns to develop completion factors that are used to estimate incurred claims. The claims incurred in a given month and paid by the end of the experience period are divided by the completion factor to estimate the incurred claims for that month. The UCL for that month is subsequently determined by subtracting the known incurred and paid claims from the estimated incurred claims. The total UCL is merely the sum of all the appropriate monthly UCL estimates.

This method is relatively easy to understand and is effective when the historical claim payment patterns are deemed to be stable enough to estimate current/future claim payment patterns and when several months of claim payments (run-out) after the incurred month are available. When the run-out for any month is limited, this month is called immature and the associated completion factor is significantly less than one. The resulting incurred claim estimate is unstable. Consequently, a secondary method has traditionally been used to estimate the immature months.

The secondary method for health claims is often an average of historical incurred claims adjusted for claim trend and enrollment between the historical period and the time of interest. One of the shortcomings of this secondary method is that the available claim payment information for the month being estimated is not used. Another problem is that the line of demarcation between mature months and immature months is as much art as science.

The Bomhuetter-Furgeson Method (BFM) addresses both of these issues by blending the loss development method and the secondary method. The BFM uses the available incurred and paid data and the expected UCL developed from the secondary method to estimate incurred claims. This method generally provides a more stable estimate than the pure loss development method, a more responsive estimate than the secondary method, and a reasonable technique for blending the results of both methods.

Using the BFM with claims paid through June 30, 2014, the resulting UCL for June 30, 2014 was approximately \$99.7 million. An administrative expense load for processing medical claims run-out is based on the terms of the current contract with Humana, which states that KEHP will pay \$18.57 per employee for three months upon contract termination. For prescription drug claims a load of 5.0% was added to the reserve for processing run-out claims. These administrative expense loads are shown separately from the unpaid claims liability and will only be realized upon contract termination. In addition, an explicit margin for adverse deviation of 5.0% of claims unpaid at June 30, 2014 has been used.

RESULTS

The result of our reserve analysis shows the following components of our June 30, 2014 reserve for the Kentucky Employees' Health Plan.

Exhibit 1

| Kentucky Employees' Health Plan Terminal Liability Calculation as of June 30, 2014 | | | | |
|---|---|--------------------|---|--|
| | Unpaid Claims Liability as of June 30, 2014 | Margin (5%) | Administrative Fees for Processing Runout Claims | Total IBNR Reserve (Rounded to Nearest \$1,000) |
| Medical | \$90,133,937 | \$4,506,697 | \$8,483,013 | \$103,124,000 |
| Pharmacy | \$4,908,012 | \$245,401 | \$245,401 | \$5,399,000 |
| CDHP HRA | \$1,207,342 | \$60,367 | \$0 | \$1,268,000 |
| Waiver HRA | \$3,493,377 | \$174,669 | \$0 | \$3,668,000 |
| Grand Total | \$99,742,667 | \$4,987,133 | \$8,728,413 | \$113,458,000 |

*Claims that have been processed and paid by Humana and ESI, and invoiced to KEHP but not yet paid are excluded from these actuarial estimates, though this may represent an additional liability outside the scope of this actuarial review. The estimates provided also exclude unallocated amounts, which are believed to be immaterial to the Plan.

An administrative expense load for processing medical claims run-out is based on the terms of the current contract with Humana, which states that KEHP will pay \$18.57 per employee for three months upon contract termination. For prescription drug claims a load of 5.0% was added to the reserve for processing run-out claims. These administrative expense loads are shown separately from the unpaid claims liability and will only be realized upon contract termination. If the Plan continues to operate as a "going concern", then the administrative fees necessary to service the projected medical run-out is \$5,663,291 and there is no impact on the administrative costs to process the pharmacy run-out.

Exhibit 2a
Kentucky Employees' Health Plan
Medical and Pharmacy
Terminal Liability Calculation as of June 30, 2014

| Month | Enrollment | Estimated Incurred Claims | Completion Factor | Actual Paid | Total Unpaid Claim Reserve |
|--------|------------|---------------------------|-------------------|-----------------|----------------------------|
| Jul-11 | 158,508 | \$158,095,266 | 1.000 | \$158,095,266 | \$0 |
| Aug-11 | 157,948 | \$163,534,564 | 1.000 | \$163,534,564 | \$0 |
| Sep-11 | 157,035 | \$159,301,725 | 1.000 | \$159,301,725 | \$0 |
| Oct-11 | 159,256 | \$165,927,130 | 1.000 | \$165,927,130 | \$0 |
| Nov-11 | 158,893 | \$168,773,784 | 1.000 | \$168,773,784 | \$0 |
| Dec-11 | 158,936 | \$182,258,811 | 1.000 | \$182,258,811 | \$0 |
| Jan-12 | 158,732 | \$120,077,234 | 1.000 | \$120,077,711 | (\$477) |
| Feb-12 | 158,412 | \$119,615,226 | 1.000 | \$119,615,703 | (\$477) |
| Mar-12 | 158,493 | \$129,355,879 | 1.000 | \$129,356,399 | (\$519) |
| Apr-12 | 158,287 | \$122,237,476 | 1.000 | \$122,237,965 | (\$488) |
| May-12 | 158,084 | \$130,259,501 | 1.000 | \$130,260,022 | (\$521) |
| Jun-12 | 157,675 | \$130,672,417 | 1.000 | \$130,672,946 | (\$529) |
| Jul-12 | 156,016 | \$131,789,671 | 1.000 | \$131,790,202 | (\$532) |
| Aug-12 | 154,981 | \$132,093,481 | 1.000 | \$132,087,923 | \$5,558 |
| Sep-12 | 153,626 | \$118,513,112 | 1.000 | \$118,513,579 | (\$467) |
| Oct-12 | 156,004 | \$134,586,964 | 1.000 | \$134,587,479 | (\$515) |
| Nov-12 | 155,955 | \$130,814,891 | 1.000 | \$130,815,394 | (\$503) |
| Dec-12 | 155,993 | \$134,164,742 | 1.000 | \$134,165,271 | (\$530) |
| Jan-13 | 155,432 | \$122,467,084 | 1.002 | \$122,653,092 | (\$186,008) |
| Feb-13 | 154,776 | \$115,285,416 | 1.001 | \$115,443,464 | (\$158,049) |
| Mar-13 | 154,776 | \$121,470,364 | 1.001 | \$121,649,429 | (\$179,066) |
| Apr-13 | 154,589 | \$122,695,129 | 1.002 | \$122,882,549 | (\$187,419) |
| May-13 | 154,341 | \$126,854,298 | 1.002 | \$127,048,822 | (\$194,525) |
| Jun-13 | 153,837 | \$129,689,025 | 1.002 | \$129,891,091 | (\$202,066) |
| Jul-13 | 153,100 | \$136,244,179 | 1.002 | \$136,454,752 | (\$210,572) |
| Aug-13 | 152,456 | \$124,378,406 | 1.001 | \$124,554,253 | (\$175,846) |
| Sep-13 | 151,155 | \$121,302,562 | 1.001 | \$121,444,243 | (\$141,681) |
| Oct-13 | 152,923 | \$141,231,068 | 1.001 | \$141,378,258 | (\$147,189) |
| Nov-13 | 152,896 | \$129,422,235 | 1.000 | \$129,396,951 | \$25,284 |
| Dec-13 | 152,635 | \$147,165,131 | 0.998 | \$146,835,317 | \$329,814 |
| Jan-14 | 152,999 | \$95,871,441 | 0.993 | \$95,172,507 | \$698,934 |
| Feb-14 | 152,486 | \$95,114,097 | 0.984 | \$93,610,852 | \$1,503,245 |
| Mar-14 | 152,308 | \$108,190,744 | 0.970 | \$104,950,578 | \$3,240,166 |
| Apr-14 | 151,917 | \$111,172,864 | 0.941 | \$104,581,742 | \$6,591,122 |
| May-14 | 151,438 | \$105,951,026 | 0.852 | \$90,301,356 | \$15,649,670 |
| Jun-14 | 150,938 | \$120,938,594 | 0.431 | \$52,152,460 | \$68,786,134 |
| Total | | \$4,707,515,537 | | \$4,612,473,589 | \$95,041,949 |

Exhibit 2b
Kentucky Employees' Health Plan
Medical
Terminal Liability Calculation as of June 30, 2014

| Month | Enrollment | Estimated Incurred Claims | Completion Factor | Actual Paid | Total Unpaid Claim Reserve |
|--------------|-------------------|--------------------------------------|------------------------------|------------------------|---------------------------------------|
| Jul-11 | 158,508 | 122,460,229 | 1.000 | 122,460,229 | \$0 |
| Aug-11 | 157,948 | 125,063,413 | 1.000 | 125,063,413 | \$0 |
| Sep-11 | 157,035 | 121,893,723 | 1.000 | 121,893,723 | \$0 |
| Oct-11 | 159,256 | 127,327,847 | 1.000 | 127,327,847 | \$0 |
| Nov-11 | 158,893 | 130,760,853 | 1.000 | 130,760,853 | \$0 |
| Dec-11 | 158,936 | 141,479,586 | 1.000 | 141,479,586 | \$0 |
| Jan-12 | 158,732 | 92,038,977 | 1.000 | 92,039,449 | (\$472) |
| Feb-12 | 158,412 | 92,058,842 | 1.000 | 92,059,314 | (\$472) |
| Mar-12 | 158,493 | 100,253,181 | 1.000 | 100,253,695 | (\$514) |
| Apr-12 | 158,287 | 94,243,731 | 1.000 | 94,244,214 | (\$483) |
| May-12 | 158,084 | 100,566,673 | 1.000 | 100,567,188 | (\$516) |
| Jun-12 | 157,675 | 102,083,813 | 1.000 | 102,084,336 | (\$523) |
| Jul-12 | 156,016 | 102,617,566 | 1.000 | 102,618,092 | (\$526) |
| Aug-12 | 154,981 | 102,366,167 | 1.000 | 102,360,604 | \$5,563 |
| Sep-12 | 153,626 | 91,352,453 | 1.000 | 91,352,921 | (\$468) |
| Oct-12 | 156,004 | 104,427,903 | 1.000 | 104,428,439 | (\$535) |
| Nov-12 | 155,955 | 101,754,716 | 1.000 | 101,755,237 | (\$522) |
| Dec-12 | 155,993 | 103,577,711 | 1.000 | 103,578,242 | (\$531) |
| Jan-13 | 155,432 | 93,270,296 | 1.002 | 93,444,278 | (\$173,982) |
| Feb-13 | 154,776 | 88,586,114 | 1.002 | 88,733,087 | (\$146,973) |
| Mar-13 | 154,776 | 93,448,114 | 1.002 | 93,615,550 | (\$167,436) |
| Apr-13 | 154,589 | 94,154,624 | 1.002 | 94,330,255 | (\$175,631) |
| May-13 | 154,341 | 97,984,947 | 1.002 | 98,167,723 | (\$182,776) |
| Jun-13 | 153,837 | 102,524,880 | 1.002 | 102,716,125 | (\$191,245) |
| Jul-13 | 153,100 | 106,975,154 | 1.002 | 107,174,700 | (\$199,546) |
| Aug-13 | 152,456 | 95,278,418 | 1.002 | 95,443,861 | (\$165,443) |
| Sep-13 | 151,155 | 93,677,662 | 1.001 | 93,810,095 | (\$132,433) |
| Oct-13 | 152,923 | 109,943,408 | 1.001 | 110,081,839 | (\$138,431) |
| Nov-13 | 152,896 | 101,736,409 | 1.000 | 101,705,527 | \$30,882 |
| Dec-13 | 152,635 | 114,472,098 | 0.997 | 114,137,336 | \$334,763 |
| Jan-14 | 152,999 | 75,727,595 | 0.991 | 75,035,616 | \$691,979 |
| Feb-14 | 152,486 | 73,474,158 | 0.980 | 71,980,394 | \$1,493,764 |
| Mar-14 | 152,308 | 83,043,868 | 0.961 | 79,816,151 | \$3,227,717 |
| Apr-14 | 151,917 | 85,262,187 | 0.923 | 78,685,320 | \$6,576,867 |
| May-14 | 151,438 | 78,759,807 | 0.800 | 63,023,459 | \$15,736,348 |
| Jun-14 | 150,938 | 94,912,680 | 0.329 | 31,197,167 | \$63,715,513 |
| Total | | \$3,639,559,803 | | \$3,549,425,866 | \$90,133,937 |

Exhibit 2c
Kentucky Employees' Health Plan
Pharmacy
Terminal Liability Calculation as of June 30, 2014

| Month | Enrollment | Estimated Incurred Claims | Completion Factor | Actual Paid | Total Unpaid Claim Reserve |
|--------------|-------------------|--------------------------------------|------------------------------|------------------------|---|
| Jul-11 | 158,508 | 35,635,037 | 1.000 | 35,635,037 | \$0 |
| Aug-11 | 157,948 | 38,471,151 | 1.000 | 38,471,151 | \$0 |
| Sep-11 | 157,035 | 37,408,002 | 1.000 | 37,408,002 | \$0 |
| Oct-11 | 159,256 | 38,599,283 | 1.000 | 38,599,283 | \$0 |
| Nov-11 | 158,893 | 38,012,931 | 1.000 | 38,012,931 | \$0 |
| Dec-11 | 158,936 | 40,779,225 | 1.000 | 40,779,225 | \$0 |
| Jan-12 | 158,732 | 28,038,256 | 1.000 | 28,038,262 | (\$5) |
| Feb-12 | 158,412 | 27,556,383 | 1.000 | 27,556,389 | (\$5) |
| Mar-12 | 158,493 | 29,102,698 | 1.000 | 29,102,704 | (\$5) |
| Apr-12 | 158,287 | 27,993,746 | 1.000 | 27,993,751 | (\$5) |
| May-12 | 158,084 | 29,692,828 | 1.000 | 29,692,834 | (\$6) |
| Jun-12 | 157,675 | 28,588,604 | 1.000 | 28,588,610 | (\$5) |
| Jul-12 | 156,016 | 29,172,105 | 1.000 | 29,172,111 | (\$5) |
| Aug-12 | 154,981 | 29,727,314 | 1.000 | 29,727,319 | (\$5) |
| Sep-12 | 153,626 | 27,160,660 | 1.000 | 27,160,658 | \$2 |
| Oct-12 | 156,004 | 30,159,061 | 1.000 | 30,159,040 | \$20 |
| Nov-12 | 155,955 | 29,060,175 | 1.000 | 29,060,156 | \$19 |
| Dec-12 | 155,993 | 30,587,031 | 1.000 | 30,587,029 | \$1 |
| Jan-13 | 155,432 | 29,196,787 | 1.000 | 29,208,814 | (\$12,026) |
| Feb-13 | 154,776 | 26,699,302 | 1.000 | 26,710,377 | (\$11,075) |
| Mar-13 | 154,776 | 28,022,250 | 1.000 | 28,033,879 | (\$11,629) |
| Apr-13 | 154,589 | 28,540,505 | 1.000 | 28,552,293 | (\$11,788) |
| May-13 | 154,341 | 28,869,350 | 1.000 | 28,881,099 | (\$11,749) |
| Jun-13 | 153,837 | 27,164,145 | 1.000 | 27,174,966 | (\$10,821) |
| Jul-13 | 153,100 | 29,269,025 | 1.000 | 29,280,052 | (\$11,026) |
| Aug-13 | 152,456 | 29,099,988 | 1.000 | 29,110,391 | (\$10,403) |
| Sep-13 | 151,155 | 27,624,900 | 1.000 | 27,634,148 | (\$9,248) |
| Oct-13 | 152,923 | 31,287,660 | 1.000 | 31,296,418 | (\$8,758) |
| Nov-13 | 152,896 | 27,685,826 | 1.000 | 27,691,424 | (\$5,598) |
| Dec-13 | 152,635 | 32,693,033 | 1.000 | 32,697,981 | (\$4,948) |
| Jan-14 | 152,999 | 20,143,847 | 1.000 | 20,136,891 | \$6,955 |
| Feb-14 | 152,486 | 21,639,939 | 1.000 | 21,630,458 | \$9,481 |
| Mar-14 | 152,308 | 25,146,876 | 1.000 | 25,134,427 | \$12,449 |
| Apr-14 | 151,917 | 25,910,676 | 0.999 | 25,896,422 | \$14,255 |
| May-14 | 151,438 | 27,191,219 | 1.003 | 27,277,897 | (\$86,678) |
| Jun-14 | 150,938 | 26,025,914 | 0.805 | 20,955,293 | \$5,070,621 |
| Total | | \$1,067,955,734 | | \$1,063,047,722 | \$4,908,012 |

Exhibit 3

Kentucky Employees' Health Plan
Terminal Liability Calculation as of June 30, 2014
Health Reimbursement Arrangements

| CDHP HRA | | | | |
|--------------|---------------------|-------------------|---------------------|----------------------|
| Period | Paid To Date | Completion Factor | Total Incurred | Unpaid Claim Reserve |
| Jul-Sep 2011 | \$4,058,656 | 100.0% | \$4,058,656 | \$0 |
| Oct-Dec 2011 | \$2,597,540 | 100.0% | \$2,597,540 | \$0 |
| Jan-Mar 2012 | \$4,145,374 | 100.0% | \$4,145,374 | \$0 |
| Apr-Jun 2012 | \$4,477,003 | 100.0% | \$4,477,003 | \$0 |
| Jul-Sep 2012 | \$4,145,374 | 100.0% | \$4,145,374 | \$0 |
| Oct-Dec 2012 | \$3,813,744 | 100.0% | \$3,813,744 | \$0 |
| Jan-Mar 2013 | \$5,554,500 | 101.4% | \$5,477,540 | -\$76,960 |
| Apr-Jun 2013 | \$4,819,791 | 100.6% | \$4,793,356 | -\$26,435 |
| Jul-Sep 2013 | \$3,577,527 | 99.7% | \$3,588,204 | \$10,677 |
| Oct-Dec 2013 | \$3,178,877 | 99.0% | \$3,212,445 | \$33,568 |
| Jan-Mar 2014 | \$10,780,239 | 95.7% | \$11,259,227 | \$478,989 |
| Apr-Jun 2014 | \$9,130,215 | 92.1% | \$9,917,718 | \$787,503 |
| Total | \$60,278,839 | | \$61,486,181 | \$1,207,342 |

| Waiver HRA | | | | |
|--------------|----------------------|-------------------|----------------------|----------------------|
| Plan Year | Paid To Date | Completion Factor | Total Incurred | Unpaid Claim Reserve |
| 2011 | \$42,545,289 | 100.0% | \$42,545,289 | \$0 |
| 2012 | \$42,325,659 | 100.0% | \$42,325,659 | \$0 |
| 2013 | \$41,610,084 | 100.2% | \$41,538,830 | -\$71,254 |
| 2014 | \$20,666,230 | 85.3% | \$24,230,861 | \$3,564,631 |
| Total | \$147,147,262 | | \$150,640,640 | \$3,493,377 |

Exhibit 4

Kentucky Employees' Health Plan
Rx Rebates as of June 30, 2014

| Plan Year | Rebate Amount | |
|------------------|----------------------|----------|
| Q3 2011 | \$8,326,711 | Actual |
| Q4 2011 | \$8,102,033 | Actual |
| Q1 2012 | \$7,612,408 | Actual |
| Q2 2012 | \$7,940,053 | Actual |
| Q3 2012 | \$8,588,953 | Actual |
| Q4 2012 | \$9,127,891 | Actual |
| Q1 2013 | \$9,021,551 | Actual |
| Q2 2013 | \$8,496,374 | Actual |
| Q3 2013 | \$9,798,340 | Actual |
| Q4 2013 | \$10,439,237 | Actual |
| Q1 2014 | \$8,673,004 | Estimate |
| Q2 2014 | \$8,531,924 | Estimate |

HumanaHealth Clinical Update

Kentucky Employees' Health Plan (KEHP)

Report for: 01/01/2014 - 06/30/2014

This report provides a high-level view of KEHP's key demographic indicators, clinical program participation rates, utilization management requests, acute case management reviews, and Humana Health Alerts activity during the reporting period.

Demographics

| | Current |
|---------------------------|---------|
| Average Number of Members | 263,605 |
| Average Population Age | 37.6 |

Humana works to control your health care costs by offering programs to help members effectively manage their conditions, make better use of the health care system, and get the most value from the benefits you provide.

Clinical Programs

| | Total Eligible | Participating During Period | % Eligible Participating |
|--------------------------------------|----------------|-----------------------------|--------------------------|
| Total | 21,295 | 18,158 | 85.3% |
| Episodic Care Management | 3,798 | 3,067 | 80.8% |
| Nurse Support for Complex Conditions | 15,595 | 13,363 | 85.7% |
| Maternity (HumanaBeginnings) | 1,902 | 1,728 | 90.9% |

- **Episodic Care Management:** Nurses provide post-discharge follow up and ongoing care management after hospitalization. For babies in the neonatal intensive care unit, nurses provide care coordination. Members undergoing transplants or bariatric surgery can work with a specialized case manager.
- **Nurse Support for Complex Conditions:** Specialized nurses support members with complex conditions, including cancer, coronary artery disease (CAD) and diabetes.
- **Maternity (HumanaBeginnings):** Nurses offer prenatal education for expectant mothers.

| | Participating During Period |
|---|-----------------------------|
| Why Weight KY* | 265 |
| Alliance for a Healthier Generation* | 116 |
| Integrated Medical-Behavioral Health (Humana Achieve) | 1,548 |
| Behavioral Health Care Management (Intensive Case Management) | 24 |

- **Why Weight KY and Alliance for a Healthier Generation:** Nurses provide weight management support for adults and children.
- **Integrated Medical-Behavioral Health (Humana Achieve):** Behavioral health specialists offer proactive outreach and engagement to support members experiencing coexisting medical, behavioral and mental health issues.
- **Behavioral Health Care Management (Intensive Case Management):** Clinical advisors offer support to high utilizers of inpatient care - severely and persistently mentally ill members.

*Participants are also included in the total count for Nurse Support for Complex Conditions.

Utilization Management

| | Authorization Requests | Approval Rate |
|--------------|------------------------|---------------|
| Total | 88,629 | 95% |
| Inpatient | 15,610 | 99.4% |
| Outpatient | 73,019 | 94.1% |

- **Utilization Management:** Preauthorization is required for all non-emergent hospital admissions, inpatient services, and some outpatient services. This section shows the number of authorizations for inpatient and outpatient services, as well as the approval rate for these authorizations.

Acute Case Management

| | Reviews |
|--------------|--------------|
| Total | 6,032 |

- **Acute Case Management:** Case managers review cases and perform concurrent review and discharge planning during a member's hospitalization.
- **Humana Health Alerts:** Health Alerts help to identify gaps-in-care and drug safety issues and encourage routine preventive care for members. Alerts are used by Humana Clinicians, Network Providers, and Customer Care when interacting with members.

Humana Health Alerts

| | Qualified | Alerts Generated | Alerts Closed | % Changed | % Compliant | Alerts (Per 1,000) | Peer Alerts (Per 1,000) |
|----------------------------|----------------|------------------|---------------|------------|-------------|--------------------|-------------------------|
| Total by Alert Type | 223,983 | 147,733 | 31,509 | 21% | 48% | 1,666 | 1,576 |
| Gap in care | 89,572 | 61,880 | 11,677 | 19% | 44% | 698 | 731 |
| Preventive | 134,411 | 85,853 | 19,832 | 33% | 51% | 968 | 845 |



**KEHP Wellness Services Report
2014**
Prepared by: Tracie Meyer and Jala Miller

| KEHP Service | 1st quarter ending totals | 2nd quarter ending totals | 3rd quarter ending totals | 4th quarter ending totals |
|-------------------------------|---|---|---|---|
| HumanaVitality HA | 79,261 | 136,465 | | |
| HumanaVitality Blue Level | 128,358 | 37,563 | | |
| HumanaVitality Bronze Level | 134,312 | 218,680 | | |
| HumanaVitality Silver Level | 2,674 | 6,058 | | |
| HumanaVitality Gold Level | 147 | 734 | | |
| HumanaVitality Platinum Level | 54 | 427 | | |
| Health Coaching New Members | 216 | 196 | | |
| Health Coaching Active | 1,443 | 464 | | |
| Biometric Screenings | 8,673 | 15,570 | | |

Humana. *Quarterly Performance Guarantee Report Card*

Contract Begin Date:
1/1/2014

Contract End Date:
12/31/2014

Commonwealth of Kentucky

| <i>Metric Reporting Type</i> | <i>Definition</i> | <i>Performance Criteria</i> | <i>Penalty</i> | <i>1Q</i> | <i>2Q</i> | <i>3Q</i> | <i>4Q</i> |
|--|-------------------|--|---|----------------|-----------|-----------|-----------|
| <i>Account Management</i> | | | | | | | |
| Account Management Satisfaction | Client Specific | Humana acknowledges that its Account Management team must be responsive to the needs of our customers if Humana is to earn and sustain their trust. Therefore, Humana will perform annually a brief account management satisfaction survey to be completed by designated members of the client's benefits staff. | Overall account management satisfaction score of 3 or higher. | Reporting Only | Annually | Annually | Annually |
| Data Analysis and Reporting Requirements | Client Specific | Wellness Reports shall be delivered timely and with accurate data analysis provided to Client on a quarterly and annual basis, including monthly activity reports. Reports to be provided on a quarterly and annual basis no later than the mutually agreed upon date. | Refer to Definition | Reporting Only | Met | Met | |
| Voice of the Customer Reporting | Client Specific | Voice of the Customer reporting will be made available to the Commonwealth for the 2014 plan year. | Refer to Definition | Reporting Only | Met | Met | |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 1 of 9

Kentucky Employees' Health Plan – Status Report
Attachment G

2nd Quarter, 2014
Page G-2

Commonwealth of Kentucky

| <i>Metric Reporting Type</i> | <i>Definition</i> | <i>Performance Criteria</i> | <i>Penalty</i> | <i>1Q</i> | <i>2Q</i> | <i>3Q</i> | <i>4Q</i> |
|---|-------------------|--|---|---|-----------|-----------|-----------|
| <i>Claims Processing</i> | | | | | | | |
| Clean Claim Turnaround - 30 Calendar Days | Client Specific | Humana agrees to a cycle time of 98% within 30 calendar days. Cycle time is measured from the date a clean claim is received to the date it is processed. "Processed" means paid or denied without requiring additional information from an external source. | 98% within 30 calendar days | 88%-97.99% = 1.5% of annual base administrative fee Below 88% = 4% of annual base administrative fee | 98.1% | 98.47% | |
| Financial Accuracy | Client Specific | Humana agrees to a financial accuracy rate of 99.3%. The financial accuracy rate is defined as the percentage of dollars paid correctly. It is calculated by dividing the total claim dollars paid less the absolute value of overpayments and underpayments by the total claim dollars paid. | 99.3% | 98% - 99.2% = 1.5% of annual base administrative fee 97% - 97.99% = 3% of annual base administrative fee Below 97% = 4% of annual base administrative fee | 99.39% | 99.38% | |
| Overpayment Recovery | Client Specific | Humana will guarantee overpayment collection rates of 70% within 4 months from the time a claim is identified as overpaid and a collection rate of 85% within 12 months from the time a claim is identified as overpaid. All Third (3rd) Party liability cases will be excluded from the measurement. The 4 and 12 months measurements will be an average of 12 months' worth of data and each month individually will not be subject to the guarantee. Quarterly reports will be developed and shared to measure the progress towards the collection guarantees, however reporting of the guarantee result will be provided on an annual basis. | 70% within 4 months 85% within 12 months | 1.5% of annual base administrative fee | Annually | Annually | Annually |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 2 of 9

Kentucky Employees' Health Plan – Status Report
Attachment G

2nd Quarter, 2014
Page G-3

Commonwealth of Kentucky

| | <i>Metric Reporting Type</i> | <i>Definition</i> | <i>Performance Criteria</i> | <i>Penalty</i> | <i>1Q</i> | <i>2Q</i> | <i>3Q</i> | <i>4Q</i> |
|---------------------|------------------------------|---|-----------------------------|--|-----------|-----------|-----------|-----------|
| Payment Accuracy | Client Specific | Humana agrees to a payment accuracy rate of 97%. Payment accuracy is defined as the percentage of claims paid correctly. It is calculated by dividing the total number of correctly paid claims by the total number of claims paid. | 97% | 95.5% - 96.99% = 1.5% of annual base administrative fee Below 95% = 3% of annual base administrative fee | 99.33% | 99.67% | | |
| Processing Accuracy | Client Specific | Humana agrees to a processing accuracy rate of 97%. Processing accuracy is defined as the percent of claims coded correctly in the system; calculated by dividing the claims audited without processing errors by the total volume of claims audited. | 97% | 95.5% - 96.99% = 1.5% of annual base administrative fee Below 95% = 3% of annual base administrative fee | 99.67% | 99.33% | | |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 3 of 9

Kentucky Employees' Health Plan – Status Report
Attachment G

2nd Quarter, 2014
Page G-4

Commonwealth of Kentucky

| <i>Metric Reporting Type</i> | <i>Definition</i> | <i>Performance Criteria</i> | <i>Penalty</i> | <i>1Q</i> | <i>2Q</i> | <i>3Q</i> | <i>4Q</i> |
|--|-------------------|---|---|--------------------------------------|-----------|-----------|-----------|
| <i>Clinical</i> | | | | | | | |
| Chronic Programs ROI | Global | Humana agrees that the Nurse Support for Complex Conditions programs will provide a blended Return on Investment of 2.0 to 1. Demographic data and participation rates for use in weighted average of the chronic condition management programs are based upon book-of-business results (aggregate ROI for all programs). Estimated cost avoidance based on actual participation rates and results from Humana's Econometric Outcomes Analysis Model (a model validated through studies by an external third party). Results will be reported annually. | Refer to Definition | .5% of clinical fee | Annually | Annually | Annually |
| Identified Member Engagement | Client Specific | Engage at least 60% of the total members identified and called by a Bariatric, Case Management, Humanabeginnings, Neonatal Case Management, Personal Nurse, Transplant, and Chronic Condition Management nurse in aggregate. Results will be reported annually. | Refer to Definition | .5% of clinical fee | Annually | Annually | Annually |
| Improvement on Key Clinical Indicators | Client Specific | Report on the screenings and medication adherence compliance rates of KEHP population in the cardiovascular, diabetes and respiratory categories as defined by Humana Health Alerts. Report for the calendar year 2014. Results will be reported annually. | Refer to Definition | Reporting Only | Annually | Annually | Annually |
| Pre-Admission Reviews | Client Specific | Humana agrees that 99% of pre-admission reviews and outpatient testing reviews are completed in two (2) business days of initial contact. Results will be reported quarterly | 99% completed within 2 business days of initial contact | 2% of annual base administrative fee | 99.7% | 99.8% | |
| Risk Profile Improvement | Client Specific | Report on Humana's proprietary severity score metric, which predicts members' future health care costs. Report on the scores of the KEHP population for 2014 . Results will be reported annually. | Refer to Definition | Reporting Only | Annually | Annually | Annually |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 4 of 9

Commonwealth of Kentucky

| | Metric Reporting Type | Definition | Performance Criteria | Penalty | 1Q | 2Q | 3Q | 4Q |
|--------------------------------|-----------------------|---|----------------------|---|----------|----------|----------|----------|
| Utilization Rates (Hospital) | Client Specific | Maintain mitigable inpatient admission rate in 2014 based on the agreement to improve the percent of mitigable inpatient admissions by a minimum of 5% from baseline (defined as the 12 months before program initiation, calendar year 2012) in 2013 as measured by claims. Results will be reported annually. | Refer to Definition | .5% of clinical fee | Annually | Annually | Annually | Annually |
| <i>Customer Service</i> | | | | | | | | |
| Abandonment Rate | Client Specific | Humana agrees to an abandonment rate of 5%. Abandonment rate is defined as the percent of callers that ended the call prior to reaching a customer service representative. | 5% | 5.01% - 7.99% = 2% of annual base administrative fee 8% or Higher = 3% of annual base administrative fee | 2.7% | 2.0% | | |
| Call Quality | Client Specific | Humana agrees to a 95% call quality based on the Commonwealth results for the Customer Service Team. | 95% | 2% of annual base administrative fee | 96.85% | 97.27% | | |
| First Call Resolution | Client Specific | Humana will agree to offer a first call resolution guarantee with a goal of 90% of all calls resolved within 45 days based on the Commonwealth's results. | 90% | 2% of annual base administrative fee | 95.6% | 94.8% | | |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 5 of 9

Kentucky Employees' Health Plan – Status Report
Attachment G

2nd Quarter, 2014
Page G-6

Commonwealth of Kentucky

| <i>Metric Reporting Type</i> | <i>Definition</i> | <i>Performance Criteria</i> | <i>Penalty</i> | <i>1Q</i> | <i>2Q</i> | <i>3Q</i> | <i>4Q</i> |
|---|-------------------|---|---------------------|--|-----------|-----------|-----------|
| <i>HumanaVitality</i> | | | | | | | |
| Incentive Management | Global | 99% of results from self-reported incentivized activity will be loaded and rewarded based on type of submission: Online - Between 24-48 Hours or Fax - 5 Business Days. The 2014 plan year will establish the baseline for audit purposes. | Refer to Definition | Reporting Only | Met | Met | |
| Online Portal Availability | Global | Contractor will provide Web Portal availability of at least 99%, excluding maintenance hours and will be based on Humana.com availability with quarterly reporting. | 99% | 5% of base HumanaVitality Administrative Fee | 99.8% | 99.8% | |
| Program Participant Satisfaction with Program | Global | A minimum of 90% of respondents to the member satisfaction survey report satisfaction with program; based on Annual Net Promoter Scores (NPS) based on aggregate response and reported quarterly. | Refer to Definition | Reporting Only | 36.01% | 41.87% | |
| Trend Guarantee | Client Specific | Humana has agreed to offer The Commonwealth of Kentucky the HumanaVitality Trend Guarantee. The Trend Guarantee language has been provided in separate document due to the complexity of the language. The guarantee is included in this document for tracking purposes only. | Refer to Definition | Maximum of 10% of base HumanaVitality Administrative Fee | Annually | Annually | Annually |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 6 of 9

Kentucky Employees' Health Plan – Status Report
Attachment G

2nd Quarter, 2014
Page G-7

Commonwealth of Kentucky

| <i>Metric Reporting Type</i> | <i>Definition</i> | <i>Performance Criteria</i> | <i>Penalty</i> | <i>1Q</i> | <i>2Q</i> | <i>3Q</i> | <i>4Q</i> |
|--|-------------------|--|--|---|-----------|-----------|-----------|
| <i>Implementation</i> | | | | | | | |
| Eligibility - Discrepancy Reporting | Client Specific | Humana agrees that "discrepancies" will be reported within 5 business days. A "discrepancy" is a data element that is submitted on a customer file that does not match what Humana has on the eligibility platform, i.e. date of birth. All discrepancies are reported back to the client or their designated vendor via secured email within 5 business days. | Within 5 business days | 2% of annual base administrative fee | 5 | 5 | |
| ID Card Issuance - Ongoing | Client Specific | 97% mailed within 14 calendar days of data availability contingent upon receiving "clean" enrollment data. "Clean" enrollment is defined as needing no additional information from the member or group. | 97% within 14 calendar days | 96%-96.99%=>2% of annual base administrative fee 94.5%-95.99%=>3% of annual base administrative fee Below 94.5%=>4% of annual base administrative fee | 99.45% | 99.87% | |
| ID Card Issuance - Open Enrollment (Automated) | Client Specific | Humana will agree that 100% of ID card will be mailed 10 calendar days prior to the groups effective date contingent upon receiving "clean" enrollment data. "Clean" enrollment is defined as needing no additional information from the member or the group. | 100% mailed 10 calendar days prior to effective date | 98%-100%=>None 95%-97.99%=>2% of annual base administrative fee 93.5%-94.99%=>3% of annual base administrative fee Below 93.5%=>4% of annual base administrative fee | Annually | Annually | Annually |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 7 of 9

Kentucky Employees' Health Plan – Status Report
Attachment G

2nd Quarter, 2014
Page G-8

Commonwealth of Kentucky

| <i>Metric Reporting Type</i> | <i>Definition</i> | <i>Performance Criteria</i> | <i>Penalty</i> | <i>1Q</i> | <i>2Q</i> | <i>3Q</i> | <i>4Q</i> |
|--|-------------------|---|---|---|-----------|-----------|-----------|
| <i>Miscellaneous</i> | | | | | | | |
| Claims Data Warehouse Support | Client Specific | Consistent and accurate data reported on or before the 11th business day of each month, for the prior months claims. (Truven) | By 11th business day of following month | \$25 per contract per business day late (maximum of 25% of quarterly administrative fees per quarter) | Met | Met | |
| Error Transactions (Eligibility) | Client Specific | Error transactions sent to DMV corrected and returned to DMV within five (5) business days. | Within 5 business days | 2% of annual base administrative fee | Met | Met | |
| <i>SPAA - Claims Processing</i> | | | | | | | |
| Claim Turnaround - 10 Calendar Days (SPAA) | Client Specific | Humana agrees to a cycle time of 95% within 10 calendar days. Cycle time is measured from the date a paper claim is received to the date it is processed. | 95% within 10 calendar days | \$100,000 | 99.57% | 99.54% | |
| Financial Accuracy (SPAA) | Client Specific | Humana agrees to a financial accuracy rate of 99.3%. The financial accuracy rate is defined as the percentage of dollars paid correctly. It is calculated by dividing the total claim dollars paid less the absolute value of overpayments and underpayments by total claim dollars paid. | 99.3% | \$50,000 | 100% | 99.81% | |
| Processing Accuracy (SPAA) | Client Specific | Humana agrees to a processing accuracy rate of 98%. Processing accuracy is defined as the percent of claims coded correctly in the system; calculated by dividing the claims audited without processing errors by the total volume of claims audited. | 98% | \$50,000 | 100% | 100% | |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 8 of 9

Kentucky Employees' Health Plan – Status Report
Attachment G

2nd Quarter, 2014
Page G-9

Commonwealth of Kentucky

| <i>Metric Reporting Type</i> | <i>Definition</i> | <i>Performance Criteria</i> | <i>Penalty</i> | <i>1Q</i> | <i>2Q</i> | <i>3Q</i> | <i>4Q</i> |
|---------------------------------------|-------------------|--|----------------|----------------|-----------|-----------|-----------|
| <i>SPAA - Customer Service</i> | | | | | | | |
| Abandonment Rate (SPAA) | Client Specific | Humana agrees to an abandonment rate of 5%. Abandonment rate is defined as the percent of callers that ended the call prior to reaching a customer service representative. | 5% | \$50,000 | 3.10% | 2.17% | |
| <i>SPAA - Customer Service</i> | | | | | | | |
| Call Quality | Global | Humana agrees to a 95% call quality based on the global results of the SPAA Team. | 95% | \$25,000 | 95.42% | 97.37% | |
| First Call Resolution | Global | SPAA will agree to offer a first call resolution guarantee with a goal of 90% within 2 days to be reported at the global level. | 90% | Reporting Only | 95.67% | 94.8% | |

Wednesday, August 27, 2014

Commonwealth of Kentucky

Quarterly Reporting

Page 9 of 9

Kentucky Employees' Health Plan – Status Report
Attachment H

2nd Quarter, 2014
Page H-1

Service Guarantee Report

Client Name: Client Name: A5284 - COMMONWEALTH OF KENTUCKY

| Jan 2014 | Feb 2014 | Mar 2014 | Q1 | Apr 2014 | May 2014 | Jun 2014 | Q2 | Jul 2014 | Aug 2014 | Sep 2014 | Q3 | Oct 2014 | Nov 2014 | Dec 2014 | Q4 | YTD | |
|---|-------------|-------------|--------|-------------|-------------|-------------|--------|--------------------------------|-------------|-------------|----|-------------|-------------|-------------|----|-----|--------|
| Prescriptions Not Requiring Intervention (NonProtocol) | | | | | | | | | | | | | | | | | |
| Total Rx's All | | | | | | 0 | | | | | | | | | | | 25,710 |
| 5,587 | 3,552 | 4,008 | 13,147 | 4,245 | 3,995 | 4,323 | 12,563 | | | | | | | | | | |
| Average Dispensing Time (business days) All | | | | | | | | 2 days | | | | | | | | | |
| 0.6 | 0.4 | 0.5 | 0.5 | 0.5 | 0.6 | 0.7 | 0.6 | | | | | | | | | | 0.6 |
| Prescriptions Requiring Intervention (Protocol) | | | | | | | | | | | | | | | | | |
| Total Rx's All | | | | | | 0 | | | | | | | | | | | |
| 8,845 | 5,112 | 5,297 | 19,254 | 5,182 | 5,042 | 5,630 | 15,854 | | | | | | | | | | 35,108 |
| Average Dispensing Time (business days) All | | | | | | | | 5 days | | | | | | | | | |
| 1.5 | 1.4 | 1.6 | 1.5 | 1.6 | 1.7 | 1.7 | 1.7 | | | | | | | | | | 1.6 |
| Dispensing Accuracy Rate (%) | | | | | | | | 99.5% within days | | | | | | | | | |
| 100.0% | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% | | | | | | | | | | 100% |
| Claim Turnaround | | | | | | | | | | | | | | | | | |
| Total Claims | | | | | | | | | | | | | | | | | 1267 |
| 126 | 283 | 228 | 637 | 194 | 212 | 224 | 630 | | | | | | | | | | |
| Direct Claim Turnaround (Business Day) | | | | | | | | 95 % within 10 days | | | | | | | | | |
| 100% | 100% | 86% | 95% | 78% | 71% | 100% | 84% | | | | | | | | | | 89% |
| TelePaid Availability (%) | | | | | | | | 99 % | | | | | | | | | |
| 100% | 100% | 100% | 100% | 100% | 100% | 100% | 100% | | | | | | | | | | 100% |
| Claim Accuracy Rate - Adjudication (%) | | | | | | | | 99.5 % | | | | | | | | | |
| | | | | | | | | 98.7% | | | | | | | | | 98.3% |
| Pharmacy Access Rate - | | | | | | | | 95 % within 5 miles | | | | | | | | | |
| | | | | | | | | 99.5% | | | | | | | | | 99.5% |
| Average Speed of Answer (Seconds) - Member | | | | | | | | 30 seconds | | | | | | | | | |
| 148 | 69 | 66 | 105 | 38 | 11 | 23 | 27 | | | | | | | | | | 80 |
| Abandonment Rate (%) - Member | | | | | | | | 5.0 % | | | | | | | | | |
| 6.5% | 2.3% | 2.8% | 4.4% | 1.3% | 0.3% | 0.8% | 0.9% | | | | | | | | | | 3.3% |
| Blocked Calls Rate | | | | | | | | 5 % | | | | | | | | | |
| 0% | 0% | 0% | 0% | 0% | 0% | 0% | 0% | | | | | | | | | | 0% |
| Written Inquiry Turnaround | | | | | | | | | | | | | | | | | |
| Total Written Inquiries | | | | | | | | | | | | | | | | | 440 |
| 95 | 76 | 99 | 270 | 69 | 72 | 29 | 170 | | | | | | | | | | |
| Written Inquiry Turnaround (business) | | | | | | | | 100 % within 10 days | | | | | | | | | |
| 100% | 100% | 100% | 100% | 100% | 100% | 100% | 100% | | | | | | | | | | 100% |
| Reporting Timeliness - | | | | | | | | Met | | | | | | | | | Met |
| Reporting Timeliness - Rebates | | | | | | | | Due within 150 days of quarter | | | | | | | | | |
| | | | | | | | | Forthcoming | | | | | | | | | |
| Account Management - Data Loads | | | | | | | | within specified timeframe | | | | | | | | | |
| | | | | | | | | Forthcoming | | | | | | | | | |

Client Name: A5284 - COMMONWEALTH OF KENTUCKY

Confidential and Proprietary Information

© 2014 Express Scripts Holding Company. All Rights Reserved.



Data Class: Confidential